

# Cabinet

## Agenda

7 September 2006

The Cabinet will meet at the **SHIRE HALL, WARWICK** on **THURSDAY, 7 SEPTEMBER 2006 at 1.45 P.M.**

The agenda will be :

**1. General**

**(1) Apologies for absence.**

**(2) Members' Disclosures of Personal and Prejudicial Interests.**

Members are reminded that they should disclose the existence and nature of their personal interests at the commencement of the relevant item (or as soon as the interest becomes apparent). If that interest is a prejudicial interest the Member must withdraw from the room unless one of the exceptions applies.

Personal interests relating to any item on the agenda arising by virtue of the members serving as District/Borough councillors and as members of the Warwickshire Police Authority are declared below:

Councillor Alan Cockburn, Member of Warwick District Council.

Councillor Peter Fowler, Member of North Warwickshire Borough Council.

Councillor Colin Hayfield, Member of North Warwickshire Borough Council.

Councillor Richard Hobbs, Member of Stratford on Avon District Council and the Warwickshire Police Authority.

Councillor Jerry Roodhouse, Member of Rugby Borough Council.

Councillor Chris Saint, Member of Stratford on Avon District Council.

Councillor Izzi Seccombe, Member of Stratford on Avon District Council and the Warwickshire Police Authority.

---

The public reports referred to are available on the Warwickshire Web  
[www.warwickshire.gov.uk/committee-papers](http://www.warwickshire.gov.uk/committee-papers)

**(3) Minutes of the meeting held on the 13 July 2006 and Matters Arising.**

**(4) Requests for Discussion of En Bloc Items.**

**PART A - ITEMS FOR DISCUSSION AND DECISION (WHITE PAPERS)**

**2. Development of the Warwickshire Local Area Agreement (LAA)**

The report of the Strategic Director of Performance and Development.

The Report summarises progress made to date in relation to the development of the Warwickshire LAA. Much work is currently in progress and it is anticipated that a verbal report will be made to the meeting and that the first draft LAA will be distributed to the Cabinet members for comment on or about 4th September 2006.

**Recommendations:**

- (1) That the Cabinet notes the current position in relation to the development of the Warwickshire LAA.
- (2) That the Cabinet makes such comments as it considers appropriate in relation to the first draft LAA (to be distributed on or about 4th September 2006)

For further information please contact: Nick Gower Johnson, County Partnerships Manager. Tel: 01926 412053, e-mail: [nickgowerjohnson@warwickshire.gov.uk](mailto:nickgowerjohnson@warwickshire.gov.uk)

**3. Projected 2006/07 Revenue Net Spend as at Quarter 1**

The report of the Strategic Director of Resources.

The report informs members of the projected net spend for 2006/07 based on information known at the end of the first quarter.

**Recommendations:**

That the Cabinet:

- (1) Notes the projected 2006/07 revenue net spend position and the

projected reserves at year-end, and requests departments to take appropriate management action to try to ensure that spending remains within budget.

- (2) Approves the contributions to and use of reserves totalling a net use of £651,000 in 2006/07 as detailed in paragraph 3.2 of the report.

For further information please contact: Sandra Dean, Budget Planning Officer. Tel: 01926 412242, e-mail: [sandradean@warwickshire.gov.uk](mailto:sandradean@warwickshire.gov.uk)

#### **4. 2006/07 to 2008/09 Capital Programme – Update as at Quarter 1**

The report of the Strategic Director of Resources.

The report seeks members' approval to the changes to the capital programme since Council in February 2006.

##### **Recommendations:**

- (1) That the Cabinet approves the additional projects at paragraph 6.2 of the report.
- (2) That the revised starts and payments totals for 2006/07 identified at paragraph 6.1 and in Tables 1 and 2 be approved.
- (3) That the Cabinet note the projections of spending and financing for future years.

For further information please contact: Charles Holden, Corporate Capital  
Tel: 01926 412092, e-mail: [charlesholden@warwickshire.gov.uk](mailto:charlesholden@warwickshire.gov.uk)

#### **5. Review of the Local Schools Funding Formula**

The report of the Strategic Director of Children, Young People and Families.

The report outlines a suggested process for reviewing the Authority's local schools funding formula. It seeks the Cabinet's approval to the process and asks for suggestions of any other areas of the formula that the Cabinet may want to be reviewed.

##### **Recommendations:**

That the Cabinet:

- (1) approve the suggested process for analysing and reviewing the Authority's local school formula;
- (2) comment on the suggested areas for analysis and suggest any further areas for review; and

---

The public reports referred to are available on the Warwickshire Web  
[www.warwickshire.gov.uk/committee-papers](http://www.warwickshire.gov.uk/committee-papers)

- (3) remit the monitoring of progress on the review to the Children, Young People and Families Overview and Scrutiny Committee.

For further information please contact: John Betts, Head of Service – Resources. Tel: 01926 742076, e-mail: [johnbetts@warwickshire.gov.uk](mailto:johnbetts@warwickshire.gov.uk)

## **6. Community Protection Directorate Efficiency Saving Option**

The report of the Chair, Community Protection Overview and Scrutiny Committee.

The Community Protection Overview and Scrutiny Committee, at its meeting on 25 July 2006 considered a report outlining the Efficiency Saving Option prepared by the Fire and Rescue Service. The Cabinet is asked to note that the report identifies the necessary level of budget reduction of 2.5% for the Community Protection Directorate as part of the County Council's annual efficiency statement. The Committee endorsed the Strategic Directors recommendations contained in the report and recommends that the Committee form a Working Party to identify savings for future years.

**Recommendation** from the Community Protection Overview and Scrutiny Committee:

That the recommendations of the Strategic Director of Community Protection and County Fire Officer, as detailed in the report, are endorsed for the coming year and that the Committee form a Working Party to identify savings for the years ahead.

For further information please contact: Jean Hardwick, Principal Committee Administrator Tel: 01926 412476, e-mail: [jeanhardwick@warwickshire.gov.uk](mailto:jeanhardwick@warwickshire.gov.uk) or: Glen Ranger, Assistant County Fire Officer. Tel: 01926 423231, e-mail: [glenranger@warwickshire.gov.uk](mailto:glenranger@warwickshire.gov.uk)

## **7. Delivering the Customer Service & Access Strategy – Progress report and Direction of Travel**

The report of the Strategic Director of Performance and Development.

The report sets out the progress made against the Customer Service & Access Strategy following its approval on 27th June, 2006 and outlines the direction of travel over the next six months.

## **Recommendations:**

That the Cabinet:

- (1) Endorse progress made with development of the:
  - o One Stop Shop pilots
  - o Business Cases for the One Stop Shop pilots
  - o Business Process Re-engineering activity
  - o Warwickshire County Council/Warwick District Council Joint Customer Service Centre.
- (2) Endorse the current Direction of Travel to deliver the Customer Service & Access Strategy.
- (3) Approve the making of £27,000 capital grant in 2006/07 to fund the extension to be occupied by the Police at the planned One Stop Shop in Whitnash.
- (4) Approve Warwickshire County Council's participation with North Warwickshire Borough Council in a joint One Stop Shop and a further report being submitted to Cabinet on the 2<sup>nd</sup> November 2006 to consider options for funding.

For further information please contact: David Carter, Strategic Director of Performance and Development. Tel: 01926 412564, e-mail [davidcarter@warwickshire.gov.uk](mailto:davidcarter@warwickshire.gov.uk) or Kushal Birla, Head of Customer Service and Access. Tel: 01926 412013, e-mail: [kushalbirla@warwickshire.gov.uk](mailto:kushalbirla@warwickshire.gov.uk)

## **8. Rokeby Primary Schools**

The report of the Strategic Director of Children, Young People and Families.

Local member - Cllr John Vereker – Caldecott.

This report seeks formal approval for the amalgamation of Rokeby Infant School and Rokeby Junior School.

### **Recommendations:**

- (1) That the Cabinet confirm its decision to close Rokeby Infant School and Rokeby Junior School and establish a 4-11 community primary school with effect from September 2007.
- (2) That the new primary school continue to use the existing buildings of both schools to facilitate the transition to a one-form-entry school and allow for further exploration of the demand for extended school services in the Rokeby area.

For further information please contact: Mark Gore, Head of Service – Education Partnerships and School Development. Tel: 01926 742588, e-mail: [markgore@warwickshire.gov.uk](mailto:markgore@warwickshire.gov.uk) or: Phil Astle, Assistant Head of Service Planning. Tel: 01926 742166, e-mail: [philastle@warwickshire.gov.uk](mailto:philastle@warwickshire.gov.uk)

## 9. Post-16 transport

Report asking Members to consider the future of supported post-16 transport for students.

The report asks Members to consider the future of supported post-16 transport for students.

### **Recommendation:**

That Members consider:

#### **Either**

(i) the introduction of an increased charge of £275 paid by students for post-16 transport from September 2007 in order to meet the funding gap arising from the decision taken during the Authority's 2006/07 budget process not to fund forecast cost increases,

#### **or**

(ii) the phasing out of supported transport over the next two years.

For further information please contact: Mark Gore, Head of Service – Education Partnerships and School Development. Tel: 01926 742588, e-mail [markgore@warwickshire.gov.uk](mailto:markgore@warwickshire.gov.uk) or Nick Williams, Assistant Head of Service – Pupil and Student Services. Tel: 01926 742071, e-mail [nickwilliams@warwickshire.gov.uk](mailto:nickwilliams@warwickshire.gov.uk)

## **PART B - ITEMS FOR EN BLOC DECISIONS (YELLOW PAPERS)**

### **10. Corporate Asset Management Plan Performance Indicator Report**

The report of the Strategic Director of Resources.

The Cabinet is asked to approve the Asset Management Plan Property Performance Indicators, subject to any necessary last-minute amendments the Head of Property might deem necessary in consultation with the Resources Portfolio Holder. The Indicator Report was considered by the Resources, Performance and Development Overview and Scrutiny Committee who's views are included in the report.

---

The public reports referred to are available on the Warwickshire Web  
[www.warwickshire.gov.uk/committee-papers](http://www.warwickshire.gov.uk/committee-papers)

## **Recommendations:**

That the Cabinet:

- (1) approves the Corporate AMP Performance Indicator Report at Appendix A to this report; and
- (2) agrees that in the event of any last-minute amendments being necessary, they be made by the Head of Property in consultation with the Resources Portfolio Holder.

For further information please contact: Rebecca Couch, Asset Management Co-ordinator. Tel: 01926 412354, e-mail: [rebeccacouch@warwickshire.gov.uk](mailto:rebeccacouch@warwickshire.gov.uk)

## **11. Public Consultation on the 2007/08 Budget**

The report of the Strategic Director of Resources

The report seeks the Cabinet's approval to the planned public consultation process on the 2007/08 budget.

### **Recommendations:**

That the Cabinet:

- (1) agree the proposals for public consultation on the 2007/08 Budget and Council Tax outlined in Section 3 of the report; and
- (2) support the recommendation, from Resources, Performance and Development Overview and Scrutiny Committee, that District/Borough Councils and the Police Authority are invited to participate in the discussions and presentations at the Area Committees.

## **12. A Stronger Local Voice – New Arrangements for NHS Accountability**

The joint report of the Strategic Directors of Performance and Development, Adult, Health and Community Services.

Patient and Public Involvement Forums will be replaced by Local Involvement Networks (LINKs). Local support for LINKs will be the responsibility of local authorities with social services responsibilities. Also the new commissioning guidance published at the same time has proposals for community action, both may have implications for WCC. The report provides a summary of the proposals being made and questions for comment. Comments for LINKs should be made by the 7 Sept 2006 and the new commissioning arrangements by 6 Oct 2006.

---

The public reports referred to are available on the Warwickshire Web  
[www.warwickshire.gov.uk/committee-papers](http://www.warwickshire.gov.uk/committee-papers)

**Recommendation:**

That the Cabinet approve the responses to the questions in the letters attached to the report.

For further information please contact: Alwin McGibbon, Health Scrutiny Officer. Tel: 01926 412075, e-mail: [alwinmcgibbon@warwickshire.gov.uk](mailto:alwinmcgibbon@warwickshire.gov.uk) or: Jane Pollard, Overview & Scrutiny Manager Tel: 01926 412565, e-mail: [janepollard@warwickshire.gov.uk](mailto:janepollard@warwickshire.gov.uk)

**13. Savings from the Restructuring of the County Council**

The report of the Chief Executive and Strategic Director, Resources

The report informs members of the progress in identifying the savings resulting from the restructuring of County Council Directorates.

**Recommendations:**

That the Cabinet:

- (1) Note the savings of £671,000 in 2006/07 and a further £21,000 in 2007/08, identified as a result of the restructuring of County Council Directorates: and
- (2) Approve the allocation of the savings identified to the Modernisation Fund, in the first instance, in accordance with the Council resolution.

For further information please contact: Virginia Rennie, Group Accountant Tel: 01926 412239, e-mail: [vrennie@warwickshire.gov.uk](mailto:vrennie@warwickshire.gov.uk)

**14. A425 Banbury Road, Turnbolls Garden, Warwick - Right Turning Lane Priority Junction**

The joint report of the Strategic Director of Resources and Strategic Director for Environment & Economy.

Local member - Cllr Les Caborn, Bishops Tachbrook

The report seeks the Cabinet's approval to add the project to the 2006/07 capital programme.

**Recommendation:**

That the scheme to construct a right turn lane priority junction at Turnbolls Garden on the A425 Banbury Road, Warwick be included in the 2006/07 capital programme at an estimated cost of £340,000.



For further information please contact: Jane Haygreen, Principal Accountant Tel: 01926 412915, e-mail: [janehaygreen@warwickshire.gov.uk](mailto:janehaygreen@warwickshire.gov.uk) or: Max McDonogh, Group Engineer Tel: 01926 412421, e-mail: [maxmcdonogh@warwickshire.gov.uk](mailto:maxmcdonogh@warwickshire.gov.uk)

**15. Government Consultation on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions**

The report of the Strategic Director for Environment and Economy.

The Government has issued a consultation paper about implementing the parking provisions in Part 6 of the Traffic Management Act 2004. This report recommends how the Council should respond.

**Recommendation:**

That the Cabinet notes the contents of the Department for Transport's consultation paper on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions and endorses a response as outlined in the report.

For further information please contact: Roger Bennett, Traffic Projects Group. Tel: 01926 412648, e-mail: [rogerbennett@warwickshire.gov.uk](mailto:rogerbennett@warwickshire.gov.uk)

**16. The Delegation of Powers for Determining Contested Minor Traffic Regulation Orders**

The report of the Strategic Director for Environment and Economy.

The report proposes delegation to the Strategic Director for Environment and Economy of the power to determine minor Traffic Regulation Orders where objections have been received.

**Recommendation:**

That the functions set out in Appendix A to the report be delegated to the Strategic Director for Environment and Economy and the Strategic Director of Performance and Development respectively.

For further information please contact: Shirley Reynolds, Traffic Projects Group. Tel: 01926 412404, e-mail: [shirleyreynolds@warwickshire.gov.uk](mailto:shirleyreynolds@warwickshire.gov.uk)

**17. Department for Transport Consultation on Draft Guidance on Intervention Criteria**

The report of the Strategic Director for Environment and Economy.

The Traffic Management Act 2004 includes the concept of intervention by Government if local authorities fail to perform relating to the maintenance and improvement of the highway network. It also imposes a Network Management Duty, which requires local traffic authorities to manage the network effectively to keep traffic moving.

This report discusses the Governments current consultation on the draft intervention criteria and recommends how the Council should respond to the consultation.

**Recommendation:**

That a response to the consultation by the Department for Transport on the Draft Guidance on Intervention Criteria be submitted in accordance with paragraph 4.1 of the report.

For further information please contact: Keith Davenport, Transport Planning. Tel 01926 735673, e-mail [keithdavenport@warwickshire.gov.uk](mailto:keithdavenport@warwickshire.gov.uk)

**18. Any Other Items**

To consider any other items that the Chair decides are urgent.

**PART C - EXEMPT ITEMS (PURPLE PAPERS)**

**19. Reports Containing Confidential or Exempt Information**

To consider passing the following resolution:

‘That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of confidential or exempt information as defined in paragraphs 1,2 and 3 of the Local Government Act 1972’.

(NB. Copies of extracts describing exempt information are available in Warwickshire Libraries, the County Council Handbook and the Access to Information Register held in my office).

20. **Exempt Minutes of the Meeting held on 13 July 2006 and Matters Arising.**

Shire Hall,  
Warwick  
August 2006

JIM GRAHAM,  
Chief Executive

### **Cabinet Membership**

Councillor Alan Farnell (**Leader of the Council and Chair of Cabinet**)

[cllrfarnell@warwickshire.gov.uk](mailto:cllrfarnell@warwickshire.gov.uk)

Councillor Bob Stevens (**Deputy Leader**)

[cllrstevens@warwickshire.gov.uk](mailto:cllrstevens@warwickshire.gov.uk)

Councillor John Burton (**Schools**)

[cllrburton@warwickshire.gov.uk](mailto:cllrburton@warwickshire.gov.uk)

Councillor Alan Cockburn (**Resources**)

[cllrcockburn@warwickshire.gov.uk](mailto:cllrcockburn@warwickshire.gov.uk)

Councillor Peter Fowler (**Performance and Development**)

[cllrfowler@warwickshire.gov.uk](mailto:cllrfowler@warwickshire.gov.uk)

Councillor Colin Hayfield (**Adult, Health and Community**)

[cllrhayfield@warwickshire.gov.uk](mailto:cllrhayfield@warwickshire.gov.uk)

Councillor Martin Heatley (**Environment**)

[cllrheatley@warwickshire.gov.uk](mailto:cllrheatley@warwickshire.gov.uk)

Councillor Richard Hobbs (**Community Protection**),

[cllrhobbs@warwickshire.gov.uk](mailto:cllrhobbs@warwickshire.gov.uk)

Councillor Chris Saint (**Economic Development**)

[cllrsaint@warwickshire.gov.uk](mailto:cllrsaint@warwickshire.gov.uk)

Councillor Izzi Seccombe (**Children, Families and Young People**)

[cllrmsseccombe@warwickshire.gov.uk](mailto:cllrmsseccombe@warwickshire.gov.uk)

Non-voting Invitees - Councillor June Tandy (**Leader of the Labour Group**) [cllmrstandy@warwickshire.gov.uk](mailto:cllmrstandy@warwickshire.gov.uk) and Councillor Jerry Roodhouse (**Leader of the Liberal Democrat Group**) [cllrroodhouse@warwickshire.gov.uk](mailto:cllrroodhouse@warwickshire.gov.uk), or their representatives.

**General Enquiries:** Please contact Pete Keeley, Member Services, Performance and Development Directorate Tel: 01926 412450 Email: [petekeeley@warwickshire.gov.uk](mailto:petekeeley@warwickshire.gov.uk)

## **The reports referred to are available in large print if requested.**

---

The public reports referred to are available on the Warwickshire Web  
[www.warwickshire.gov.uk/committee-papers](http://www.warwickshire.gov.uk/committee-papers)

**The Cabinet met at the Shire Hall, Warwick on the 13 July 2006.**

**Present**

**Cabinet Members:**

Councillor Alan Farnell (Leader of the Council and Chair of Cabinet),

“ Bob Stevens (Deputy Leader),

“ Alan Cockburn (Resources),

“ John Burton (Schools),

“ Peter Fowler (Performance and Development),

“ Colin Hayfield (Adult, Health and Community),

“ Martin Heatley (Environment),

“ Richard Hobbs (Community Protection),

“ Chris Saint (Economic Development)

**Non-Voting Invitees:**

Councillor June Tandy, Leader of the Labour Group,

“ Sarah Boad, representing the Leader of the Liberal Democrat Group.

**Other Members:**

Councillors Ken Browne, Richard Chattaway, Josie Compton, Jill Dill-Russell, Marion Haywood, Bob Hicks, Mick Jones, Katherine King, Helen McCarthy and Tim Naylor.

**1. General**

**(1) Apologies for absence**

were received from Councillors Jerry Roodhouse and Izzi Seccombe (Children, Families and Young People).

**(2) Members' Disclosures of Personal and Prejudicial Interests.**

Personal interests relating to any item on the agenda arising by virtue of the members serving as District/Borough councillors and as members of the Warwickshire Police Authority were declared below:

Councillor Alan Cockburn, Member of Warwick District Council.

Councillor Peter Fowler, Member of North Warwickshire Borough Council.

Councillor Colin Hayfield, Member of North Warwickshire Borough Council.

Councillor Richard Hobbs, Member of Stratford on Avon District Council and the Warwickshire Police Authority.

Councillor Chris Saint, Member of Stratford on Avon District Council.

Councillor Bob Stevens, Member of Stratford on Avon District Council.

Councillor Sarah Boad declared a personal interest in Agenda Item 26 – Vulnerable Adults – Internal Review Report and Recommendations, in view of her husband being the Warwick District Council's representative on the Supporting People Partnership Board.

Councillor Josie Compton declared a personal interest in any matters affecting the Warwick District Council, as a member of that Council.

**(3) Minutes of the meeting held on the 27 June 2006 and Matters Arising.**

Resolved:-

That the Minutes of the Cabinet's 27 June 2006 meeting be approved.

There were no matters arising.

Members noted that the visit to Exhall Grange School would be arranged early in the new academic year.

**2. Composite Performance Report 2005/06 (April 2005 – March 2006) and Key Messages from Public Consultation.**

The Cabinet considered the report of the Strategic Director of Performance and Development.

The report provided an analysis of the Council's performance for the full year 2005/06 (the period 1<sup>st</sup> April 2005 to 31<sup>st</sup> March 2006) as part of the Performance Management Framework. It reported on performance against the key actions from the Corporate Business Plan, Corporate Headline Indicators (CHIs) and Key Messages from Public Consultation.

Following introductory comments from Councillor Bob Stevens, the Deputy Leader, it was Resolved:

- (1) That Cabinet notes that the full year reports from individual departments will be reported to the relevant Overview and Scrutiny Committee.
- (2) That Strategic Directors and Portfolio Holders be asked to review those areas where the information from this report, the Best Value Performance Report and any other data shows that the Council is not achieving its targets or there are concerns about performance.
- (3) That the Chief Executive be asked to report to Cabinet on 12 October 2006, bringing together the observations of the Overview and Scrutiny Committee, Strategic Directors and Portfolio Holders on these performance issues.
- (4) That Cabinet supports the review of the Council's planning and performance management framework.

### 3. A Medium Term Financial Planning and Budget Process

The Cabinet considered the report of the Chief Executive and Strategic Director of Resources.

The report sought approval of a revised medium term financial planning strategy for recommendation to Council. In light of the strategy the report also sought approval to a medium term financial planning and budget process that will enable delivery of the strategy to be taken forward.

Following introductory comments from Councillor Alan Farnell, Leader of the Council, members discussed the proposal and made several points including:-

- o Performance Management was integral to the whole process and consideration should be given to showing the involvement of Performance Management in a different manner in diagram 2.
- o In diagram 1 the Corporate Vision would be more appropriately shown nearer to the centre of the diagram.

Resolved:

That the Cabinet approve:

- (1) The model of medium term financial planning outlined in paragraphs 2.2 and 2.3 of the report;
- (2) The medium term financial planning strategy outlined in paragraph 5.2 and its recommendation to Council for approval;
- (3) The medium term financial planning and annual budget process, as outlined in section 9 of the report;
- (4) The timetable for delivering this in time for setting the 2007/08 budget and 2007/08 to 2009/10 medium term financial plan shown in section 11;

Deleted: The terms of reference for the "Budget Group" as outlined in Appendix A of this report and their recommendation to Council for approval;¶

Formatted

Formatted: Bullets and Numbering

### 4. Strategic Review of Services for Young People

The Cabinet considered the report of the Strategic Director for Children, Young People and Families which outlined the result of the consultation agreed by Cabinet on 17th November 2005.

Councillor John Burton introduced the report following which it was Resolved:

That the Cabinet supports the recommendations outlined in section 5 of the report as guiding principles when developing the services for young people in the county:

- o To develop and link relevant strategies around regular participation and consultations with both young people and parents/carers. To ensure willing volunteers are recruited to help with future consultations.

- To move to a position where we can clearly demonstrate the impact of consultation on services for young people.
- To continue to build on the strength of the partnerships that make significant contributions to the agenda in order to overcome some of the issues faced by young people who live in rural areas.
- To continue to offer both structured activities and places to meet informally.
- To have a focal point to collate and disseminate information about a range of services and support for all young people, i.e. interactive web mail.
- To consider how we develop the youth offer following the consultation, including the name of the service.

## 5. Review of the Area Community Education Councils (ACECs) and Future Funding Arrangements

The Cabinet considered the report of the Strategic Director of Children, Young People and Families and the Strategic Director of Performance & Development.

The report sought the Cabinet's approval for the future arrangements for the funds which ACECs allocated. This was related to the Voluntary and Community Sector Strategy.

During his introduction of the item, Councillor Colin Hayfield, Cabinet Member for Adult Health and Community, stressed the importance of small groups being able to access small amounts of funding with the minimum of paper work.

During the discussion the following points were raised:

- A further report would be made to Area Chairs about easy access to small grants.
- With regard to seeking the Overview and Scrutiny Committee's views at this stage it was felt that the Committee could be asked to consider the issues after the Area Chairs had discussed matters and the report referred to in recommendation (5) had been submitted to the Cabinet.
- The report to the Cabinet should include proposals for the £257,000 commissioning fund.

It was then Resolved:

- (1) That the proposed funding arrangements following the review of the Area Community Education Councils be reviewed.
- (2) That the funds referred to in para. 2.4 of the report (£32,000) be allocated across the Area Committees using an appropriate formula.
- (3) That the details of the formula be determined in due course following further consultation with the Chairs of the Area Committees.

- (4) That further consideration be given by the Strategic Director of Performance and Development in consultation with the Chairs of the Area Committees to the development of a process to facilitate easy access to small grants by community groups.
- (5) That a further report be brought back to Cabinet by the Strategic Director of Performance and Development and the Strategic Director of Children, Young People and Families in the Autumn of 2006 including proposals for the commissioning fund.

## **6. The Proposed Closure of the Peugeot Assembly Plant at Ryton-on-Dunsmore**

The Cabinet considered the report of Councillor Mick Jones, the Chair of the Economic Development Overview and Scrutiny Committee.

The Economic Development Overview and Scrutiny Committee at a special meeting on 6 June 2006 had scrutinised the implications of the redundancies and potential closure of the Peugeot plant at Ryton-on-Dunsmore.

The Committee had welcomed the initiatives that had been set up by the Peugeot Partnership to help the Peugeot employees who were facing redundancy, had recorded its concerns about the possibility of an early closure of the plant and the need to review the situation again in September/early October.

The Committee also considered that there was need for direction about the future use of the site and that any future discussions on this issue should include the County Council, Rugby Borough Council and Ryton Parish Council.

Following introductory comments from Councillor Chris Saint, Cabinet Member for Economic Development and Councillor Mick Jones, Chair of the Overview and Scrutiny Committee, Members noted that there were several important issues to be considered in the future including infrastructure and planning issues.

It was then Resolved:

That the Cabinet notes -

- (1) the outcome of the discussions of the Committee's special meeting held on 6 June 2006;
- (2) that the Committee will review the situation again in September or early October 2006;
- (3) that the Committee has thanked the officers and the Coventry, Warwickshire and Solihull Partnership for their work in providing support for the Peugeot employees facing redundancy.



## **7. Leamington Urban Mixed Priority (LUMP) Project Review**

The Cabinet considered the report of Councillor Ken Browne, the Chair of the Environment Overview and Scrutiny Committee.

The Environment Overview and Scrutiny Committee, at its meeting on 8 June 2006, had considered the joint report of the Strategic Director for Environment and Economy, Strategic Director of Resources and the Strategic Director of Performance and Development, on the LUMP scheme which was referred from the Cabinet on 12 January 2006.

The Committee asked that the Cabinet be informed of its concerns with regard to the overspend on Phase 1 and of the re-assurances given by Officers with regard to Phase 2 keeping within budget. The Committee also asked for an immediate report in the event of any anticipated further overspend on Phase 2; a further report once the project is complete and the costs established; and a report to the September meeting with further information on utility services liability for unmapped services and potential liability in respect of HGVs parking on pavements.

The report was introduced by Councillor Martin Heatley, Cabinet Member for the Environment and Councillor Ken Browne, Chair of the Overview and Scrutiny Committee.

During the discussion several members expressed concern about the impact of broken paving slabs on the appearance of the work undertaken. Members noted that a further report would be submitted to the Overview and Scrutiny Committee and that this would include information about the liability for replacing broken slabs.

Resolved:

That the Cabinet notes the Environment Overview and Scrutiny Committee's:

- (1) concerns with regard to the overspend on Phase 1 of the Leamington Urban Mixed Priority Project, and the re-assurances given with regard to Phase 2 keeping within budget;
- (2) request for a report in September 2006 with further information on utility services liability for unmapped services and potential liability in respect of HGVs parking on pavements;
- (3) request for an immediate report in the event that there is any further anticipated overspend on Phase 2;
- (4) request for a further report regarding the overspend once the project is complete and the costs established.

## 8. World Class Stratford

The Cabinet considered the report of the Strategic Director for Environment and Economy.

The report sought approval for the Council to participate in the World Class Stratford Project and to enter into an agreement with the Stratford on Avon District Council regarding the delivery of the County based projects.

Councillor Chris Saint, Cabinet Member for Economic Development, introduced the main points of the report. He indicated that the foot and cycle bridge proposed under the scheme would be the subject of extensive consultation, leading to a further report to the Cabinet. He suggested that any commitment to detailed implementation or to the procurement of the County Council projects should be the subject of further reports to the Cabinet.

During the debate members were advised that the report and recommendations before the Cabinet related to procedural matters and that other issues including those surrounding the proposed bridge would be dealt with in a further report to the Cabinet. The consultations on the proposal would include the wide distribution of a consultation leaflet

Resolved:

- (1) That the Cabinet approves and authorises the Council to participate in the 'World Class' Stratford scheme in particular with the development and delivery of the WCC projects ie the Proposed Foot and Cycle Bridge and the Waterside and Southern Lane pedestrian priority schemes.
- (2) That the Cabinet approves and authorises the Council to negotiate and enter into a funding agreement in partnership with the Stratford on Avon District Council to deliver the County based projects on terms and conditions acceptable to the Strategic Director of Performance and Development, the Strategic Director of Resources and the Strategic Director for Environment and Economy.
- (3) That a further report detailing the implementation plans for the Projects, including proposals for any procurement of the Projects, be presented to the Cabinet within six months.
- (4) Any commitment to detailed implementation or to the procurement of the Warwickshire County Council projects will be the subject of further reports to the Cabinet that should include the results of consultation and the proposed detailed funding arrangements.

**9. Change to the Indicated Admission Number of Nathaniel Newton Infant School**

The Cabinet considered the report of the Strategic Director for Children, Young People and Families.

The report asked the Cabinet to note the feedback from the consultation to increase the Indicated Admission Number of Nathaniel Newton Infant School and to decide on the way forward.

Resolved:

- (1) That the feedback from the consultation to reduce the admission number of Nathaniel Newton Infant School be noted.
- (2) That in the light of this feedback, the Cabinet agrees the reduction in the admission number of Nathaniel Newton Infant School for September 2007 admissions and then undertakes a review of priority areas based on this new admission number,

**10. Using User & Carer Feedback to Help Shape Adult Social Care**

The Cabinet considered the report of the Strategic Director of Adult, Health and Community Services.

The report provided an overview of user and carer involvement and feedback, including the outcomes of the most recent Customer First Conference and identifies issues to be considered within the adult social care service planning process for 2006/07.

Resolved:

That the Cabinet notes the main feedback themes identified in the report and endorses the intention to utilise them in service planning and development in 2006/07.

**11. Coventry City Council Local Development Framework Core Strategy - Issues and Options**

The Cabinet considered the report of the Strategic Director for Environment and Economy.

The document was the first stage in the production of the Core Strategy for the City's Local Development Framework. The Issues and Options set out alternative scenarios as to how the city could grow over the next 20 years. There was a six week consultation process where Stakeholders were able to comment on the issues and options.

Resolved:

That the Cabinet endorses the conclusions of paragraph 4.1 of the Director's report and that Coventry City Council be advised accordingly.

## **12. Chiltern Railways – Proposed December 2006 Timetable**

The Cabinet considered the report of the Strategic Director for Environment and Economy.

Chiltern Railways proposed some significant changes to its services. The report outlined these and described the impact on passengers in Warwickshire. The Cabinet considered a response that the County Council was not willing to support the changes to services proposed in the Chiltern December 2006 Timetable. The Cabinet also considered making representations to the Department for Transport.

The Cabinet was advised of the views of the Warwick Area Committee and that the Committee supported the recommendations in the report but suggested the addition of the following words to the end of the second recommendation "that in the event of any reduction being permitted in those services supported by public subsidy, the subsidy be reviewed and reduced accordingly".

Following comments about the low usage of the Claverdon and Bearley Stations and the reasons for encouraging greater use of trains generally, it was Resolved with no member voting against:-

That the Cabinet authorises:

- (1) A response be made to Chiltern Railways in the terms of the draft in Appendix B of the Strategic Director of Environment and Economy's report, to the effect that the County Council is not willing to support the changes to services proposed in the Chiltern December 2006 Timetable.
- (2) A representation be made to the Department for Transport (DfT) setting out the views of the County Council and requesting that it should not approve the changes to the terms of the Chiltern Railways Franchise Agreement which would reduce the current contracted levels of services and station calls that in the event of any reduction being permitted in those services supported by public subsidy, the subsidy be reviewed and reduced accordingly.

## **13. South West Warwick Priority Junction**

The joint report of the Strategic Director of Resources and the Strategic Director for Environment and Economy was considered.

The report sought the Cabinet's approval to add the project to the 2006/2007 capital programme.

Resolved:

That the scheme to construct a priority junction on the A429, Stratford Road, Warwick, be included in the 2006/2007 capital programme at an estimated cost of £466,000 subject to a S.278 agreement being signed with the developer.

**14. Value for Money Strategy**

The report of the Strategic Director of Resources was considered.

The report sought the Cabinet's approval for the updated Value for Money Strategy.

Resolved:

That the Cabinet approves the Value for Money Strategy attached at Appendix A of the report.

**15. Treasury Management Outturn Report 2005/06**

The report of the Strategic Director of Resources was considered.

The report provided the Cabinet with details of the outturn of the annual treasury management activity for the Council during 2005/06, as required by the Chartered Institute of Public Finance and Accountancy's (CIPFA's) Code .

Resolved:

That the Cabinet note the report.

**16. Constitution of a Temporary Governing Body for the Proposed New Rokeby Community Primary School**

The report of the Strategic Director for Children, Young People and Families was considered.

The Council needed to establish a temporary governing body for the proposed new Community Primary School, following the publication of notice of its proposal to close Rokeby Infant School and Rokeby Junior School.

Resolved:

That the Cabinet approves the arrangement described in the report for the constitution of a temporary governing body for the proposed new Rokeby Community Primary School.

## **17. Irrecoverable Debts**

The report of the Strategic Director for Children, Young People and Families was considered.

Authority was requested to write off one irrecoverable debt. The Strategic Director of Performance and Development had advised that the debt cannot be pursued further or that it would be uneconomical to do so.

Resolved:

That the debt outlined in the report of the Strategic Director for Children, Young People and Families amounting to £1,086.75 be written off as irrecoverable.

## **18. Bishop Wulstan Catholic School**

The report of the Strategic Director for Children, Young People and Families was considered.

The report informed the Cabinet that the proposal to establish a 3-16 Catholic Academy in Rugby had been turned down by the DfES and proposed a further report to the Cabinet in the Autumn on Catholic provision in the town.

Resolved:

- (1) That the report be noted.
- (2) That a further report on Catholic provision in Rugby be presented to the Area Committee and the Cabinet by the end of October 2006.

## **19. Highway Maintenance Plan 2006/2007 and Five Year List of Structural Maintenance Schemes**

The report of the Strategic Director for Environment and Economy was considered.

The report provided information about the highway maintenance work proposed in 2006/07 and listed other sites where maintenance work would be required in the future. It also included information about changes to the Capital Programme which required the Cabinet's approval.

Resolved:

- (1) That the Cabinet approves the Highway Maintenance Plan 2006/07.
- (2) That the revised 2006-07 Capital Programme for the Structural Maintenance of Roads, detailed in Table 6.1 of the report, is approved.

## **20. North Warwickshire Quality Bus Initiative – Purchase of Buses**

The report of the Strategic Director for Environment and Economy was considered.

It was proposed to purchase a new fleet of “County-links” buses for the routes serving the Coleshill Parkway Transport Interchange and North Warwickshire. Tenders for the purchase has been returned. The report outlines the results of this tendering process and sought approval for the purchase of seven new vehicles.

Resolved:

- (1) That the Cabinet approves the purchase of seven new high quality, low emission buses for the North Warwickshire Quality Bus Initiative at a total cost of £935,620.
- (2) That the Capital Programme for Transport be amended accordingly with the increased cost of £155,620 being found from savings elsewhere in the integrated transport budget for 2006/7 .

## **21. Minerals Development Framework Core Strategy - Issues and Options**

The report of the Strategic Director for Environment and Economy was considered.

The report summarised the Minerals Development Plan Core Strategy Key Issues and Options which had been produced for informal consultation with stakeholders. Stakeholders comments had been incorporated into the refined Issues and Options paper prior to the formal six weeks consultation process in July, whereby Preferred Options would be chosen.

Resolved:

That the Cabinet approves the Minerals Development Framework Core Strategy (Issues and Options) for a six week period of consultation commencing in July 2006.

## **22. Minerals and Waste Development Framework: Waste Core Strategy - Preferred Options and Proposals Consultation**

The report of the Strategic Director for Environment and Economy was considered.

The Waste Core Strategy - Preferred Options and Proposals paper set out the issues and a range of options for dealing with the scale and broad location of waste disposal facilities. It was due to go out for a six week period of consultation between August and October 2006 to give consultees an opportunity to comment on the Council's preferred options.

Resolved:

That the Cabinet:

- (1) Approves the document "Waste Core Strategy - Preferred Options and Proposals", incorporating the amendments recommended in Appendix A of the report, as the proposals of the County Council for the Waste Core Strategy for the purpose of public participation in accordance with Regulation 26 of The Town and Country Planning (Local Development) (England) Regulations 2004;
- (2) Authorises the Strategic Director for Environment and Economy to prepare related documents and carry out any other steps required by Regulation 26 or which he considers desirable to facilitate public participation in respect of those proposals.

### **23. Consultation on the 'New Cross Country' and 'West Midlands' Rail Franchises**

The report of the Strategic Director for Environment and Economy was considered.

The Department for Transport had published the consultation on the 'New Cross Country' and 'West Midlands' rail franchises. The consultation set out the proposed time-table, route and service specifications for the two franchises that future short-listed bidders would be asked to price. It was recommended that the Cabinet notes the contents of the report and approves the proposed response of the County Council.

Resolved:

That the Cabinet approves the proposed response to the Department for Transport's consultation on the 'New Cross Country' and 'West Midlands' rail franchises.

### **24. Any Other Items**

The Chair indicated that the following item was to be considered as an urgent item because of the need for a response to be made to the Police consultation before the next meeting.

#### **Safer Neighbourhood Policing Areas**

The Cabinet considered the Joint Report of the Strategic Director, Performance & Development and Strategic Director, Community Protection.

The paper set out Warwickshire Police's proposals to reconfigure the deployment of their local teams in new areas. The County Council, the five local Crime and Disorder Reduction Partnerships and the local councils have been consulted upon the proposals.



The views of the Warwick Area Committee were circulated before the meeting.

Councillor Richard Hobbs, Cabinet Member for Community Protection introduced the report.

During the discussion the following points were made

- o Some areas don't dovetail with electoral divisions and in one example a division involved three Policing Areas.
- o The proposals raised local expectations and police responses must meet these expectations.
- o The need to see outcomes and the possibility of the O and S Committee examining how the new arrangements were bedding in.
- o The need for easily contactable police officers.
- o Views of other Area Committees still to meet would be forwarded to the Police.

Resolved:

That Cabinet:

- (1) Notes the proposals put forward by Warwickshire Police for operational deployment of their resources on a neighbourhood area basis; and
- (2) Responds to the Police that whilst these are not appropriate structures for locality working for the County Council, we will continue dialogue with the Police and the Police Authority as to how we can make our locality approaches effective together.

## **25. Reports Containing Confidential or Exempt Information**

Resolved:

That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of confidential or exempt information as defined in paragraphs 1,2 and 3 of the Local Government Act 1972.

## **26. Vulnerable Adults – Internal Review Report and Recommendations**

The Cabinet considered the report of the Strategic Director Adult, Health & Community Services.

The Cabinet considered the key findings from an internal review, recommendations for the strengthening of arrangements for the protection of vulnerable adults and management action consistent with statutory guidance on the responsibilities of Directors of Adult Social Services issued in March 2000

and May 2006.

Resolved:

That the Cabinet :

- (1) Note the outcome of the internal review conducted in response to a request for information from the Commission for Social Care Inspection [CSCI].
- (2) Endorse the decisions of the Strategic Director designed to ensure robust organisational arrangements continue to be in place for the management of risk, maintenance of probity and the commitment to the continued development of policy and practice designed to ensure multi-agency vigilance against the possibility of adult abuse.
- (3) Receive a review report, in due course, on the operation of policies and procedures for the protection of vulnerable adults in Warwickshire consistent with "No Secrets" Guidance issued in 2001 and as submitted in the report.

**27. Rugby Western Relief Road – Shortfall in Funding**

The report of the Strategic Director for Environment and Economy.

There was a potential shortfall in allocated funding for the scheme. The Cabinet gave authority to negotiate with the Department for Transport (DfT) over funding.

The Cabinet rose at 3.45 p.m.

## Agenda No

**AGENDA MANAGEMENT SHEET**

|  |  |
|--|--|
| <b>Name of Committee</b>   | <b>The Cabinet</b>   |
| <b>Date of Committee</b>   | <b>7<sup>th</sup> September 2006</b>   |
| <b>Report Title</b>  | <b>Development of the Warwickshire Local Area Agreement (LAA)</b>  |
| <b>Summary</b>   | This Report summarises progress made to date in relation to the development of the Warwickshire LAA. Much work is currently in progress and it is anticipated that a verbal report will be made to the meeting and that the first draft LAA will be distributed to Cabinet members for comment on or about 4 <sup>th</sup> September 2006. |
| <b>For further information please contact:</b>   | Nick Gower Johnson<br>County Partnerships Manager<br>Tel: 01926 412053<br><a href="mailto:nickgower-johnson@warwickshire.gov.uk">nickgower-johnson@warwickshire.gov.uk</a>   |
| <b>Would the recommended decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision]</b> | No   |
| <b>Background papers</b>   | Powerpoint Presentation to full Council on 18 <sup>th</sup> July 2006  |
| <b>CONSULTATION ALREADY UNDERTAKEN:-</b>   | Details to be specified  |
| Other Committees   | <input type="checkbox"/> .....   |
| Local Member(s)  | <input type="checkbox"/> .....   |
| Other Elected Members  | <input type="checkbox"/> .....   |
| Cabinet Members  | <input checked="" type="checkbox"/> Cllr Peter Fowler<br>Cllr Chris Saint  |
| Chief Executive  | <input checked="" type="checkbox"/> Jim Graham, Chief Executive  |
| Legal  | <input checked="" type="checkbox"/> Greta Needham  |
| Finance  | <input checked="" type="checkbox"/> David Clarke and Chris Juckes  |

Other Strategic Directors  William Brown – Community Protection  
 Marion Davis – Children Young People & Families  
 John Deegan – Environment & Economy  
 Graeme Betts Adult Health & Community Services

District Councils  .....

Health Authority  .....

Police  .....

Other Bodies/Individuals  .....

**FINAL DECISION** **No**

**SUGGESTED NEXT STEPS:** Details to be specified

Further consideration by the Cabinet  On 13<sup>th</sup> October 2006

To Council  .....

To Cabinet  .....

To an O & S Committee  .....

To an Area Committee  .....

Further Consultation  .....

**Agenda No****The Cabinet – 7<sup>th</sup> September 2006****Development of the Warwickshire Local Area Agreement****Report of the Strategic Director for Performance & Development****Recommendations:**

1. That Cabinet notes the current position in relation to the development of the Warwickshire LAA
2. That Cabinet makes such comments as it considers appropriate in relation to the first draft LAA (to be distributed on or about 4<sup>th</sup> September 2006)

**1 Introduction**

- 1.1 This Report gives an overview of the current position in relation to the development of the Warwickshire Local Area Agreement and aims to summarise the progress that has been made to date.
- 1.2 At the time of preparing this Report, a considerable amount of activity is taking place within the LAA Theme Groups, the purpose of which is to develop draft LAA Outcomes. This intensive development phase will continue until the end of August 2006.
- 1.3 Following the completion of the work of the Theme Groups by the end of August, we will prepare the first draft LAA during the first week of September. We will be in a position to give a verbal update to the Cabinet Meeting and should be able to distribute shortly in advance of the meeting that document for initial comment.

**2. Recent Progress**

- 2.1 Since mid July 2006 (when a formal Presentation was made to full Council regarding the development of the LAA) we have:
  - a) Coordinated and supported the work of the six LAA Theme Groups namely the Groups in respect of:
    - Children and Young People
    - Stronger Communities

- Safer Communities
- Healthier Communities and Older People
- Economy and Enterprise
- Environment and Sustainability

Excellent progress has been made within these Groups, involving the enthusiastic engagement of a wide range of stakeholders and partner agencies. Particular thanks should be expressed to the LAA Block Leaders for their enthusiastic commitment and the leadership that they have shown all of which augurs well for the future.

It should be noted that the Voluntary and Community sector is actively involved in all of the Theme Groups.

The first phase of the work of the Theme Groups will continue until the end of August, by which time they will have completed their initial task of identifying the draft LAA Outcomes for each of the six Themes of the LAA.

- b) Continued our initial work (through the Senior Officer / LAA Servicing Group) in relation to funding issues. This has involved a detailed exercise through which partner agencies have been asked to identify funding streams that they receive which are of relevance to the LAA. At the time of preparing this Report, we have been able to obtain most of the relevant information and are beginning to process this, with an emphasis on identifying the potential for the pooling and alignment of resources in support of the outcomes that will eventually appear in the LAA.
- c) Begun our work on the development of a Performance Management Framework for the LAA building on our existing processes and systems and, in particular the arrangements that have been established for LPSA2. We are particularly mindful of the need to ensure that these arrangements are:
  - Mutually acceptable across our partnerships
  - Provide for partners holding each other to account
  - Accessible and appropriate to all partners
  - Proportionate to the range of activities included within the LAA
- d) Planned the Second Partnership Summit which will take place on 26<sup>th</sup> September 2006 when 150 or more individuals will come together with a view to considering and commenting on the first draft of the LAA
- e) Through the establishment of a Task and Finish Sub Group of the LAA Steering Group, begun to explore with our partners the range of governance options that could apply to the LAA and our partnerships generally from April 2007. The Task and Finish Group is committed to concluding its work by the end of December 2006.
- f) Agreed with the Leaders' Liaison Group, a programme that ensures the ongoing engagement of the Cabinet, Overview and Scrutiny Committees,

and the Area Committees in relation to the LAA, culminating with a report to full Council on 20<sup>th</sup> March 2007, when the final LAA will be submitted for endorsement on behalf of the County Council. This programme is attached as Appendix One to this Report.

### **3. Conclusion**

- 3.1 Cabinet will note that there is, at the time of preparing this Report, a considerable amount of work in progress.
  
- 3.2 As stated in Paragraph One (above) a verbal report will be given to the meeting and, in addition, every effort will be made to distribute the first draft LAA to Cabinet members in advance of the meeting.

Nick Gower Johnson  
County Partnerships Manager  
10<sup>th</sup> August 2006

## Appendix One

**Warwickshire LAA – Four Key Steps for the LAA & WCC Member Engagement  
July 2006 – March 2007**

| <b>Step No</b> | <b>LAA Milestone</b>                                | <b>Date</b>                  | <b>WCC Member Engagement</b> | <b>Date</b> | <b>Deadline for Papers</b> | <b>Comments/ Further information</b>                          |
|----------------|---|------------------------------|------------------------------|-------------|----------------------------|---|
| 1              | Work To lead to the production of First Draft LAA   | Required by GO-WM by 30 9 06 | Full Council                 | 18 7 06     | N/A                        | General Presentation  |
|                | NB1 LAA Steering Group to meet on 8 9 06<br>28 9 06 |                              | Leaders Liaison Group        | 27 7 06     | 20/7/06                    | Approval of the member engagement arrangements for the LAA    |
|                |   |                              | Cabinet                      | 7 9 06      | 10 08 06                   | To note /comment on Preliminary First Draft LAA               |
|                |   |                              | O & S Coordinating Group     | 21 9 06     | 14 08 06                   | To consider O & S role in development & scrutiny of the LAA   |
|                | NB2 2 <sup>nd</sup> Partnership Summit 26 9 06      |                              | Leaders Liaison Group        | 21 9 06     | 14 9 06                    | To share first draft LAA                                      |
|                |   |                              | All Area Committees          | By 21 9 06  | 23 08 06                   | To gain area by area feedback on Preliminary Draft LAA        |
|                |   |                              | Cabinet                      | 13 10 06    | 14 09 06                   | To endorse 1 <sup>st</sup> draft LAA on behalf of the Council |
|                |   |                              |                              |             |                            |   |



| Step No | LAA Milestone   | Date                         | WCC Member Engagement                             | Date                                      | Deadline for Papers                     | Comments/ Further information   |
|---------|---|------------------------------|---|---|---|---|
| 2       | Production of Final Draft LAA<br><br>NB1 LAA Steering Group Meetings fixed for<br><br>2 11 06<br><br>21 12 06<br><br>and further dates to agreed in early 2007. | Required by GO-WM by 10 2 07 | Cabinet<br><br>All Area Committees<br><br>Cabinet | 11 01 07<br><br>By 31 1 07<br><br>1 02 07 | 14 12 06<br><br>27 12 06<br><br>4 01 07 | To consider latest available revised draft of the LAA plus verbal update<br><br>Ditto<br><br>To receive and comment on Final Draft LAA on behalf of the Council |
| 3       | Production of Final LAA   | By end March 2007            | Cabinet   | 8 03 07                                   | 8 02 07                                 | To report on outcome of final negotiations and recommend final LAA for endorsement by full Council  |
| 4       | Final report on LAA Development   | 20 3 07                      | Full Council                                      | 20 3 07                                   | 20 02 07                                | To receive and endorse the final LAA on behalf of the Council   |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

## Warwickshire Local Area Agreement-Draft LAA

Possible Outcomes, Indicators & Targets

5<sup>th</sup> September 2006

## CHILDREN AND YOUNG PEOPLE

### Introduction

The first meeting of the newly constituted Children and Young People Partnership Forum was held on 19<sup>th</sup> July 2006. The second meeting of the block will be held on 8<sup>th</sup> September and the attached table may be subject to revisions made at that meeting.

The Vision that underpins the LAA outcomes is:

To improve the lives of children, young people and their families who live in Warwickshire by delivering significant improvements in children and young people's quality of life and the life chances of those currently disadvantaged

### Overview

The LAA will be used to improve outcomes for children and young people in a number of key areas identified by the Children and Young Peoples Partnership. They are:

- Increase the participation of children, young people and families in influencing the development and evaluation of services.
- Establish an Enhanced Support Service network with lead professionals throughout Warwickshire.
- Develop the educational achievements of Young People in Warwickshire with particular attention to defined communities.
  - (i) Improve attainment of pupils at Key Stage 4 of National Curriculum
  - (ii) Improve the range of alternative Curriculum options (14-19)
  - (iii) Close the attainment gap in disadvantaged areas of Warwickshire.
  - (iv) Improve the attainments of looked after children.
  - (v) Reduce the number of young people not in EET. (Mandatory) (LPSA 2)
  - (vi) Increase PSE performance in selected schools at foundation stage (LPSA 2)
  - (vii) Increase Communication, Literacy and Language performance (LPSA 2)
  - (viii) Increase attainment of Level 4 Maths and Science in selected schools in Warwickshire.(LPSA 2)
- Reduce the number of fixed term and permanent exclusions from schools in Warwickshire.
- Reduce the unplanned/unwanted conception rate of those pre-18 years (Mandatory)

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

- Increase the number of schools attaining the National Healthy School Standards (LPSA 2)
- Enhance young people's perception of safety in the community
- Improved opportunities are afforded through a comprehensive youth offer to young people in all areas of the County.

The Children and Young People's Partnership will lead this block and it is committed to using the LAA to add value to strengthen our intervention for all children and young people. We recognise that some face particular risks (e.g. looked after children) and we will also target resources to help to build particular protective factors for them. The LAA, as an integral part of our Children and Young People's Plan, will develop our integrated working methods and joint resourcing so that it becomes our normal method of delivery.

The provisional priority outcomes were developed by the Children and Young Peoples Strategic Partnership Forum in July following consultation, and taking account of the views of parents, young people and discussions at Local Strategic Partnerships. In order to improve outcomes across all of its priorities the Partnership recognises the necessity to transform its working practices. To achieve these changes we will require freedom and flexibility (e.g. for joint performance management arrangements to be agreed by individual regulators).

We are exploring the possibility of aligning or pooling budgets through the Children and Young People Strategic Partnership Executive Board.

### **Underpinning Principles or Enabling Measures**

- i) Partnership governance arrangements focused on establishing multi-agency localised teams to ensure locally responsive targets.
- ii) Workforce & skills development across agencies through point training etc.
- iii) Networks of extended schools (and other learning providers), children centres and other community bases for co-locating services.
- iv) Benchmarking of outcomes to ensure improvements are being delivered.

### BLOCK OUTCOMES

| <b>Block: CHILDREN AND YOUNG PEOPLE – Block Leader Marion Davies Warwickshire County Council</b>       |  |   |   |   |   |                |
|--|--|---|---|---|---|----------------|
| Outcomes   | Indicators   | Baselines<br>2006/07 (unless<br>otherwise stated) | Targets 2007/08<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Targets 2008/09<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Targets 2009/10<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Lead Partner   |
| <b>Achieve Economic Well Being</b>   |  |   |   |   |   |                |
| Percentage of 16-18 year olds not in education employment or training                                  | Mandatory  | To follow 01.09.06                                | To follow 01.09.06  | To follow 01.09.06  | To follow 01.09.06  | Connexions/WCC |
| Improving destinations for young people (Cohort Year 11 leaving Statutory Education July 2005) – LPSA2 | Percentage of Year 11 Leavers who are in positive destinations at November following completion of Statutory Education in July | Current Performance as at July 2005 = 93.6%       | To follow 01.09.06  | To follow 01.09.06  | 95.5% Unstretched<br>96.5% Stretched<br>as measured in November 2009                                | Connexions/WCC |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |                            |                  |                  |   |            |
|--|--|----------------------------|------------------|------------------|---|------------|
| <p><b>Be Healthy</b></p> <p>Modal Share in travel to school - Increase number of children travelling to school by sustainable methods of transport including walking cycling public transport &amp; car sharing – Mandatory (School Travel Advisers Grant)</p> | <p>Percentage reduction in pupils travelling to school by single occupancy car mode (as measured by DfES Annual Census and School Travel Survey results)</p> | <p>To follow 01.09.06</p>  | <p>0%</p>        | <p>0.25%</p>     | <p>0.5%</p>   | <p>WCC</p> |
| <p>Teenage Pregnancy– Reduction in the under 18 conception rate – Mandatory (Teenage Pregnancy Grant)</p>  | <p>Reduction in the under 18 conception rate</p>   | <p>To follow</p>           | <p>To follow</p> | <p>To follow</p> | <p>To follow</p>  | <p>WCC</p> |
| <p>Increase the number of schools achieving the National Healthy Schools Standard – LPSA2</p>  | <p>Percentage of schools in Warwickshire achieving Healthy Schools Status</p>  | <p>0% as at 31 12 2005</p> | <p>To follow</p> | <p>To follow</p> | <p>75% Unstretched<br/>95% Stretched<br/>As at 31 12 2009</p> | <p>WCC</p> |

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

|   |                 |  |  |  |  |  |
|---|-----------------|--|--|--|--|--|
| Reducing Obesity and improving health of children and young people through increased participation in sport and physical activity | To be developed |  |  |  |  |  |
|---|-----------------|--|--|--|--|--|

|  |   |                              |     |     |  |                                 |
|--|---|------------------------------|-----|-----|--|---------------------------------|
| <p><b>Enjoy &amp; Achieve</b></p> <p>Develop the educational achievements of young people in Warwickshire with particular attention to defined groups/communities:</p> <ul style="list-style-type: none"> <li>▪ KS4</li> <li>▪ Alternative curriculum (14-19)</li> <li>▪ Attainment gap for disadvantaged groups.</li> <li>▪ Attainment of Looked After Children</li> <li>▪ Improve educational attainment in the Early Years – LPSA2</li> </ul> |   |                              |     |     |  | <p>WCC/Warwickshire Schools</p> |
|  | Average total points score (per pupil)  | TBA                          | TBA | TBA | TBA  |                                 |
|  | TBA   | TBA                          | TBA | TBA | TBA  |                                 |
|  | Average total points score (per pupil)  | TBA                          | TBA | TBA | TBA  |                                 |
|  | Average total points score (per pupil)  | TBA                          | TBA | TBA | TBA  |                                 |
|  | Numbers of Children achieving Level 6 or above at Foundation Stage in PSE and CLL in defined school group | PSE 561 (88.8%)<br>CLL 68.7% | TBA | TBA | Unstretched-PSE – 89.5%<br>CLL – 71%<br>Stretched-PSE- 96.4%<br>CLL- 79.4% |                                 |



This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

|  |   |  |                                       |                                      |                                      |  |
|--|---|--|---------------------------------------|--------------------------------------|--------------------------------------|--|
| <p><b>Make a Positive Contribution –</b></p> <p>Reduce Permanent &amp; Fixed Term Exclusions from School</p>   | <p>Reduce to 50% of Present</p>               | <p>Fixed: 3132<br/>Permanent : 119</p> | <p>Fixed: 2632<br/>Permanent: 100</p> | <p>Fixed: 2132<br/>Permanent: 80</p> | <p>Fixed: 1566<br/>Permanent: 60</p> |  |
| <p><b>Enjoy &amp; Achieve</b></p> <p>Recognising young people’s cultural entitlement and perspective to broaden their experience and raise their aspirations by increasing their range of opportunities for cultural engagement</p> <p>Improved opportunities are afforded through a comprehensive Youth Offer to young people in all areas of the County.</p> | <p>To be developed</p> <p>To be developed</p> |  |                                       |                                      |                                      |  |
|  |   |  |                                       |                                      |                                      |  |

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

|  |  |           |  |  |   |  |
|--|--|-----------|--|--|---|--|
| <p><b>Stay Safe</b></p> <p>Enhance young people's perception of their personal safety in their local community</p> | <p>To be developed</p>   |           |  |  |   |  |
| <p><b>All 5 Every Child Matters Outcomes</b></p> <p>Enhanced Support Services</p>                                  | <p>Provide a county-wide network of enhanced support aimed at early intervention, building resilience and protective factors for families.</p> | <p>0%</p> | <p>50% of Warwickshire has access to ESS and Lead Professional</p> | <p>75% of Warwickshire has access to ESS and Lead Professional</p> | <p>100% of Warwickshire has access to ESS and Lead Professional</p> |  |

## SAFER COMMUNITIES

### Introduction

The Warwickshire Community Safety Partnership and Drug and Alcohol Action Team (WCSP/DAAT) developed outcomes relating to safer communities. Two meetings were held of the Group on 2<sup>nd</sup> August and 22<sup>nd</sup> August 2006. Regular communication was maintained with Sarah Burwood from GOWM. Further work will now be undertaken on the delivery plans that will be required to ensure that outcomes are achieved.

### Overview

The outcomes that were developed relied heavily on Mandatory/LPSA 2 Outcomes. In broad terms the outcomes are:

1. Reduce volume crime
2. Reassure the public and reduce fear of crime
3. Reduce the harm caused by illegal drugs and reduce the perceptions of local drug dealing and drug use as a problem
4. Build Respect in communities and reduce ASB
5. Increase domestic fire safety and reducing arson
6. Reduction in road casualties

**BLOCK OUTCOMES**

| <b>Block: (Insert name of Block) Safer Communities – Block Leader: Andy Parker, Deputy Chief Constable, Warwickshire Police</b>   |   |  |   |   |   |  |
|---|---|--|---|---|---|--|
| Outcomes  | Indicators  | Baselines<br>2006/07 (unless<br>otherwise stated)                                  | Targets 2007/08<br>(including any<br>stretch targets and<br>their annual<br>unstretched<br>targets) | Targets 2008/09<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Targets 2009/10<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Lead Partner   |
| Reduce Crime:<br>Reduction in overall<br>BCS comparator<br>crime - Mandatory<br><br>Reduce the<br>proportion of adult<br>and young<br>offenders and<br>PPOs who reoffend<br>- Mandatory | Reduce overall<br>BCS crime   | 2003/4 baseline:<br>28519  | Reduce overall crime<br>by 15.9%<br>= 23981   |   |   | CDRPs<br><br><br><br><br><br><br><br><br>YOT<br>YOT<br><br><br>YOT |
|   | Reduce domestic<br>burglary   | 2003/4 baseline:<br>3193   | Reduce by 20.92%<br>(LPSA2) =2525   |   |   |  |
|   | Reduce violent<br>crime   | 2003/4 baseline:<br>7031   | Reduce by 17.35%<br>(LPSA2) =5811   |   |   |  |
|   | Reduce theft of<br>vehicles   | 2003/4 baseline:<br>2122   | Reduce by 21.51%<br>(LPSA2) = 1666  |   |   |  |
|   | Reduce theft from<br>vehicles   | 2003/4 baseline:<br>5132   | Reduce by 17.465%<br>(LPSA2) = 4236   |   |   |  |
|   | Reduce Business<br>crime  | 2003/4 baseline:<br>15225  | Reduce by 15.9% =<br>12805  |   |   |  |
|   | Criminal damage;  | 2003/4 baseline:<br>9301   | Reduce by 17.52%<br>= 7671  |   |   |  |
|   | Reduction in young<br>offenders re-<br>offending<br>Increased levels of<br>parents of young<br>offenders<br>supported | Baseline 2005 37%<br>young offenders<br>reoffend<br>Baseline 30 parents<br>in 2005 | Reduce to 35%<br>(LPSA2)<br><br>55 parents supported<br>(LPSA2)                                     | Reduce to 33.3%   | 100 parents<br>supported  |  |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |   |   |                                   |                                   |        |           |
|--|---|---|-----------------------------------|-----------------------------------|--------|-----------|
|  | Increased numbers of victims involved in a restorative process            | Baseline – 50 victims of youth crime            | 60 victims of youth crime (LPSA2) | 75 victims of youth crime         |        |           |
|  | Young People entering the youth justice system                            | Baseline 2005/6 619 (Reduce by 5% 2006/7 = 585) | Reduce by 7%= 575                 | Reduce by 9%= 563                 |        | YOT       |
|  | Number of offences brought to justice as %age of crime                    | Baseline 2001/2 2006/7 target 10384             | To be determined by the LCJB      |                                   |        | LCJB      |
|  | Reduce reoffending  | Baseline 2002-3.                                | By 5% to .....                    |                                   | By 10% | Probation |
|  | <b>Domestic violence:</b><br>Increase the number of DV incidents reported | Baseline 2005 of 3945                           | Increase number by 5% to 4042     | Increase by 5% to 4244            |        | WCC       |
|  | Increase the number of victims and repeat victims reporting DV            | Baseline 2005 of 600 (est)                      | Increase number by 5% to 630      | Increase the number by 5% to 665. |        | WCC       |
|  | Reduce the number repeat perpetrators                                     | Baseline 2005 of 771 (arrests)                  | Reduce number by 5% to 732        | Reduce number by 5% to 695        |        | WCC       |
|  | Increase the number of perpetrators charged, going to court and convicted | Baseline 2005 of 150 (est)                      | Increase number by 5% to 158      | Increase number by 5% to 164      |        | WCC       |

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

|  |   |                             |                            |  |  |              |
|--|---|-----------------------------|----------------------------|--|--|--------------|
|  | <p><b>Alcohol (2007-8):</b></p> <p>To increase by the number of adults and young people who are <b>moderately and severely alcohol dependant</b> accessing specialist treatment and care services</p> <p>To increase the number of adults and young people who are <b>drinking harmfully</b> accessing information and advice and where appropriate brief intervention services</p> | <p>Baseline 492</p>         | <p>Increase to 615</p>     |  |  | <p>DAAT</p>  |
|  |   | <p>Baseline 1072</p>        | <p>Increase to 1600</p>    |  |  | <p>DAAT</p>  |
| <p>Reassure the public, reducing the fear of crime - Mandatory</p> | <p>Reduce fear of crime by 2% year on year</p> <p><b>Victims</b></p> <p>Increase the number of victims of crime where offender is charged, who feel effectively supported</p>   | <p>Baseline 2003/4 55%.</p> | <p>Reduce by 2% to 47%</p> |  |  | <p>CDRPs</p> |
|  |   | <p>Baseline.....</p>        | <p>Increase by 5%</p>      |  |  | <p>VIP</p>   |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |   |                         |                         |                         |  |       |
|--|---|-------------------------|-------------------------|-------------------------|--|-------|
|  | Reduce the number of young people (under 18) who have been the victim of recorded crime       | Baseline 2005/6<br>3408 | Reduce by 5% to<br>3338 | Reduce by 5% to<br>3270 |  | CDRPs |
|  | Reduce the number of young people under 18 who live with DV in their usual residence          | Baseline 1200 (est)     | Reduce by 5% to<br>1140 | Reduce by 5% to<br>1083 |  | WCC   |
|  | Reduce the number of older people (over 65) who have been the victim of recorded crime or ASB | Baseline 2005/6<br>2600 | Reduce by 5% to<br>2470 |                         |  | CDRPs |
|  | Race/hate crime victims   |                         |                         |                         |  |       |
|  | Increase the number of race/hate incidents reported   | Baseline 2005/6<br>484  | Reduce by 5% to 462     |                         |  | CDRPs |
|  | Reduce the number of repeat perpetrators  | Baseline....            | Reduce by 5%            |                         |  | CDRPs |
|  | Increase the number of perpetrators charged, going to court and convicted                     | Baseline....            | Increase by 5%          |                         |  | CDRPs |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |   |   |  |  |  |   |
|--|---|---|--|--|--|---|
| <p>Reduce the harm caused by illegal drugs;<br/>Reduce the perceptions of local drug dealing and drug use as a problem - Mandatory</p> | <p>Reduce the perceptions of local drug dealing and drug use as a problem<br/><br/>To increase the number people entering treatment.</p>  | <p>Baseline end 2005 19.9%</p> <p>Baseline 1150</p>   | <p>Reduce by 5% to 18.9%</p> <p>Increase to 1500</p>   |  |  | <p>CDRPs</p> <p>DAAT</p>  |
| <p>Build Respect in communities and reduce ASB- Mandatory</p>  | <p>Increase the number of people who feel informed about what is being done to tackle ASB in their area<br/>Increased %age of people who feel that parents in their local area are made to take responsibility for the behaviour of their children<br/><br/>Increased %age of people who feel that people in their area treat them with respect and consideration<br/><br/>Reduce people's perceptions of ASB</p> | <p>Baseline- Best Value survey</p> <p>Baseline- Best Value survey</p> <p>Baseline – Best Value survey</p> <p>Baseline – 2003/4 37.9% (2005/6 26.5%)</p> | <p>Increase by 3%</p> <p>Increase by 3%</p> <p>Increase by 5%</p> <p>Reduce by 3% to 23.5%</p> |  |  | <p>ASB steering group</p> <p>ASB steering group</p> <p>ASB steering group</p> <p>ASB steering group</p> |



**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|   |  |                                   |         |         |  |     |
|---|--|-----------------------------------|---------|---------|--|-----|
|   |  |                                   |         |         |  |     |
| Increase domestic fire safety   | Increase domestic fire safety through home fire risk assessments.<br>Baseline 2006/7 3350                | 3350                              | 3350    |         |  | WCC |
| Reducing arson – LPSA2  | Reduction in deliberate secondary fires  | 1208                              | 1170    | 1132    |  | WCC |
| <b>NB Statistics require checking to ensure congruence with LPSA2 agreement</b> | Reduction in deliberate primary vehicle fires  | 465                               | 461     | 458     |  | WCC |
|   | Reduction in deliberate primary property fires   | 163                               | 159     | 156     |  | WCC |
| Reduction in road casualties –LPSA2   | Reduction in the number of road casualties - people killed or seriously injured (KSI) in a calendar year | Average of 2000-4 of 562 annually | 472 KSI | 426 KSI |  | WCC |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

## Stronger Communities

### Introduction

Two theme group meetings were held on 20<sup>th</sup> July and 25<sup>th</sup> August which focussed on key themes arising from:-

- ◆ Existing community strategies
- ◆ Previously approved local area agreements
- ◆ The views of existing statutory agencies
- ◆ The views of the District Local Strategic Partnerships

In addition to this information was the feedback from two focus groups on 'Stronger Communities' from the Voluntary and Community Sector Conference on 12<sup>th</sup> July.

### Overview

In addition to the mandatory outcome relating to the empowerment of communities, the following have been suggested:

- Affordable Housing
- Community Cohesion
- Building Capacity Of Voluntary And Community Sector
- Improving The Quality Of Life For All With The Fastest Improvement For The Most Deprived

## BLOCK OUTCOMES

| Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council  |  |   |  |  |  |              |
|--|--|---|--|--|--|--------------|
| OUTCOMES   | INDICATORS   | Baselines 2006/07 (unless otherwise stated) | Targets 2007/08 (including any stretch targets and their annual unstretched targets) | Targets 2008/09 (including any stretch targets and their annual unstretched targets) | Targets 2009/10 (including any stretch targets and their annual unstretched targets) | Lead Partner |
| <p><b>1 <u>Mandatory - Capacity</u></b></p> <p>Empower local people to have a greater choice and influence over local decision making and a greater role in public service deliver</p> | <p><b>1 <u>Mandatory - Capacity</u></b></p> <ul style="list-style-type: none"> <li>• Percentage of residents who feel they can influence decisions affecting their local area</li> <li>• Percentage of people who feel that their local area is a place where people from different backgrounds get on well together</li> <li>• an increase in the number of people recorded as or reporting that they have engaged in formal volunteering on an average of at least two hours per week over the past year</li> <li>• Increase in the</li> </ul> |   |  |  |  |              |

**Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council**

| OUTCOMES | INDICATORS   | Baselines<br>2006/07<br>(unless<br>otherwise<br>stated) | Targets 2007/08<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2008/09<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2009/10<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Lead<br>Partner |
|----------|--|---|--|--|---|-----------------|
|          | <p>percentage of residents who have been involved in formal or informal voluntary work at least three times in the past year</p> <ul style="list-style-type: none"> <li>• Increase the percentage of residents in governance roles who identify local needs and actions to tackle them.</li> <li>• Increase in the number of town and parish councils attaining Quality Status.</li> <li>• Increase in the percentage of local people who have a greater choice and influence:                             <ul style="list-style-type: none"> <li>a) over local decision making</li> <li>b) in their local community</li> </ul>                             And a greater role in public service delivery within a thriving and vibrant VCS.                         </li> </ul> |   |  |  |   |                 |

**Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council**

| OUTCOMES   | INDICATORS  | Baselines<br>2006/07<br>(unless<br>otherwise<br>stated) | Targets 2007/08<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2008/09<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2009/10<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Lead<br>Partner |
|--|---|---|--|--|---|-----------------|
| <p><b>2 Housing</b></p> <p>To increase the availability of affordable, appropriate and decent housing</p> <p>Reducing the number of vulnerable groups in fuel poverty and increasing the level of energy efficiency of housing occupied by those groups.</p> | <p><b>2 Housing</b></p> <ul style="list-style-type: none"> <li>• An increase in the percentage of               <ul style="list-style-type: none"> <li>(a) new affordable homes built or brought into the market;</li> <li>(b) decent homes.</li> </ul> </li> </ul> <p>(a)BV63 – Energy efficiency – the average SAP rating of LA owned dwellings;</p> <p>(b)? Energy Efficiency in private sector housing (HECA?).<br/><i>(Advice being sought from District/Borough Environmental Health Officers.)</i></p> <p>Decrease in the number of people inadequately housed as demonstrated by a reduction in:</p> <p>local authority housing lists<br/>housing associations waiting lists<br/>Private Sector homes failing Decent Homes Standard<br/>Empty Homes</p> |   |  |  |   |                 |

**Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council**

| OUTCOMES  | INDICATORS   | Baselines 2006/07 (unless otherwise stated) | Targets 2007/08 (including any stretch targets and their annual unstretched targets) | Targets 2008/09 (including any stretch targets and their annual unstretched targets) | Targets 2009/10 (including any stretch targets and their annual unstretched targets) | Lead Partner |
|---|--|---|--|--|--|--------------|
| <p><b>3 <u>Quality of Life</u></b></p> <p>To improve the quality of life for the most disadvantaged people and neighbourhoods.</p> <p>To improve the quality of life for people in the most disadvantaged neighbourhoods</p> <p>Or</p> <p>To tackle social exclusion and deliver neighbourhood renewal. In particular narrowing the gap in health education worklessness and liveability outcomes between the most deprived areas and the rest of England</p> <p>Or</p> <p><b>To improve the quality of life for people in the most disadvantaged neighbourhoods and ensure</b></p> | <p><b>3 <u>Quality of Life</u></b></p> <p>A percentage decrease in the gap between disadvantaged people or neighbourhoods who have access to health, education, culture and sport.</p> <p>Percentage increase in the number of people who feel that their neighbourhood is a good place to live in</p> <p>Percentage decrease in unemployment levels</p> |   |  |  |  |              |

**Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council**

| OUTCOMES  | INDICATORS   | Baselines<br>2006/07<br>(unless<br>otherwise<br>stated) | Targets 2007/08<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2008/09<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets | Targets 2009/10<br>(including any<br>stretch targets<br>and their annual<br>unstretched<br>targets) | Lead<br>Partner |
|---|--|---|--|--|---|-----------------|
| <p><b>service providers are more responsive to neighbourhood needs and improve their delivery</b></p> <p>Or</p> <p>To reduce income deprivation including child and pensioner poverty.</p> <p>To improve the quality of life for all particularly the most disadvantaged by reducing the gap in:</p> <p>Health Care<br/>Educational Attainment<br/>Income Poverty</p> | <p><b>Percentage increase in the number of people accessing benefits to which they are entitled.</b></p> <p>Increase in the number of people in employment and employment of choice.</p> <p>Increase in the number of people accessing health care.</p> <p>Increase in the educational attainment A-C's</p> <p>Reduction in number of those in poverty and fuel poverty.</p> <p>Reduction of those claiming benefits</p> |   |  |  |   |                 |

| <b>Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council</b>   |  |  |   |   |   |                     |
|--|--|--|---|---|---|---------------------|
| <b>OUTCOMES</b>  | <b>INDICATORS</b>  | <b>Baselines 2006/07 (unless otherwise stated)</b> | <b>Targets 2007/08 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2008/09 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2009/10 (including any stretch targets and their annual unstretched targets)</b> | <b>Lead Partner</b> |
| <p><b>4 <u>Community Cohesion</u></b></p> <p>To increase community cohesion and inclusion</p> <p>To increase community cohesion and inclusion</p> <p>or</p> <p>To reduce social isolation and increase the sense of belonging</p> <p>or</p> <p>To build a cohesive society based on equality of opportunity, irrespective of race, gender, age and sexual orientation.</p> <p>or</p> <p>To celebrate race ethnicity, culture, faith, age, disability, gender and sexual orientation through reducing discrimination,</p> | <p><b>4 <u>Community Cohesion</u></b></p> <p>Percentage increase in the number of people from “disadvantaged” or “minority” groups who feel that they have a stake or say in their community.</p> <p>Percentage increase in the number of supported activities, events where community cohesion is a key theme.</p> <p>Percentage increase in the reported number of incidents of hate crime with a percentage increase in the number of complainants satisfied with the outcome of their complaint.</p> <p>Percentage increase in the number of residents who feel that their local area is a place where people from different backgrounds get on well together</p> <p>.</p> <p>Promoting ‘sense of place’ and celebrating community diversity by broadening access to and</p> |  |   |   |   |                     |



| <b>Block: STRONGER COMMUNITIES – Block Leader : Janie Barrett Warwick District Council</b>   |  |  |   |   |   |                     |
|--|--|--|---|---|---|---------------------|
| <b>OUTCOMES</b>  | <b>INDICATORS</b>  | <b>Baselines 2006/07 (unless otherwise stated)</b> | <b>Targets 2007/08 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2008/09 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2009/10 (including any stretch targets and their annual unstretched targets)</b> | <b>Lead Partner</b> |
| <p>improving inclusion and building individuals' and communities' self esteem</p> <p>or</p> <p>To improve perceptions of community engagement and cohesion.</p> <p>To promote social inclusion in particular by improving access to justice and referral pathways</p> <p>or</p> <p>To support local people to have a sense of community spirit by promoting community activities to bring people together</p> <p>or</p> <p>To promote equality of access to services so that everyone can have a choice and secure independent living.</p> | <p>increasing participation in, cultural and sporting activities</p> |  |   |   |   |                     |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

Healthier Communities and Older People

### **Introduction & Context**

Initial Block outcomes have been developed by a joint meeting of the Older People's Forum and Health Improvement and Well-Being Group which met on 4<sup>th</sup> August 2006.

The outcomes detailed below will require revision and further development by the Block Leader in consultation with key partners and stakeholders over the next few weeks, following which further work will be carried out in relation to accessing base-line information and the development of year on year targets

### **Overview**

In broad terms the initial outcomes for inclusion within the Block are:

- Improve Health and reduce Health Inequalities
- Tackling Poverty
- Encourage and promote healthy lifestyles
- Furthering the Supporting People Initiative (requires further guidance and information from central government before Outcomes c an be developed further by the County Council and its partners).
- Increase the dignity, independence and quality of life of older people
- Reducing inequalities in accessing services and opportunities caused by transport issues

### BLOCK OUTCOMES

| <b>Block: (Insert name of Block) Healthier Communities and Older People – Block Leader: Laurence Tennant Warwickshire PCT (supported by Stephen Munday (Warwickshire PCT) and Graeme Betts (WCC))</b> |  |  |   |   |   |                     |
|---|--|--|---|---|---|---------------------|
| <b>Outcomes</b>   | <b>Indicators</b>  | <b>Baselines 2006/07 (unless otherwise stated)</b> | <b>Targets 2007/08 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2008/09 (including any stretch targets and their annual unstretched targets)</b> | <b>Targets 2009/10 (including any stretch targets and their annual unstretched targets)</b> | <b>Lead Partner</b> |
| Improve health and reduce health inequalities<br><br><b>(Mandatory)</b>   | Spearhead area – Reduce health inequalities between the spearhead area and the English population by narrowing the gap in all-age, all-cause mortality | TBA  | To be developed   | To be developed   | To be developed   | To be developed     |
|   | Reduce health inequalities within the local area, by narrowing the gap in all-age, all-cause mortality   | “  | “   | “   | “   | “                   |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |                             |   |   |  |                                   |
|--|--|-----------------------------|---|---|--|-----------------------------------|
| Supporting People – outcomes framework being developed by ODPM/DCLG <b>(Mandatory)</b> | To be developed following publication of DCLG / DoH Guidance – promised April 2006 but yet to be received        |                             |   |   |  |                                   |
| <b>Tackling Poverty (LPSA2)</b>  |  |                             |   |   |  |                                   |
| a) Countywide Project  | Increased claimant income for Council Tax and Housing Benefits   | 32,607 as at September 2005 |   | 35,868 as at 31 3 2009 (with stretch)   |  | District & Borough Councils       |
| b) Warwick District Welfare Rights Project   | Increase in welfare benefit uptake through the Project   | 200 as at September 2005    |   | 1050 as at 31 3 2009 (with stretch)     |  | Warwick District CAB              |
| Promoting Healthier Lifestyles   | Reducing Deaths from Circulatory Disease mortality for Nuneaton & Bedworth per 100,000 population <b>(LPSA2)</b> | 114                         | Without stretch 112<br>With stretch 109 | Without stretch 111<br>With stretch 104 | Without stretch 109<br>With stretch 99 | Nuneaton & Bedworth Leisure Trust |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |  |                        |             |  |  |
|--|--|--|------------------------|-------------|--|--|
|  | <p>Increase percentage of people consuming 5 or more portions of fruit and vegetables each day</p> <p>Increase percentage of adults undertaking a minimum of 30 mins of moderate intensity physical activity 5 or more times per week</p> <p>Reduce Smoking prevalence through:</p> <p>A Increasing support to organisations to become smoke free environments up one month after legislation</p> <p>B Increasing the number of 4 week quitters</p> <p>Increase access to cultural and sporting activities including volunteering to enhance the health and well-being of Warwickshire residents</p> | <p>17.5%</p> <p>26.1%</p> <p>120</p> <p>2736 (2005-6 actual) 3770 Target</p> | <p>250</p> <p>4250</p> | <p>4675</p> |  |  |
|--|--|--|------------------------|-------------|--|--|

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |   |  |  |                 |  |
|--|--|---|--|--|-----------------|--|
|  | <p>Increase access to low level emotional and support services by specific groups:</p> <p>Older People (through increased access to befriending support via PHILLIS)</p> <p>Young Parents (through increased access to community based support networks)</p> <p>People with low level mental health problems (through increased access to employment &amp; training)</p> |   |  |  |                 |  |
| Reducing inequalities in accessing services and opportunities caused by transport issues | Indicators to be defined but will address all aspects of the Block   |   |  |  |                 |  |
| Increase the dignity, independence choices and quality of life of older people           | Improved satisfaction levels amongst home care users (LPSA2)   | To be established by Base Line Survey (February 2006) |  |  | Base Line + 148 |  |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |
|  | <p>Increased proportion of older people supported to live in their own homes</p> <p>Reduced number of unnecessary emergency hospital admissions through Chronic Obstructive Pulmonary Disease (COPD)</p> <p>Increased numbers of older people taking up adult learning opportunities</p> |  |  |  |  |  |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

Economic Development and Enterprise

Introduction

Two meetings of the Theme Group were held on 14<sup>th</sup> July 2006 and 24<sup>th</sup> August 2006 at the Coventry and Warwickshire Chamber of Commerce.

Overview

Another series of presentations were made at the second theme group seminar which set out – in more detail – the context for the 4<sup>th</sup> block in W; indicators. This was followed by two workshop sessions which led to the following 4 key priorities to be highlighted:

- Increase the vitality and viability of Warwickshire's town centres and market towns
- Achieve sustainable growth of Warwickshire's businesses through increased entrepreneurship and innovation – and through the adoption of new and emerging technologies
- More adults with the skills and qualifications needed to be an effective member of the Warwickshire workforce
- Reduce the level of worklessness amongst Warwickshire's residents by improving access to employment opportunities and support especially for the most economically disadvantaged.

For each priority a series of key actions – existing activity and new / planned have been listed, along with a series of 'output' indicators which are directly related to the actions, but are relatively straightforward to measure and hence show progress by 'proxy' to GO on a more frequent basis than some 'outcome' indicators may be available at. And finally we identified 2 or 3 high level indicators to show overall progress. More work is needed on these high level indicators

A more detailed explanation of the model is set out later in this report.

Actions and output indicators for each priority - the 'input' information which led us to prioritise these outcomes are set out in the next section below. The higher level indicators, baselines and targets are separated out and shown in the 'proforma' attached to this report.



This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

**Additional: Economic Development & Enterprise 4<sup>th</sup> Block – Actions and output indicators**

**PRIORITY 1: Increase the vitality and viability of Warwickshire's town centres and market towns**

**ACTIONS:**

**Existing:**

- Rugby BIDS
- Town Centre Managers Forum
- Market town tourism initiatives
- Establishment of C&W Destination Management Partnership
- Major town centre capital investment and highway improvements
- EnjoyWarwickshire.com portal to attract visitors to all towns and encourage movement around the county
- C&W Tourism Officers Group to develop best practice and co-ordinate joint initiatives

**New / Planned**

- Extend Rugby BIDS and secure BIDS votes for Stratford and Leamington
- (re) establish market town group to share good practice ?
- Implement World Class Stratford Phase 1
- Implement N&B TC Phase 1 programme
- Develop C&W DMP action and resource plan
- Heart of England Tourism to pilot a destination Health Check in Warwick – could produce some indicators.
- Secure funding for Warwickshire's market towns (successor to AWM programme)
- Warwick renaissance bid to Tourism West Midlands

**OUTPUT INDICATORS**

- Select indicators from AWM contracts for Stratford and N&B Phase 1s
- Increase number living in Warwickshire's town centres (new housing units and living over shops initiatives)
- Increase number of visitors / footfall (available for selected towns but not in a standardised form)
- Visitor survey will be conducted for C&W, but only every 2 or 3 years frequency.
- Increase number using town centre car parks (selected?)
- Measure % increase or decrease in business turnover

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

- Monthly measurement of number of hits on EnjoyWarwickshire.com
- Number of vacant retail units (collected in non standard form by DCs).
- Warwickshire towns position in most successful/ profitable town 'poll' ex Dun & Bradstreet

**PRIORITY 2 : Achieve sustainable growth of Warwickshire's businesses through increased entrepreneurship and innovation - and through the adoption of new and emerging technologies**

#### **ACTIONS:**

##### **Existing**

- Maximise potential for capital investment from current funding programmes including the CSW High Technologies Corridor initiative
- Promotion of STEP, student projects and other award schemes to reward innovation
- Identify and promote the Warwickshire 'USP' for inward investors in motor sport, medical, health and other technologies, serious games and media (and spin offs such as creative industries).
- Maximise the benefits to local businesses of the business support schemes by ensuring that support and advice via Business Link's core programme and, in particular, the Strategic Business Support Package, is sustained and that the delivery of services provided by partner agencies based in the County is co-ordinated
- Assisting local SMEs to be able to compete more effectively for contracts with local authorities in Warwickshire through the provision of seminars, training and through enhanced availability of information about available contracts.
- Provision of business incubation and advisory services designed to support innovative and advanced technology businesses

##### **New / planned**

- Develop HE-SME links through programmes such as RICE (Interreg), postgraduate schemes and transnational networking
- Further development of specialist floor space for new/emerging technologies and clusters for which there is a demonstrable market demand (e.g. Fen End, UWSP, CUE, Stoneleigh Park and Ansty)
- Maximise opportunities for Warwickshire businesses from the new EU regional Competitiveness & Employment priorities for Innovation & Knowledge and Business & Access to Finance
- Facilitate business access to High Growth finance e.g. fast track concepts for which UWSP is the sub regions link/ delivery arm.
- Identify potential for incubator space and enterprise development for new / emerging businesses, especially in north of the county.
- Influence the new regional Business Enterprise Service to deliver locally by working the regional gateway and brokerages by pre-processed enquiries.

#### **OUTPUTS:**

- Level of external funding secured by Warwickshire schemes via external funding partners to contribute towards increasing innovation, business growth and further developing the knowledge based economy of the county (and sub region)

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

- Measure take-up of High Growth Finance to support growth in HVA sectors/business in the county.
- Number of new businesses / individuals advised by agencies in the community enterprise/ 3<sup>rd</sup> sector.
- Agree, with partners, a cohesive strategy for enterprise and entrepreneurship support.

**PRIORITY 3: More adults with the skills and qualifications needed to be an effective member of the Warwickshire workforce.**

**ACTIONS:**

**Existing**

- Delivery and development of existing skills training programmes by agencies such as work based learning providers, FE Colleges, Adult & Community Learning, individual employers, voluntary and community sector, Learn Direct, Connexions and others e.g. Construction based Opportunities Centres
- Continue to develop the work readiness programmes provided by organisations such as Education Business Partnership and others to ensure the jobless and school leavers are better 'qualified' (i.e. prepared) to enter the workforce.

**New / planned**

- Supporting for Skills for Life (basic skills) programmes to include breaking down qualifications into bite-sized modules to increase achievability and desirability
- Increasing the achievability and desirability of vocational qualifications.
- Engaging employers more closely in the skills agenda through the Train to Gain programme and by strengthening the links between colleges, training providers and employers
- Enhancing the ICT skills of the workforce and the jobless
- Develop with agency partners and key stakeholders a county-wide Employment and Skills Strategy which sets out an agreed framework for activity and clarification of individual partners roles/ 'responsibilities'

**OUTPUT INDICATORS :**

- Employers Survey: fewer employers complaining of 'unpreparedness, lacking in basic skills etc' Bi annual survey. %'s TBC
- Measure take up of Train to Gain
- Number of ICT qualifications (ITQ) being achieved across the county especially amongst over 50's and unemployed
- Measure number of people using informal learning ICT centres in libraries etc towards achieving an accredited qualification
- Completion rates of apprenticeships and other recognised vocational training courses in subjects related to the skill shortages identified in the Employers Survey

**PRIORITY 4 : Reduce the level of worklessness amongst Warwickshire's residents by improving access to employment opportunities and support especially for most economically disadvantaged.**

*NOTE : Some of these activities may be partly reflected in other blocks, especially Healthier Communities and Older People, and tackle Jobcentre plus priorities of reducing levels on benefit (esp. Incapacity Benefit) and worklessness amongst the over 50's. The 4<sup>th</sup> Block wish to reflect actions relating more towards employer engagement, training programmes and new initiatives to improve 'access to opportunity' for the most disadvantaged*

**ACTIONS:**

**Existing**

- Work with employers to advise on support available to take on workers from key priority groups, eg brokering opportunities for disabled people through projects like RAMP and Focus on Ability
- Area based programmes like People into Employment and CHEERS which target and work with economically disadvantaged people to improve their employment opportunities and prospects, including self employment
- Develop sector specific training opportunities, facilitate apprenticeships and employment for local people in our most disadvantaged areas eg Construction Opps Centres
- Work with partners to improve access and quality of information on job and training opps eg via Recruitment Network and Train to Gain

**New / planned**

- Undertake empirical data gathering and field research to assess the impact of economic migrants in Warwickshire's economy on meeting hard-to-fill vacancies and skills 'gaps' and the possible affect on indigenous workforce opportunities.
- Review 'employment premium scheme' principals to assess its impact in helping encourage employment of workers from disadvantaged groups.

**OUTPUT INDICATORS**

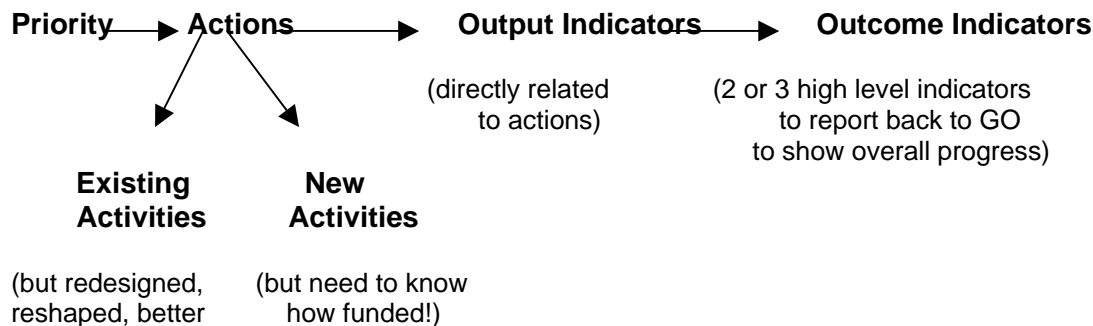
- Complete the development of a WCC strategy to be an exemplar of good recruitment and employment practice amongst county employers in supporting employment for hard to reach and disadvantaged people (including carers, lone parents, disabled, over 50+, those with mental health problems, minority ethnic groups). Measure the number employed.
- Number of people benefiting from Non Government Funded Open College Network programmes
- Level of new ESF funding secured in the county through new Regional Competitiveness & Employment EU programme to support

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**  
training and skills development for disadvantaged people

**Other issues:**

- i) At present unknown affect of 'regionalism' of skills and business support agendas and what that might mean to future service and budgets in the county.
- ii) Acknowledgement that –with no additional resources to support delivery of 4<sup>th</sup> Block outcomes – we need to find ways of adding value through the LAA process, by reshaping and better partnership working rather than simply repackaging and presenting what we're already collectively doing!
- iii) How can we ensure that other themes are encouraged to help support and deliver 4<sup>th</sup> block priority outcomes (and vice versa). A number of very important issues were discussed at length by 4<sup>th</sup> block members – in particular issues around entrepreneurship and work readiness of young people and school leavers, affordable housing, social inclusion challenges (e.g. debt) and new measures to ensure people on ICB to have pathways back to employment (by working with doctors surgeries./ PCTs etc) but it was felt that these issues – although important – should be directed to other blocks.
- iv) We have not set any stretch targets for this block (yet).
- v) we have retained the balance between 'narrowing the gap' and 'building competitive advantages' and have achieved a mix of themes which reflect supply (skills, workless, access) and demand (business support and innovation)

**The 4<sup>th</sup> Block Model:**



**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**  
partnership working)

**Rationale:**

Workshops have generated lots of ideas for potential actions and indicators, shows positive interest and buy to the principles of the 4<sup>th</sup> block LAA and helps gives us a real Warwickshire focus. However can lead to problems of strategic management – lots of indicators which we need to report on regularly and many of which are simply out of our (local) control to affect. We have tried to avoid setting extremely ‘high level’ outcome indicators (e.g. Gross Value Added, number of visitors etc) as they are unlikely to be affected by the LAA process in the short term (esp. in Warwickshire when we have no additional resources via NRF, LEGI etc).

A different way of organising this is to look at Actions, Output Indicators (which are local and which we can collect for our information and for GO as ‘proxy’ performance indicators when we need to report on progress of the LAA) and finally a few more higher level outcome indicators which we use as the main basis for reporting.

This model could b applied across the entire Warwickshire LAA programme if it was felt to be helpful?

### BLOCK OUTCOMES

| <b>Block: ECONOMIC DEVELOPMENT &amp; ENTERPRISE – Block Leader Louise Bennett Coventry &amp; Warwickshire Chamber</b>   |   |  |                            |                            |                        |  |
|---|---|--|----------------------------|----------------------------|------------------------|--|
| <b>Outcomes</b>   | <b>Indicators</b><br><b>We intend to select 2 or 3 MAX per priority</b>   | <b>Baselines 2006/07 (unless otherwise stated)</b> | <b>Targets 2007/08</b>     | <b>Targets 2008/09</b>     | <b>Targets 2009/10</b> | <b>Lead Partner</b>  |
| <b>COMPETITIVENESS</b>  |   |  |                            |                            |                        |  |
| <b>Increase the vitality and viability of Warwickshire’s town centres and market towns</b>  | Number of successful Warwickshire Business Improvement District Schemes achieved (local indicator)              | 1  | 2                          | 3                          |                        | WCC, District / Borough Councils / TC Mgt Partnerships/BID Ltd Companies |
|   | Amount of private sector income (for reinvested in BIDS activity) accrued (local indicator)                     | £4m over 5 years                                   | Another £2m over 3-5 years | Another £2m over 3-5 years |                        |  |
|   | Other indicators to be established  |  |                            |                            |                        |  |
| <b>ENTERPRISE &amp; INNOVATION</b>  |   |  |                            |                            |                        |  |
| <b>Achieve sustainable growth of Warwickshire’s businesses through increased entrepreneurship and innovation – and through the adoption of new an emerging technologies</b> | Increase % economically active people of working age with NVQ level4 + qualification (Annual population survey) | 25.5% (2003/4) (Labour force survey)               |                            |                            |                        |  |
|   | Number of businesses and jobs created in high added   | Baseline will be avail end 2006/7                  |                            |                            |                        |  |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|  |  |  |        |        |  |  |
|--|--|--|--------|--------|--|--|
|  | value sectors which have been supported by WIPs – split by ICT, motorsport and medical technologies            |  |        |        |  |  |
|  | Number of new jobs created in key HVA sectors  | Tbc – will use % jobs in K1 sectors as proxy   |        |        |  |  |
|  | Number of new business start-ups in priority areas of the county (N&B) – compared to SE as well as WMids       | VAT registration as prop of resident working age population or prop of total VAT reg business stock<br>County 58, N&B 37 (cf W Mids 46) and S-o-A 83 (cf SE at 57) |        |        |  |  |
|  | Measure innovation by number of registered patents / investigate Barclays work on new business formation rates | Tbc  |        |        |  |  |
| <b>SKILLS</b>  |  |  |        |        |  |  |
| <b>More adults with the skills and qualifications needed to be an effective member of the Warwickshire workforce</b> | Number of economically active people of wkng age with no qualifications  | 32,400 (Feb 04)<br>31,4000 (target 06/7)   | 30,500 | 29,500 |  |  |
|  | Increase number of Skills for Life enrolments  | Tbc  |        |        |  |  |
|  | Increase number of Skills for Life qualification achievements  | 4,315 (2004/5)<br>6,645 (2006/7 target)  | 7,300  | 8,000  |  |  |
|  | Number of economically active people of wkng age with no NVQ L2 or equiv<br>Source Labour Force Survey         | 91,900 (Feb 04)<br>87,000 (2006/7 target)  | 84,000 | 80,000 |  |  |



**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|   |   |                        |  |  |  |  |
|---|---|------------------------|--|--|--|--|
|   | Number of overall individual learners enrolling with Adult & Community Learning Service   | 2006/7 target is 4,100 |  |  |  |  |
| <b>EMPLOYMENT</b>   |   |                        |  |  |  |  |
| <b>Reduce the level of worklessness amongst Warwickshire's residents by improving access to employment opportunities and support especially for most economically disadvantaged</b> | Measure numbers into work from ETW JC+ progs for New Deal clients (6+months unemployed) – Steps 2 Work. Identify key milestone measurements | Tbc                    |  |  |  |  |
|   | Measure numbers of 'workless' receiving Information, Advice & Guidance on training and employment opps through ESF SeTL prog.               | Tbc                    |  |  |  |  |
|   | Measure number of unemployed and hard-to-reach clients achieving non accredited training through new 'Goals certificate'                    | Tbc                    |  |  |  |  |
|   | Others / alternative sot be discussed   |                        |  |  |  |  |
| <b>CULTURE, LEISURE AND SPORT</b>   |   |                        |  |  |  |  |
| <b>Creating better local conditions to allow creative industries to flourish and supporting culture's contribution to the economic well being of Warwickshire</b>                   |   |                        |  |  |  |  |

## ENVIRONMENT AND SUSTAINABILITY

### Introduction

Two theme group meetings were held on 23<sup>rd</sup> and 30<sup>th</sup> August to develop outcomes. In seeking to develop outcomes the Group were mindful of the need to develop distinctive outcomes that would justify the inclusion of environment and sustainability as an additional block.

### Overview

From the two meetings above the following outcomes were included:

- Quality of Built Environment
- Cleanliness
- Quality of Infrastructure
- Green Environment
- Sustainable Land Use
- Environmental Functions
- Liveability
- Reduce Greenhouse Gas Emissions
- Increase Generation and Use of Renewable Energy and the Energy Efficiency of Buildings
- Reduce Rate of Increase in Transport Related Carbon and Greenhouse Gas Emissions by Reducing the Need for Private Car Usage
- Reduce the Amount of Waste Generated, and Increase the Recycling Rate of Remaining Waste

**Environment and Sustainability - Local Area Agreement      List of Outcomes/Indicators and Targets – Block Leader Christine Kerr  
Nuneaton & Bedworth Borough Council in partnership with Rugby Borough Council**

| Outcome Descriptor   | Performance Measure – Indicator  | Performance Information  | Performance/Targets   |                                     |                                      | Organisations Involved in Resource Contribution            |
|--|--|--|---|-------------------------------------|--------------------------------------|--|
|  |  |  | 2007/08   | 2008/09                             | 2009/10                              |  |
| E1<br><b><u>Quality of Built Environment</u></b>   |  |  |   |                                     |                                      |  |
| E1A<br><b><u>Cleanliness</u></b><br><ul style="list-style-type: none"> <li>• Land</li> <li>• Highways</li> <li>• Abandoned Vehicles</li> <li>• Fly tipping</li> </ul>  | i) The % of relevant land and highways that has accumulations of litter, etc., which fall below an acceptable level<br>ii) The % of people satisfied with a cleanliness standard in their area<br>iii) The % of abandoned vehicles removed within 24 hours<br>iv) The year on year increase in the total no. of enforcement actions taken to deal with fly tipping | +BVPI 199a<br>Citizens' Panel (WCC)<br>BVPI 89<br><br>BVPI 218b<br><br>BVPI 199d | 17%<br>To be co-ordinated annually<br><br>Survey every three years.<br>Next due 2006/07<br><br>97%<br><br>2 | 16%<br><br><br><br><br>99%<br><br>1 | 15%<br><br><br><br><br>100%<br><br>1 | WCC and District Councils<br><br>" "<br><br>" "<br><br>" " |
| E1B<br><b><u>Quality of Infrastructure</u></b><br><ul style="list-style-type: none"> <li>• Roads</li> <li>• Footpaths</li> <li>• Design</li> </ul> <b>Physical Design</b><br><ul style="list-style-type: none"> <li>• Recycling points</li> <li>• Grey Water</li> <li>• Recycling</li> </ul> | i) The average No. of days taken to repair a street lighting fault which is under the control of the LA<br>ii) % of footways where structural maintenance is required<br>iii) % of principal roads where structural maintenance is required<br>iv) Town Centre Disability Audits   | BVPI 215a<br><br>BVPI 187<br><br>BVPI 223  | 5 days<br><br>30.4%<br><br>5%<br><br>Adherence to County Wide Disability Group Action Plan                  | 5 days<br><br>28.9%<br><br>5%       | 5 days<br><br>To be agreed<br><br>5% | WCC<br><br>WCC<br><br>WCC<br><br>WCC and District Councils |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|   |   |  |  |   |
|---|---|--|--|---|
| <ul style="list-style-type: none"> <li>• <b>Recycling Design Points</b></li> </ul>  | v) No. of properties with inbuilt Recycling facilities – rainwater butts  |  | Policy development in place ensuring Best Practice by 2009/10  | WCC and District Councils   |
| E1C <u>Sustainable Land Use</u> <ul style="list-style-type: none"> <li>• <b>Empty Homes</b></li> <li>• <b>Affordable Homes</b></li> <li>• <b>Derelict Land</b></li> <li>• <b>Neglected Sites</b></li> </ul> | i) Time taken to re-let local authority housing<br>No. brought back into use (private sector)<br>ii) % of residential planning housing permissions relating to Brownfield sites<br>iii) % of affordable housing provided by relevant planning permission<br>iv) | BVPI 212<br><br>BVPI 64<br>BVPI 106<br><br>- | ) Individual districts to<br>) ensure adherence to<br>) individual targets<br>80%    80%    80%<br><br>25%    30%    33.3% | WCC and District Councils<br><br>“                      “<br><br>“                      “ |

| Outcome Descriptor  | Performance Measure – Indicator  | Performance Information  | Performance/Targets   |  |         | Organisations Involved in Resource Contribution |
|---|--|--|---|--|---------|---|
|   |  |  | 2007/08   | 2008/09  | 2009/10 |   |
| E2 <b><u>Green Environment</u></b>  |  |  |   |  |         |   |
| E2A <b><u>Liveability</u><br/>Improve the quality of all local parks, nature reserves and peoples' neighbourhoods</b> | <p>(i) Percentage of residents satisfied with the local authority cultural services (e) Parks and open spaces</p> <p>(ii) Percentage of residents reporting an increase in satisfaction with their neighbourhoods and in disadvantaged areas showing a narrowing of the gap between these areas and the rest</p> <p>(iii) Accessible green space less than 300 m in a straight line from homes</p> | <p>BV119 e</p> <p>Citizens' Panel (WCC)</p> <p>Satisfaction surveys</p> <p>Warwickshire QOL Natural Resource Indicator</p> | <p>Survey every three years. Next due 2006/07</p> <p>To be co-ordinated annually</p> <p>All local authorities to have surveyed areas and agreed action plans to address deficiencies by 2009/10</p> | <p>WCC and District Councils, British Waterways, Warwickshire Wildlife Trust,</p> <p>Community &amp; voluntary sector, English Nature and Environment Agency</p> |         |   |

**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

|                      |  |  |     |     |      |  |
|----------------------|--|--|-----|-----|------|--|
| <b>Effectiveness</b> | Survey public awareness and knowledge to feed into No. iii above (the effectiveness of the leaflets produced and distributed to the existing 19 local nature reserves in Warwickshire) |  | 50% | 75% | 100% |  |
|----------------------|--|--|-----|-----|------|--|

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

| Outcome Descriptor  | Performance Measure – Indicator   | Performance Information  | Performance/Targets  |  |         | Organisations Involved in Resource Contribution |
|---|---|--|--|--|---------|---|
|   |   |  | 2007/08  | 2008/09  | 2009/10 |   |
| <p>E2B <b><u>Environmental Functions</u></b></p> <p><b>Increase the levels of Woodland Planting and create new wildlife corridors, which will protect existing and encourage new habitats, to restore populations of certain woodland animals</b></p> <p><b>Reduce flooding within the County, especially in domestic and non-domestic premises</b></p> | <p>(i) No. of trees planted and hedges reinstated</p> <p>(ii) % of Woodland covering County</p> <p>(i) Reduce the risk of flooding within Zone areas 3 (high risk) and 2 (medium risk) as defined under (PPG25).<br/>4,228 properties (domestic) in flood zone 3.<br/>7,235 properties (domestic) in flood zone 2</p> | <p>Warwickshire QOL Natural Resource Indicator – Woodland</p> <p>Warwickshire QOL Environmental Indicator Flooding</p> | <p>Works in progress in adherence to the Biodiversity Action Plan</p> <p>To be monitored and updated by the Environment Agency based on flood defence systems work</p> | <p>WCC and District Councils,<br/><b>British Waterways, Warwickshire Wildlife Trust, Community &amp; Voluntary sector, English Nature and Environment Agency</b></p> |         |   |

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

| Outcome Descriptor   | Performance Measure – Indicator  | Performance Information   | Performance/Targets  |         |         | Organisations Involved in Resource Contribution  |
|--|--|---|--|---------|---------|--|
|  |  |   | 2007/08  | 2008/09 | 2009/10 |  |
| E3<br><b><u>Reduce Greenhouse Gas Emissions</u></b>  | i) To achieve reductions of greenhouse gas emissions<br><br>ii) To improve domestic energy efficiency  | Stretch target of 60% by 2050<br><br>Baseline 1996 domestic energy figure   | -  | -       | 18%     | WCC, District Councils, WCCP, WEEAC, Community and Voluntary Sector, Primary Care Trusts and Hospitals |
| E3A<br><b><u>Increase Generation and Use of Renewable Energy and the Energy Efficiency of Buildings</u></b>                                      | Planning policies to reflect best practice, and authorities to adopt best practice in relation to their assets and increase use of alternative fuels |   | Policies to be adopted aimed at reducing use of energy by 2008/09. Also to increase use of <u>renewable</u> energy |         |         |  |
| E3B<br><b><u>Reduce Rate of Increase in Transport Related Carbon and Greenhouse Gas Emissions by Reducing the Need for Private Car Usage</u></b> | i) Change in countywide road traffic mileage<br><br>ii) To avoid congestion to ensure journey speeds not to be reduced by more than the following %: | 2005/06 Baseline Local Transport Plan<br><br>Warwick/Leamington/Stratford<br><br>Nuneaton/Bedworth/Rugby & Kenilworth | 104.6  | 106.1   | 107.7   | WCC, District Councils, WCCP, WEEAC, Community and Voluntary Sector                                    |
|  |  |   | -  | -       | -10%    |  |
|  |  |   | -  | -       | - 5%    |  |



**This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006**

| Outcome Descriptor | Performance Measure Indicator   | Performance Information   | Performance Targets   |                                  |                                  | Organisations Involved in Resource Contribution |
|--------------------|---|---|---|----------------------------------|----------------------------------|---|
|                    |   |   | 2007/08   | 2008/09                          | 2009/10                          |   |
|                    | iii) No. of journeys by other modes – <ul style="list-style-type: none"> <li>• Bus BV102</li> <li>• Rail</li> <li>• Cycle Trips</li> <li>• Cycling on upgrade Routes</li> <li>• Travel to School</li> </ul> | 2004/5 11.16m<br>2001/2 3.16 m<br>2001/2 – Index 100<br>2003/4 – Index 100<br><br>Local Transport Plan  | 11.45 m<br>4.4 m<br>100<br>102.9  | 11.54 m<br>4.5 m<br>100<br>103.6 | 11.63 m<br>4.7 m<br>100<br>104.3 |   |
|                    |   |   | Maintain the proportion of car sole passenger journeys to school at the 2005/6 levels (15%) |                                  |                                  |   |
|                    | iv) To reduce food miles on all food consumed in Warwickshire<br><br>v) Increase the sustainability of town and villages as hubs of the local community   | Awareness of food miles by consumers.<br>Use of allotments<br><br>Cross reference to the economic development and stronger community block, and encourage development of appropriate indicators | Have policies in place showing direction of travel by 2009/10                               |                                  |                                  |   |

This is a Preliminary First Draft which represents work in progress in defining LAA Outcomes as at 5<sup>th</sup> September 2006

|     |  |   |  |             |               |             |  |
|-----|--|---|--|-------------|---------------|-------------|--|
| E3C | <b><u>Reduce the Amount of Waste Generated, and Increase the Recycling Rate of Remaining Waste</u></b> | i) Reduce % of municipal waste landfilled                                 | WCC baseline figure  | 60.85       | 57.07         | 48.23       | WCC, District Councils, WCCP, WEEAC, Community and Voluntary Sector, Primary Care Trusts and Hospitals |
|     |  | ii) Increase the amount of municipal waste recycled                       | CH46   | 32.56       | 36.40         | 39.52       |  |
|     |  | iii) and composted  | 2005/6 outturn 550 kg/head                                       | 550 kg/head | 550 kg/head   | 550 kg/head |  |
|     |  | iv) Measure of waste minimisation<br><br>Recycle non bio-degradable waste | In adherence to government targets (Warwickshire Waste Strategy) | -           | 23,000 tonnes |             |  |

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet  
**Date of Committee** 07 September 2006  
**Report Title** Projected 2006/07 Revenue Net Spend as at Quarter 1

**Summary**

**For further information please contact:** Sandra Dean  
 Budget Planning Officer  
 Tel: 01926 412242  
 sandradean@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No.

**Background papers** Projected spend and budget monitoring papers held by individual directorates.

**CONSULTATION ALREADY UNDERTAKEN:-**

- Other Committees
- Local Member(s)
- Other Elected Members  Cllr Tandy, Cllr Roodhouse, Cllr Booth, & Cllr Hicks - for information
- Roodhouse &
- Cabinet Member  Cllr Farnell & Cllr Cockburn
- Chief Executive  Jim Graham – for information
- Legal  David Carter - for information
- Finance  Dave Clarke - reporting officer
- Other Chief Officers  The relevant Strategic Director has prepared comments in individual appendices
- District Councils  .....
- Health Authority  .....
- Police  .....

Other Bodies/Individuals  .....

**FINAL DECISION NO**

**SUGGESTED NEXT STEPS:**

Details to be specified

Further consideration by this Committee  .....

To Council  .....

To Cabinet  Further quarterly monitoring reports are planned for November 2006 and February 2007 and the Final Service Outturn will be reported in June 2007.

To an O & S Committee  .....

To an Area Committee  .....

Further Consultation  .....

## Cabinet - 07 September 2006

### Projected 2006/07 Revenue Net Spend as Quarter 1

#### Report of the Strategic Director of Resources

#### Recommendation

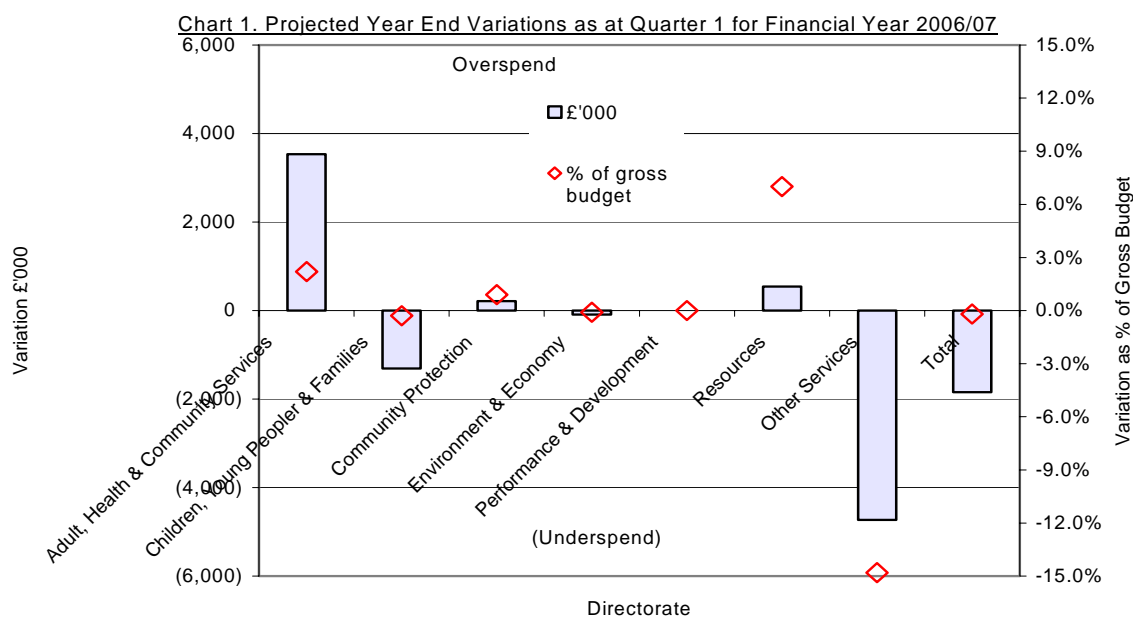
It is recommended that Cabinet:

- Notes the projected 2006/07 revenue net spend position and the projected reserves at year-end, and requests departments to take appropriate management action to try to ensure that spending remains within budget.
- Approves the contributions to and use of reserves totalling a net use of £651,000 in 2006/07 as detailed in paragraph 3.2.

## 1 Introduction

1.1 The purpose of this report is to inform Members of the projected net spend for 2006/07 based on the information known at the end of the first quarter.

1.2 At this stage the estimated 2006/07 underspend is £1,843,000. Chart 1 below shows the predicted over/underspend for each directorate compared to the current budget, and the projected variation as a proportion of the gross budget of the directorate, together with the authority's total position. As further information becomes available and new issues develop, these projections will be refined and presented in subsequent quarterly reports.



## 2 Spending Compared With Estimates

2.1 Table 1 below shows a summary of the projected year-end position of each directorate, and a reconciliation back to the adjusted budget approved by Cabinet in April 2006.

**Table 1: Summary of Projected Variations**

| Appendix No. | Service                            | Adjusted 2006/07 Original Budget (see note 1 below) £'000 | Approved Revisions (see note 2) £'000 | Current Budget £'000 | Projected Overspend/ (Underspend) £'000 |
|--------------|------------------------------------|---|---------------------------------------|----------------------|---|
| A            | Adult, Health & Community Services | 105,412   | (55)                                  | 105,357              | 3,533                                   |
| B            | Children, Young People & Families  | 129,381   | 2,397                                 | 131,778              | (1,309)                                 |
| C            | Community Protection               | 23,695  | 567                                   | 24,262               | 217                                     |
| D            | Environment & Economy              | 55,347  | 509                                   | 55,856               | (92)                                    |
| E            | Performance & Development          | 5,142   | 1,188                                 | 6,330                | 0                                       |
| F            | Resources                          | 4,435   | (145)                                 | 4,290                | 538                                     |
| G            | Other Services                     | 30,112  | (570)                                 | 29,542               | (4,730)                                 |
|              | <b>Total</b>                       | <b>353,524</b>  | <b>3,891</b>                          | <b>357,415</b>       | <b>(1,843)</b>                          |

Note 1 – Since the original budget was set at Council in February 2006, the Strategic Director of Resources has approved a number of tidying-up adjustments following the restructuring of the authority and these were reported to Cabinet on 6 April 2006. These minor changes are reflected in the adjusted 2006/07 original budget column in the table above and have a nil effect on the bottom-line.

Note 2 - The savings from restructuring reported to Strategic Directors Management Team on 12 July 2006 have been included in the 'approved revisions' column in the table above. These savings have been added to the Modernisation Fund, held in Other Services, and have a nil effect on the bottom-line.

2.2 The current budget is £3,891,000 higher than the adjusted budget reported to Cabinet on 6 April 2006. The main reasons are summarised in Table 2 below.

**Table 2. Summary of Revisions to the Adjusted Budget**

| Description  | £'000        |
|--|--------------|
| Principal repayment to, and new allocation from, the Virtual Bank                | 260          |
| Use of service reserves carried forward and repayment of overspends in 2005/2006 | 3,631        |
| <b>Total</b>   | <b>3,891</b> |

2.3 The projected variation after allowing for these revisions to the budget is an underspend of £1,843,000. Further details are attached in **Appendices A-G**, in which directorates highlight the main variations that they are projecting.

2.4 Any projected underspends by services form a contribution to their reserves. This money is then available to support spending in future years, subject to

the scheme of carry forwards. Plans to use any underspend to finance spending in 2007/08 will be considered as part of the 2007/08 budget process. Where directorates are projecting an overspend there are two options available to finance this: they may either use accumulated reserves from previous years, or if such reserves are not available making good the overspend will be first call on the 2007/08 budget. The following commentary highlights the most significant variations.

- 2.5 There are currently significant underspends forecast in Children Young People & Families and Other Services, but these are being offset by the projected overspends in Adult Health & Community Services and Resources.
- 2.6 The underspend within the Children, Young Persons and Families Directorate has occurred mainly in primary schools and the Standards Fund. The Standards Fund is a variety of grants provided by the DfES to support initiatives to improve the standard of education within schools. In order to tie in with the academic year, 2006/07 Standards Fund resources are provided for a 17 month period running from April 2006 to August 2007. Underspends relate to resources planned to be used between April and August 2007 and there should be no resulting adverse financial or service consequences (see **Appendix B**).
- 2.7 A combination of favourable interest rates, and well performing external fund managers means it is forecast the County Council will receive £2,902,000 more interest than anticipated at the start of the year. Furthermore, lower than anticipated borrowing interest rates are predicted to enable the County Council to incur £1,904,000 less in interest payments to service its borrowing than projected (see **Appendix G**).
- 2.8 Adult, Health and Community Services are predicting an overspend of £3,533,000 after management action in 2006/07. In summary, the main budget pressures still faced by the directorate are the difficulties of continuing to commission services for people with disabilities in a high cost market dominated by a few suppliers, along with the need to identify savings to balance the libraries and information budget. Separate detailed reports on these issues are being prepared and will be taken to the Adult and Community Services Overview & Scrutiny Committee as soon as possible (see **Appendix A**).
- 2.9 In the Resources Directorate, there is currently a forecast trading shortfall of £538,000 for the County Catering Business Unit. This is largely due to the unexpected reduction in meal numbers following the Jamie Oliver adverse publicity campaign. This is being tackled by new menus being introduced to promote more healthy eating in our schools, but the results will not become known until a reasonable period into the autumn term (see **Appendix F**).

### 3 Reserves

3.1 In the 2005/06 Final Revenue Outturn report to Cabinet on 27 June 2006 our reserves were predicted to be £37,253,000 at 31 March 2006. It is now projected that our reserves at 31 March 2007 will be £30,677,000. Details are shown in Table 3 below.

**Table 3: Reserves Projection**

| Reserve                 | In-hand /<br>(overdrawn)<br>1/4/2006<br>£'000 | Planned<br>contribution/<br>(use) in<br>2006/07<br>£'000 | Additional<br>contribution/<br>(use)<br>requested<br>£'000 | Effect of<br>Projected<br>Variation<br>£'000 | Estimated In-<br>hand/<br>(overdrawn)<br>31/3/2007<br>£'000 |
|-------------------------|---|--|--|--|---|
| General Reserves        | 7,686   | (2,168)  |  | 4,656  | 10,174  |
| Capital Fund (note 1)   | 1,329   | (484)  |  |  | 845   |
| Earmarked - Schools     | 10,667  |  |  | 983  | 11,650  |
| Earmarked - Non Schools | 17,571  | (5,116)  | (651)  | (3,796)                                      | 8,008   |
| <b>Total</b>            | <b>37,253</b>                                 | <b>(7,768)</b>   | <b>(651)</b>   | <b>1,843</b>                                 | <b>30,677</b>   |

Note 1 – The capital fund is used to help fund capital expenditure as required. Any known changes are being reported separately as part of the capital review to Cabinet in September 2006 as well as reserve forecasts set out in this report.

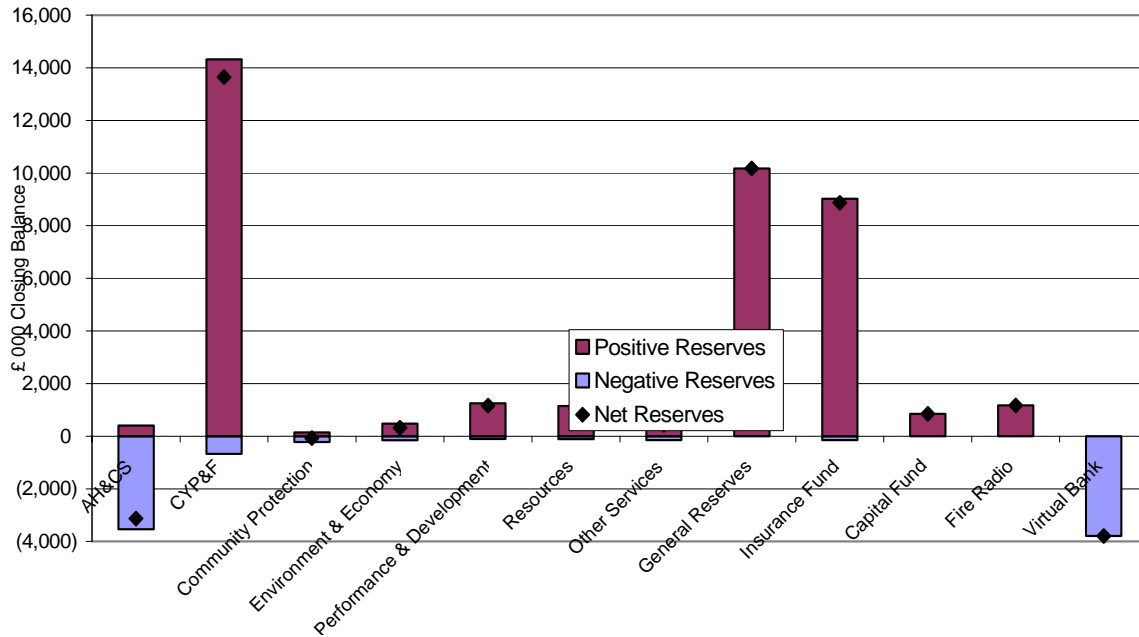
3.2 Members are asked to agree to the following changes to reserves that have been requested by directorates based on their current projected position. The net effect being a further £651,000 use of reserves:

- Use of Community Protection Directorate reserves of £281,000 to support the Drug & Alcohol Action Team, Community Safety and Emergency Planning in 2006/07.
- Use of Environment & Economy Directorate reserves of £50,000 from the Speed Workshop reserve to support three road safety projects.
- Use of Resources Directorate reserves of £453,000 to support E-Government spending, and a return of the previously approved 2006/07 drawdown that is no longer needed of £133,000 to the ICT Virtual Bank reserve.

3.3 Reserves are analysed in more detail in **Appendix H**. Overdrawn reserves (except Virtual Bank, Fire Pensions and the Schools IT loan reserves) are a first call on 2007/08 budgets. Meanwhile, any directorate reserves that are overdrawn in the current year are effectively a temporary call on General Reserves until they are repaid. The projected year-end balances are summarised in Chart 2 overleaf.



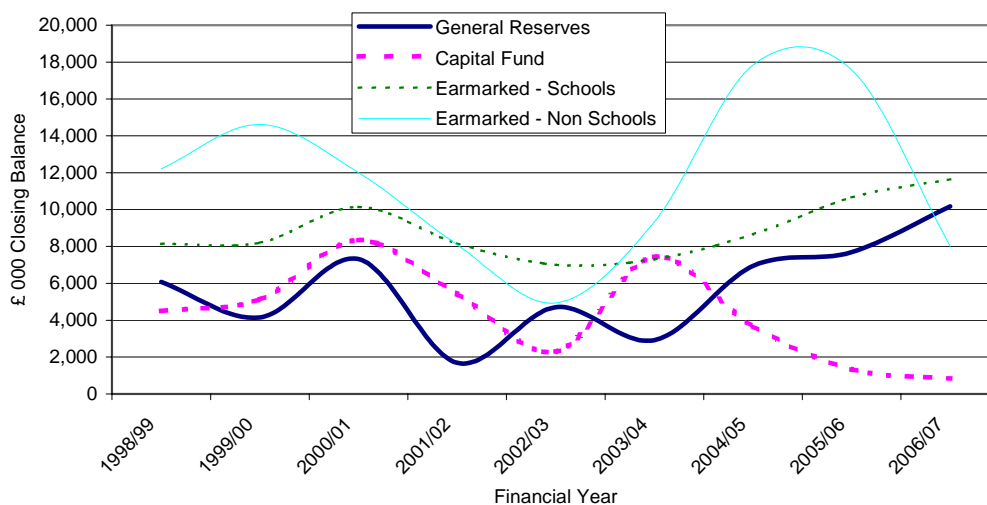
**Chart 2. Projected Reserves Balances at 31 March 2007**



3.4 The planned revisions to the reserves position since 1 April 2006, including 2005/06 carry forward use of earmarked reserves, have reduced reserves by 20.9%. The underspend that is currently projected in Quarter 1 and the net additional use/contribution to reserves requested in 2006/07 will increase reserves by 3.3%, resulting in a net 17.6% reduction since the start of the current financial year.

3.5 General reserves are projected to end the year at £10,174,000. This is currently significantly higher than the £5,500,000 level recommended by the Strategic Director of Resources as the minimum adequate level of general reserves. The main reason for the increase is the projected underspend on Other Services, but this may fluctuate as the base rate and inflation levels change during the course of the year. Any reserves available to support the 2007/08 budget will be considered as part of the 2007/08 budget process in the context of long term trends in reserve balances shown in Chart 3.

Chart 3. Long Term Trends in Reserves



## 4 Movements In Projections

- 4.1 This is the first quarterly monitoring report for 2006/07. The next report for Quarter 2 in November 2006 will contain revised projections based on the information available at that time. Where there are any significant changes to projections between Quarter 2 and this report, they will be highlighted. Changes could be due, for example, to the effects of the management actions detailed in the appendices, new developments, or the availability of updated information.

## 5 Summary

- 5.1 An underspend of £1,843,000 is currently forecast in 2006/07, which would leave reserves totalling £30,677,000 at the end of the year.

DAVE CLARKE  
Strategic Director, Resources

Shire Hall  
Warwick

17 August 2006

**Adult, Health and Community Services**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                                  | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason   | Management Action   | Projected Overspend (Underspend) after Management Action | Service Consequences   |
|--|--|----------------|----------------------------------|--|---|--|--|
| A  | B  | C              | D                                | E  | F   | G  | H  |
|  |  | £'000          | £'000                            |  |   | £'000  |  |
| Older people                                 | 2  | 51,909         | 377                              | External Residential (£0.687m), Home Care £0.874m, Internal Residential £0.780m, Other - including care management (£0.590m)   | See note 1 below  | 0  | See note 1 below   |
| Disability                                   | 2  | 27,405         | 3,560                            | Residential £1.744m, Home Care Internal and External £3.572m, Other - including care management (£1.760m)  | See note 1 below  | 3,560  | See note 1 below   |
| Other Adult Services                         | 2  | (3,301)        | (829)                            | Currently some development resources held back   | See note 1 below  | (500)  | See note 1 below   |
| Supporting People                            | 2  | 0              | 125                              | The cost of the SP Team is in excess of the specific grant available   | See note 2 below  | 125  | Reduced ability to respond to adverse external review and improve performance indicators |
| Support services                             | 2  | 13,186         | 5                                | A number of overspends and underspends, none exceeding £10k, or 5% of budget.  | This will be monitored monthly by SMT and vacancy levels and development needs will be further reviewed in order to bring spending down to help service pressures | (150)  | No significant direct service impact   |
| <b>Total Adult Service</b>                   |  | <b>89,199</b>  | <b>3,238</b>                     |  |   | <b>3,035</b>   |  |
| Library & Information                        | 1  | 10,846         | 627                              | The budget has been balanced in previous years by finding one-off savings in the year. These have not been identified in 2006/07 to date and a permanent solution has yet to be found. | See note 1 below  | 498  | See note 1 below   |
| Heritage & Culture                           | 1  | 2,741          | 11                               | Overspend on specific projects   | To be met from carry forward underspend   | 0  | No service impact  |
| <b>Total Libraries, Learning and Culture</b> |  | <b>13,587</b>  | <b>638</b>                       |  |   | <b>498</b>   |  |
| <b>Trading Standards</b>                     | <b>4</b>                                     | <b>2,571</b>   | <b>9</b>                         | Overspend on administrative costs  | To be met from carry forward underspend   | <b>0</b>   | No service impact  |
| <b>Total</b>                                 |  | <b>105,357</b> | <b>3,885</b>                     |  |   | <b>3,533</b>   |  |

**General Comments**

Note 1. There are significant base problems in adult services, mainly around disability. A detailed report is being prepared on those problems for Adult and Community Services Overview and Scrutiny Committee outlining the impact on services of bringing spending back in line with available resources. The intention is to do the same for Libraries, Learning and Culture.

Note 2. It has been necessary to increase the size of the Support Team in order to respond to adverse reviews. The extra cost is not covered by the specific grant for that purpose and the County Council together with the Supporting People Commissioning Board will need to address how this is to be met. It is vital that our performance in this area is improved as it is an important element of the County Council's overall performance.

**Children, Young People and Families**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description  | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason   | Management Action   | Projected Overspend (Underspend) after Management Action | Service Consequences  |
|--|--|----------------|----------------------------------|--|---|--|---|
| A  | B  | C              | D                                | E  | F   | G  | H   |
|  |  | £'000          | £'000                            |  |   | £'000  |   |
| Children's Services County Wide                      | 2  | 1,529          | 203                              | Efficiency Savings still to be identified.   | By year end the final tranche of efficiency savings will have been identified by the division.  | 0  | Difficulty in meeting the service's objectives and business plan without reducing statutory services budgets. |
| Children's Residential                               | 2  | 11,197         | (454)                            | This under-spend reflects lower than expected placements.  | Monitored by monthly cost centre management and quarterly FSM meeting and report to DMT.  | (454)  | None  |
| Children's Care Management                           | 2  | 4,979          | (336)                            | This forecasted under-spend has arisen mainly within the Fostering & Adoption Service with lower than expected client numbers  | Monitored by monthly cost centre management and quarterly FSM meeting and report to DMT.  | (336)  | None  |
| Educational Social Workers                           | 1,2  | 1,262          | 94                               | This is due to overall referrals to the ESW service increasing by 35% over the last 12 months, and the DFES placing new duties on the ESW service to support an increase in targets for schools within the county.   | Efficiency measures are in place to attempt to limit the overspend to the forecasted amount.  | 94   | The overspend will be met from reserves.  |
| SEN Administration & Statementing & Review           | 1  | 7,115          | 177                              | These over-spends are predominantly associated with staffing costs exceeding the budget allocation. £30,000 of the over-spend is associated with new statements.   | Efficiency measures are in place to attempt to limit the overspend to the forecasted amount.  | 177  | The overspend will be met from reserves.  |
| Children's Planning Team & Educational Psychologists | 1,2  | 1,803          | 150                              | The Child Planning Team over-spend of £68,000 is associated mainly with computer and systems costs. The EPS over-spend is the result of less income than previous years as well as the full year effect of staffing costs.   | Efficiency measures are in place to attempt to limit the overspend to the forecasted amount.  | 150  | The overspend will be met from reserves.  |
| Pupil Referral Unit & Education Out of School        | 1  | 3,950          | 527                              | During a re-structuring of the work/clients under-taken by the PRU it is anticipated that there will be a reduced amount of income for this service. There is also a greater than expected demand for statutory Education Out of School.   | Efficiency measures are in place to attempt to limit the overspend to the forecasted amount.  | 527  | The overspend will be met from reserves.  |
| Transport  | 1  | 7,541          | 511                              | £420,000 of this forecasted over-spend is attributable to Post 16 Transport. Increased numbers, cost and delayed policy and pricing decisions are expected to result in this overspend. The remainder of the over-spend is attributable to mainstream transport due to increased contract costs. | Management are continuing to carefully monitor this complex and volatile demand led budget. With trends in transport costs and pupil numbers being monitored and more sophisticated processes for forecasting numbers being developed. Policy & pricing decisions will enable the overspend to be limited to the forecasted figure. | 511  | The overspend will be met from underspends elsewhere in the directorate's budget.                             |

**Children, Young People and Families**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description  | Corporate Objective Number (Please complete) | Revised Budget   | Projected Overspend (Underspend) | Reason  | Management Action  | Projected Overspend (Underspend) after Management Action | Service Consequences  |
|--|--|------------------|----------------------------------|---|--|--|---|
| A  | B  | C                | D                                | E   | F  | G  | H   |
|  |  | £'000            | £'000                            |   |  | £'000  |   |
| Revenue Contribution to Capital                      | 6  | 303              | (229)                            | Due to tighter financial control over capital projects as well as some slippage on projects.  | The revenue contribution to capital programme will be monitored and adjustments made in order to maximise the effectiveness of the service as well as to meet the aims of the Asset Management plan. | (229)  |   |
| Pensions   | 6  | 3,151            | 145                              | On going and one off Pensions costs for both teaching and non-teaching staff are greater than anticipated.  |  | 145  | The overspend will be met from underspends elsewhere in the directorate's budget. |
| Other  |  | (143,110)        | (14)                             | A number of overspends and underspends.   | Monitored by monthly cost centre management and quarterly FSM meeting and report to DMT.   | (14)   | Various relatively minor service consequences being managed by the directorate.   |
| <b>Directorate - Non TSF non Schools - Sub Total</b> |  | <b>(100,280)</b> | <b>774</b>                       |   |  | <b>571</b>   |   |
| TSF - Standards Fund 17 month Grant                  | 1  | 4,935            | (497)                            | Under TSF rules these funds can be spent over a 17 month period to August 2007.   | It is anticipated that these funds will be totally spent by August 2007 with current spending plans on track.  | (497)  | None  |
| <b>Directorate - Non Schools</b>                     |  | <b>(95,345)</b>  | <b>277</b>                       |   |  | <b>74</b>  |   |
| Schools  | 1  | 227,123          | (1,383)                          | The predicted underspend on schools' delegated budgets is primarily the result of many minor unplanned under-spends.. This is particularly the case in primary schools. | Active budget management (including 3 year plans) by the schools with support from Education professionals.  | (1,383)  | None  |
| <b>Total</b>   |  | <b>131,778</b>   | <b>(1,106)</b>                   |   |  | <b>(1,309)</b>   |   |

**General Comments**

It is worthwhile noting that other divisions are only delivering a balanced budget position via substantial efforts. Many budgets are only being forecast to be within budget by the end of the year through the active management of vacancies and other resources. If every post was filled this would exceed the current budget.

**Community Protection**

**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                       | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason  | Management Action  | Projected Overspend (Underspend) after Management Action | Service Consequences   |
|-----------------------------------|--|----------------|----------------------------------|---|--|--|--|
| A                                 | B  | C              | D                                | E   | F  | G  | H  |
|                                   |  | £'000          | £'000                            |   |  | £'000  |  |
| Unidentified Efficiency Top Slice | 4  | (276)          | 276                              | The Directorate is required to make 2.5% efficiency savings to balance the 2006/07 budget. This was higher than in previous years (1%). | Management have been in discussions with Members to agree a solution to achieve the required savings of £493,000. Although we hope we are close to agreeing a way forward, the current proposal will not realise the necessary savings in full until 2008/09. Management have reduced the expected shortfall in 2006/07, mainly through making one-off efficiency savings, which will need to be replaced in future years. | 144  | If Cabinet approve our efficiency paper at the beginning of September, we will be able to recoup these losses over the medium term (by 2008/09) and service delivery will not be affected. |
| Other Minor Variations            | 4  | 24,538         | 73                               | Various small variances.  | Management are currently reviewing these variances to see what action can be taken and to put a rectification plan in place.   | 73   | The Service will ensure that any actions taken will not effect our service delivery.   |
|                                   |  |                |                                  |   |  |  |  |
|                                   |  |                |                                  |   |  |  |  |
| <b>Total</b>                      |  | <b>24,262</b>  | <b>349</b>                       |   |  | <b>217</b>   |  |

**General Comments**

**Environment Economy Directorate**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                                     | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason  | Management Action   | Projected Overspend (Underspend) after Management Action | Service Consequences   |
|---|--|----------------|----------------------------------|---|---|--|--|
| A   | B  | C              | D                                | E   | F   | G  | H  |
|   |  | £'000          | £'000                            |   |   | £'000  |  |
| Waste Management                                | 3  | 12,057         | 0                                | Our expectation on the tonnages disposed of remains within target and if anything is slightly less than predicted   | none required   | 0  | none   |
| Planning Policy                                 | 5  | 487            | 26                               | Previous years' efficiency savings need to be replaced on sustainable basis.  | Investigate the impact of not replacing the policy planner post   | 0  | Could result in the failure to achieve the performance milestones of the county's local Development Frameworks (BV200b), against which the award of the PDG is judged, which could result in less grant.   |
| Development Group                               | 3  | 577            | 140                              | Savings target of £55k yet to be identified and £85k on the Districts work  | 1 admin post not to be replaced and other operations activities ie training /travel to be reduced This is a statutory service for highways consultation, minerals and waste planning, and the Group is also a generator of income from S106, S278 and S38 monies from developers for highway works and other departments in the council. It is anticipated that income levels can be increased following the increase in the planning fee and from monitoring of sites. | 85   | The £85k is the excess cost of Nuneaton and Bedworth and Rugby BC undertaking S38 road adoption work. Long term savings of about £75- 90kK would be made if Members agree to bringing this work in- house. |
| Waste and Environment - Other                   | 3  | 2,642          | (27)                             | Increased income  | none required   | 0  | none   |
| Strategy Support and Organisational Development | 3  | 1,628          | 17                               | Gypsy and travellers sites have recently been transferred to this directorate . The majority of the rental income is not collectable due to problems on site with some of the residents | In the first instance a budget is required to cover these costs . The problem with the residents needs to be resolved to allow the rents to be collected.   | 0  | none   |
| Economic Development                            | 5  | 1,090          | 77                               | Efficiency savings still to be delivered.   | Overspend to be met from dividend received and savings on running costs.  | 0  | Additional sustainable efficiencies will still need to be identified for future years.   |
| Neighbourhood Initiatives and Regeneration      | 5  | 2,471          | 147                              | Efficiency savings , EPIC shortfall in income   | Review of staffing levels has been undertaken, potential for increased income and reduction in running and project costs has been identified. Work to close gap on EPIC business plan targets is ongoing.   | 100  | Any further service consequences will be reviewed once the impact of current management action has been assessed, and will be included as part of quarter 2 monitoring.                                    |

**Environment Economy Directorate**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                      | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason   | Management Action  | Projected Overspend (Underspend) after Management Action | Service Consequences  |
|----------------------------------|--|----------------|----------------------------------|--|--|--|---|
| A                                | B  | C              | D                                | E  | F  | G  | H   |
|                                  |  | £'000          | £'000                            |  |  | £'000  |   |
| Transport Planning               | 5  | 2,980          | 92                               | Bishopton park and ride running costs and reduced income . This also includes £50k for road safety projects to be funded from reserves | Review other areas in the division for income which include recharges to capital and the decrim projects                                     | 0  | None  |
| Decriminalisation - Virtual Bank | 5  | 705            | (277)                            | Funding for Decriminalisation of parking in the remainder of the county will not be fully committed in 2006/07                         | Ensure there are sufficient funds to roll out the project across the County  | (277)  | Carry forward to later years  |
| Highways                         | 3  | 15,614         | 35                               | Increased depot costs and unbudgeted costs to repaid vandalism to winter maintenance equipment.  | Overspend to be absorbed within budget for the year.   | 0  | None  |
| Capital Finance charges          | 6  | 14,470         | 0                                | None   | None   | 0  | None  |
| Unidentified efficiency savings  | 6  | (518)          | 518                              | Some planned efficiency savings are yet to materialise, e.g. staff secondments. Some savings are still to be identified.               | Directorate Leadership team to review areas for saving as a matter of priority. Meeting planned for 8th September.                           | 0  | Dependent on outcome of Leadership Team meeting. Further update to provided at quarter 2. |
| Other Minor Variations           | 6  | 1,653          | 73                               | Legal services   | Directorate Leadership team to review areas for saving as a matter of priority. Meeting to discuss Legal Services SLA scheduled for October. | 0  | Dependent on outcome of Leadership Team meeting. Further update to provided at quarter 2. |
| <b>Total</b>                     |  | <b>55,856</b>  | <b>821</b>                       |  |  | <b>(92)</b>  |   |

**General Comments**  
Column D identifies that there is a potential overspend of £1.098 million as we need to carry forward the potential underspend of £277,000 on the virtual bank funds for Decriminalisation. This assumes we are on target in our 2 main areas of spending Waste Management and County Roads. In order to achieve this level of saving a number of actions will be required including a freeze on recruitment, identification of other potential areas of income and areas where efficiencies can be made, and as a final resort a cut back in services. Ongoing savings of £75k to £90k are anticipated in Development Group by bringing in-house services which are vurrently provided by the Districts under an agency agreement. However, this is dependent on policy decisions by Members.



**Performance Development**  
**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                    | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason   | Management Action  | Projected Overspend (Underspend) after Management Action | Service Consequences    |
|--------------------------------|--|----------------|----------------------------------|--|--|--|-------------------------|
| A                              | B  | C              | D                                | E  | F  | G  | H                       |
|                                |  | £'000          | £'000                            |  |  | £'000  |                         |
| Law & Governance               | 6  | 183            | 35                               | This overspend relates to the post of Overview and Scrutiny Manager.   | Funding will be determined during the year, pending the resolution of transfers from other directorates and Modernisation funding issues.                | 0  | No service consequences |
| Corporate Human Resources      | 6  | 1,154          | (47)                             | The underspend arises from the Sheltered Placement budget which is allocated to provide support for disabled people  | The way in which the funding is allocated is being reviewed and it is anticipated that the underspend will be significantly reduced by the next forecast | 0  | No service consequences |
| Customer Service & Access      | 6  | 1,965          | 80                               | The overspend relates to the Coroner service, with £33k relating to a prior year's debt for post mortem work. The balance of £47k relates to anticipated pressures across the Coroner's service which is demand driven. Anticipated expenditure has been judged on first quarter actuals and information from last year. | Strict monitoring of the anticipated overspend with a view to bringing it down to a manageable level   | 0  | No service consequences |
| Improvement & Support Services | 6  | 1,079          | (72)                             | The underspend relates to Judges House; £47k in respect of income relating to 2005/06 but received in 2006/07. The balance of £25k relates to miscellaneous savings and anticipated inflationary increase in Court Service income.   | Underspend will be used to offset overspends elsewhere in the directorate  | 0  | No service consequences |
| Other Variations               | 6  | 1,949          | 4                                | The overspend relates to the cost of accommodation changes for area offices, due to take place during 2006/07.   | Overspend will be absorbed within other budgets  | 0  | No service consequences |
| <b>Total</b>                   |  | <b>6,330</b>   | <b>0</b>                         |  |  | <b>0</b>   |                         |

**General Comments**

Some tidying up of adjustments between directorates as a result of the restructuring are outstanding. There are also bids for Modernisation funding which await decision. If any of these transfers or allocations are not forthcoming the forecast outturn will be affected adversely.

**Resources Directorate**

**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                     | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason  | Management Action   | Projected Overspend (Underspend) after Management Action | Service Consequences   |
|---------------------------------|--|----------------|----------------------------------|---|---|--|--|
| A                               | B  | C              | D                                | E   | F   | G  | H  |
|                                 |  | £'000          | £'000                            |   |   | £'000  |  |
| County Catering Business Unit   | 2,6  | 0              | 538                              | Current forecast trading deficit - largely due to unexpected reduction in meal numbers (Jamie Oliver impact)  | New menus have been introduced but the impact of these on the take-up of meals, and therefore on the deficit, will not become apparent until a reasonable period into the autumn term.  | 538  | There will be no service consequences other than the provision of more healthy meals. The deficit will be met from the reserves accumulated by the directorate in earlier years. Meanwhile, ongoing consideration will be given to trying to ensure that there is no deficit in 2007/2008. |
| ICT Infrastructure/E-Government | 6  | 140            | 453                              | The E-government budget was underspent by £453,000 in 2005/2006. The money went into departmental reserves and now needs to be taken out of reserves in order to 'top up' the 2006/2007 E-government budget. This will assist in the delivery of a variety of infrastructure projects and customer-facing applications in 2006/2007 | All of the E-Government budget, including this £453,000, has been allocated to specific projects and is, therefore, all expected to be spent.   | 0  | Will help to deliver infrastructure projects and customer-facing applications more quickly. The cost will be met from departmental reserves.   |
| ICT Business Units              | 6  | (83)           | (133)                            | At the time of the budget it had been envisaged that £133,000 would need to be drawn down from reserves but it has become apparent that this drawdown will not be required.   | The original unused drawdown of £133,000 will be returned to reserves following approval by Cabinet in September 2006.  | 0  |  |
| Remaining Directorate           | 6  | 4,233          | 0                                | The directorate faces a significant challenge to live within its 2006/2007 budget. Cost centre managers are facing up to this challenge with support from finance staff and, at this early stage of the year, the forecast is that their net spending will be in line with their budgets.   | Each service head in the directorate is taking a keen interest in monitoring the budgets managed by their cost centre managers. Additionally the overall position is considered at meetings of the Resources Management Team. | 0  | None.  |
| <b>Total</b>                    |  | <b>4,290</b>   | <b>858</b>                       |   |   | <b>538</b>   |  |

**Other Services**

**Summary of Projected Variations 2006/2007 as at Quarter 1**

| Description                  | Corporate Objective Number (Please complete) | Revised Budget | Projected Overspend (Underspend) | Reason   | Management Action  | Projected Overspend (Underspend) after Management Action | Service Consequences  |
|------------------------------|--|----------------|----------------------------------|--|--|--|---|
| A                            | B  | C              | D                                | E  | F  | G  | H   |
|                              |  | £'000          | £'000                            |  |  | £'000  |   |
| Interest on revenue balances | 6  | (2,287)        | (2,902)                          | Favourable rates achieved. External fund managers performing well and exceeding targets                      | Continue to monitor interest rate movements and cash flow                            | (2,902)  | Underspend will impact on general reserves  |
| Capital financing charges    | 6  | 26,203         | (1,904)                          | Interest rates better than anticipated   | Continue to monitor borrowing rates  | (1,904)  | Underspend will impact on general reserves  |
| Elections                    | 6  | 82             | (74)                             | The cost of quadrennial elections are provided for by paying into an earmarked reserve in non-election years | None required  | (74)   | Any underspend will be added to the earmarked elections reserve which is currently overdrawn by £9k |
| Other                        | 6  | 5,544          | 150                              | Continued running expenses of Northgate Street offices   | Continue to monitor position with regard to on-going use of Northgate Street offices | 150  | Overspend will impact on general reserves   |
| <b>Total</b>                 |  | <b>29,542</b>  | <b>(4,730)</b>                   |  |  | <b>(4,730)</b>   |   |

**General Comments**

**Summary of Projected Reserves Balances**

| Title of Reserve                       | In Hand/<br>(Overdrawn)<br>Closing Balance<br>31/3/2006 | Restructuring<br>adjustments | In Hand/<br>(Overdrawn)<br>Adjusted Opening<br>Balance 1/4/2006 | Approved Transfer<br>to/(Use of) in<br>2006/2007 | Additional<br>Contributions/(Use)<br>Requested | Effect of Quarter 1<br>forecast | In Hand<br>(Overdrawn)<br>31/3/2006 |
|--|---|------------------------------|---|--|--|---------------------------------|-------------------------------------|
|  | £'000   | £'000                        | £'000   | £'000  | £'000  | £'000                           | £'000                               |
| Adult, Health & Community Services     | 652   |                              | 652   | (245)  |  | (3,533)                         | (3,126)                             |
| Children, Young People & Families      | 15,030  |                              | 15,030  | (2,689)  |  | 1,309                           | 13,650                              |
| Community Protection                   | 972   | 20                           | 992   | (567)  | (281)  | (217)                           | (73)                                |
| Environment & Economy                  | (53)  |                              | (53)  | 330  | (50)   | 92                              | 319                                 |
| Performance & Development              | 1,232   | 54                           | 1,286   | (127)  |  |                                 | 1,159                               |
| Resources                              | 2,869   | (74)                         | 2,795   | (895)  | (320)  | (538)                           | 1,042                               |
| Other Services                         | 478   |                              | 478   |  |  | 74                              | 552                                 |
| General Reserves                       | 7,686   |                              | 7,686   | (2,168)  |  | 4,656                           | 10,174                              |
| Insurance Fund                         | 8,875   |                              | 8,875   |  |  |                                 | 8,875                               |
| Capital Fund                           | 1,329   |                              | 1,329   | (484)  |  |                                 | 845                                 |
| Fire Radio                             | 1,176   |                              | 1,176   |  |  |                                 | 1,176                               |
| PIF (Previously Quality & Best Value ) | 16  |                              | 16  |  |  |                                 | 16                                  |
| Virtual Bank                           | (3,473)   |                              | (3,473)   | (323)  |  |                                 | (3,796)                             |
| LA Business Growth Incentive (LABGI)   | 464   |                              | 464   | (600)  |  |                                 | (136)                               |
| <b>Total</b>                           | <b>37,253</b>   | <b>0</b>                     | <b>37,253</b>   | <b>(7,768)</b>                                   | <b>(651)</b>                                   | <b>1,843</b>                    | <b>30,677</b>                       |

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 7 September 2006

**Report Title** 2006/07 to 2008/09 Capital Programme – Update as at Quarter 1

**Summary** This report seeks members’ approval to the changes to the capital programme since Council in February 2006.

**For further information please contact:** Charles Holden  
Corporate Capital Accountant  
Tel: 01926 412092  
charlesholden@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No. Council has delegated to Cabinet the authority to agree increases and reductions in starts and payments totals.

**Background papers** Capital Programme Working Papers - File L.109

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

- Other Committees  .....
- Local Member(s)
- Other Elected Members  Cllr Tandy, Cllr Roodhouse, Cllr Booth, Cllr Hicks – for information
- Cabinet Member  Cllr Farnell, Cllr Cockburn – for clearance
- Chief Executive
- Legal  David Carter
- Finance  Dave Clarke – reporting officer
- Other Chief Officers  .....
- District Councils  .....
- Health Authority  .....
- Police  .....

Other Bodies/Individuals  .....

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

Further consideration by this Committee  .....

To Council  .....

To Cabinet  .....

To an O & S Committee  .....

To an Area Committee  .....

Further Consultation  .....

## Cabinet - 7 September 2006

### Capital Programme Review

#### Report of the Strategic Director, Resources

##### Recommendation

- (i) Cabinet approves the additional projects at paragraph 6.2
- (ii) The revised starts and payments totals for 2006/07 identified at paragraph 6.1 and in Tables 1 and 2 be approved.
- (iii) Cabinet note the projections of spending and financing for future years.

## 1 Introduction

- 1.1 This report is the regular report dealing with changes to the capital programme arising from the latest review of capital projects. In this case the report highlights changes since Council in February 2006 and provides estimates of capital expenditure and financing resources for future years.

## 2 Definition of Capital

- 2.1 Capital expenditure is spending on assets which have a life of more than a year. It is defined by statute and includes acquisition of land, construction and improvement of buildings and roads, and the purchase of plant, machinery and equipment.

## 3 Funding of Capital

- 3.1 The County Council must ensure that it has sufficient funding to meet its capital payments in each financial year. The main sources of finance are:-
  - Borrowing – within the levels set by Council in February. The Authority is required to demonstrate that its borrowing is prudent, sustainable and affordable.
  - Capital grants from the Government e.g. under the DfES programmes.
  - Third Party Contributions e.g. private sector developers.
  - Capital Receipts from the sale of assets e.g. property.
  - Directorate Revenue budgets.
  - The Capital Fund – A reserve earmarked for capital purposes.

## 4 Current Position

- 4.1 In February the estimated value of schemes due to **start** in 2006/07 was £111.3 million as summarised in Table 1.
- 4.2 **Payments** on capital schemes tend to be spread over a number of financial years. This means that there are payments being made in 2006/07 not only for schemes started in that year but also for schemes started in earlier years. Also, in some cases expenditure on design work for a project may take place in a year prior to its start. In February the estimated level of payments in 2006/07 was £138.2 million, as summarised in Table 2.
- 4.3 The Council controls both the level of schemes started in a year and payments during a year (irrespective of which year schemes were started in).

## 5 Why review Capital Estimates during the year?

- 5.1 Directorates are asked to review capital estimates periodically during the year. The reason for this is that capital estimates can be subject to frequent changes; projects are designed externally, may require the purchase of land, are subject to planning permission, or have contracts which are tendered externally, etc. All of these factors can result in delay or variations in cost estimates. These changes mean that the authority must regularly review estimates to ensure that spending is balanced by available funding and take corrective action where necessary.
- 5.2 Members need to be satisfied that the authority is able to meet all its capital commitments by reviewing progress on projects and changes in financial projections.

## 6 Latest changes for 2006/07

- 6.1 Changes to 2006/07 starts and payments since February are analysed below. 2006/07 starts have dropped by £2.7 million as shown in Table 1. Payments have dropped by £21.8 million as identified in Table 2.
- 6.2 Tables 1 and 2, and all subsequent analysis, include a number of new capital projects which have not yet been considered by Cabinet. All are fully funded and therefore Cabinet, under its delegated authority, is asked to agree that the following schemes be added to the capital programme:

|  | £000         |
|--|--------------|
| <b>Community Protection</b>                |              |
| Drug Interventions Programme (DIP) 2006/07 | 25.4         |
| Substance Misuse Services 2006/07          | 137.8        |
| Safer and Stronger Communities             | 173.4        |
| <b>Resources – ICT</b>                     |              |
| Replacement of cleaning vehicle            | 30.0         |
| <b>Total</b>                               | <b>366.6</b> |



- 6.3 Further details are shown at **Appendix A**.
- 6.4 The main reason for the reduction in the 'starts' figure for 2006/07 is that the start of works for the central area Secondary/Special Needs School on the Ridgeway site in Warwick is now scheduled for Summer/Autumn 2007.
- 6.5 The main reasons for the reduction in 2006/07 payments is: -
- The start of works on Rugby Western Relief Road has been delayed pending the agreement of a funding package with the Department of Transport. In the interim, until this is resolved, the analysis assumes that the shortfall on Rugby Western Relief Road (as reported to Cabinet in July 2006) will be funded from capital receipts.
  - A reduced estimated spend in the year on various school projects.

| <b>Table 1: Changes in 2006/07 Estimated Capital Starts since February 2006</b> |   |                         |                           |
|---|---|-------------------------|---------------------------|
| <b>Directorate</b>  | <b>Estimated Capital Starts 2006/07</b> |                         |                           |
|   | <b>Feb 2006<br/>£000</b>                | <b>Changes<br/>£000</b> | <b>Sept 2006<br/>£000</b> |
| Adult, Health & Community Services  | 2,768.6                                 | -164.7                  | 2,603.9                   |
| Children, Young People & Families Services                                      | 32,894.1                                | -4,534.1                | 28,360.0                  |
| Community Protection  | 1,762.6                                 | 316.6                   | 2,079.2                   |
| Environment & Economy   | 61,223.9                                | 2,405.4                 | 63,629.3                  |
| Performance & Development   | 154.0                                   | -                       | 154.0                     |
| Resources   | 12,481.0                                | - 694.4                 | 11,786.6                  |
| <b>Total</b>  | <b>111,284.2</b>                        | <b>-2,671.2</b>         | <b>108,613.0</b>          |

| <b>Table 2: Changes in Estimated 2006/07 Capital Payments since February 2006</b> |                                   |                         |                           |
|---|-----------------------------------|-------------------------|---------------------------|
| <b>Directorate</b>  | <b>Estimated Capital Payments</b> |                         |                           |
|   | <b>Feb 2006<br/>£000</b>          | <b>Changes<br/>£000</b> | <b>Sept 2006<br/>£000</b> |
| Adult, Health & Community Services  | 2,703.5                           | - 593.4                 | 2,110.1                   |
| Children, Young People & Families Services  | 56,613.2                          | -6,880.7                | 49,732.5                  |
| Community Protection  | 1,423.6                           | -543.4                  | 880.2                     |
| Environment & Economy   | 61,608.4                          | -11,713.3               | 49,895.1                  |
| Performance & Development   | 233.8                             | 154.9                   | 388.7                     |
| Resources   | 15,602.3                          | -2,209.8                | 13,392.5                  |
| <b>Total</b>  | <b>138,184.8</b>                  | <b>-21,785.7</b>        | <b>116,399.1</b>          |

- 6.6 The changes, analysed by directorate, in Tables 1 and 2 above are made up of a number of variations on individual schemes. New projects and larger variations in starts or payments (in excess of £100,000) are identified at **Appendix B**. The key to the Appendix distinguishes between schemes, which are funded from external sources, those that are met from County Council capital resources (borrowing, capital receipts, and the Capital Fund), and also those that are met from revenue.
- 6.7 The above figures assume that the addition of a developer funded road project to the capital programme elsewhere on the Cabinet agenda is agreed. Any other capital programme additions elsewhere on the Cabinet agenda are excluded.

## 7 Additions/Variations to be Reported

- 7.1 In addition to the variations in excess of £100,000 recorded at **Appendix B**, a number of increases in scheme costs need, under Financial Standing Orders, to be reported to Cabinet. These are listed with explanations at **Appendix C**. In all cases directorates have identified the necessary funding.

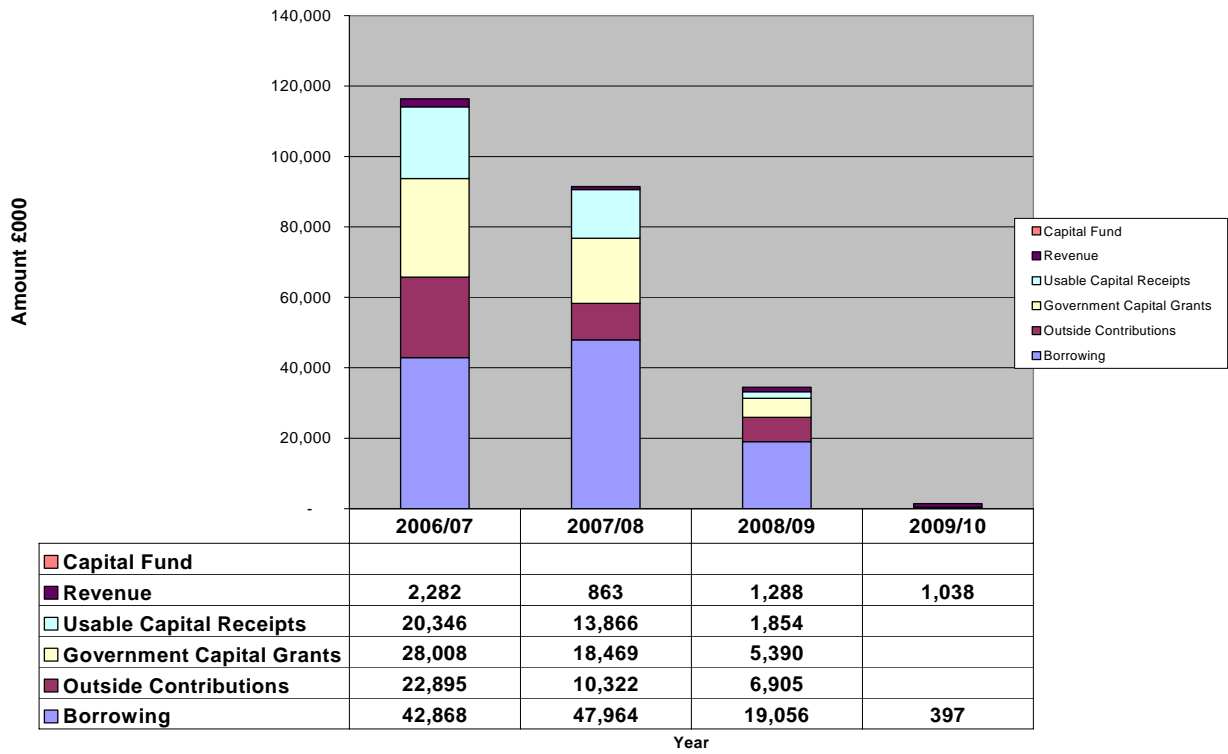
## 8 Latest Projections and Borrowing Requirement – 2006/07 Onwards

- 8.1 Table 3 below summarises estimated capital payments analysed over future financial years. It includes a number of other projects approved by Cabinet since February funded from specific earmarked funds and/or from revenue.

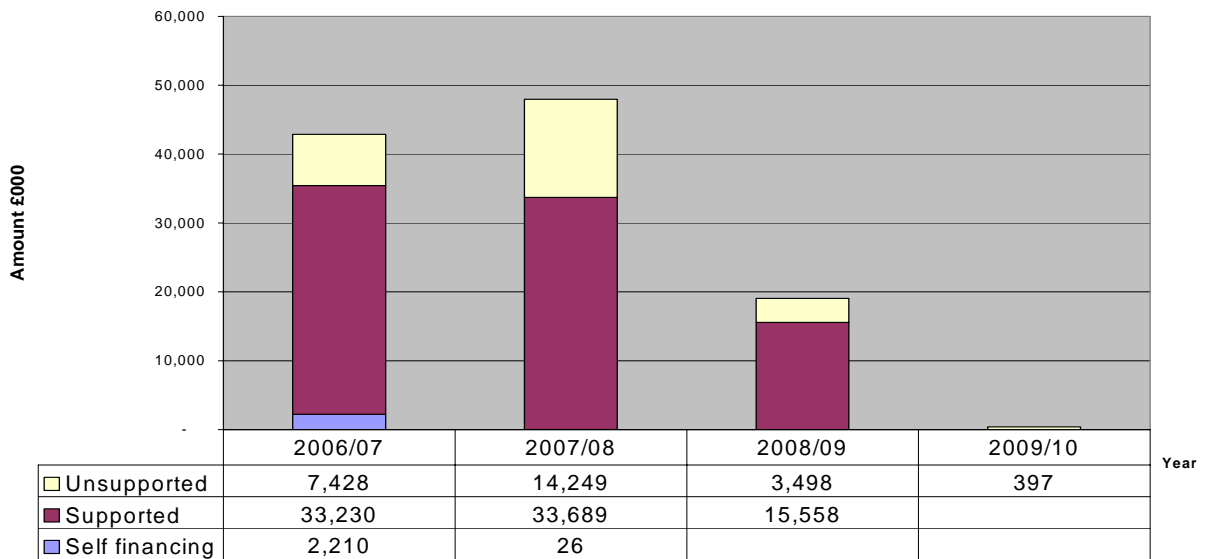
| <b>Table 3: Estimated Capital Payments for 2006/07 Onwards</b> |                |                |                |                |
|--|----------------|----------------|----------------|----------------|
|  | <b>2006/07</b> | <b>2007/08</b> | <b>2008/09</b> | <b>2009/10</b> |
|  | <b>£000</b>    | <b>£000</b>    | <b>£000</b>    | <b>£000</b>    |
| <b>A. Capital Payments</b>                                     | <b>116,399</b> | <b>91,484</b>  | <b>34,493</b>  | <b>1,435</b>   |

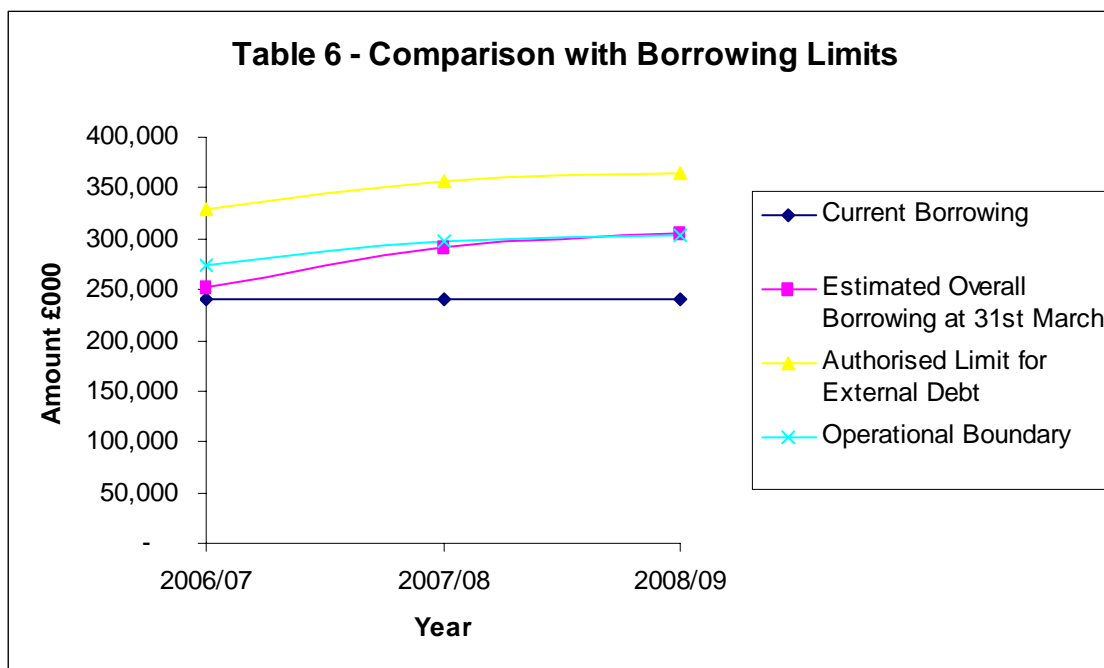
- 8.2 Under the requirements of the Local Government Act 2003, and regulated by the Prudential Code, the balance of the above expenditure, not funded from any other source, falls to be met from borrowing. Table 4 shows the calculation of this residual borrowing requirement for the period to 2009/10. The breakdown of the borrowing between self-financed schemes, supported borrowing, and unsupported borrowing is shown at Table 5. The cost of supported borrowing is partly financed through additional government grant. Directorates have agreed to meet the revenue impact of borrowing for self-financed projects. The whole cost of unsupported borrowing falls on the Council's resources.
- 8.3 Tables 4 and 5 show projected borrowing of £42.9 million in 2006/07. However, for operational purposes we are working on a target of £38.6 million anticipating slippage of 10% in the capital programme.

**Table 4 - Estimated Financing to 2009/10**



**Table 5: Analysis of Estimated Borrowing**





8.4 Table 6 above shows that current Council borrowing and overall estimated borrowing at 31<sup>st</sup> March 2007 is expected to be within the two limits set by full Council in February 2006 (the Authorised Limit for External Debt and the Operational Boundary).

DAVID CLARKE  
Strategic Director, Resources

Shire Hall  
Warwick

22 August 2006

## Additional Projects

|  | <b>£000</b>  |
|--|--------------|
| <b>COMMUNITY PROTECTION</b>  |              |
| <b>Drug Interventions Programme (DIP) 2006/07 (see note 1 below)</b>   |              |
| A capital grant has been agreed by the Home Office to support the implementation of the programme. The capital grant will be used to purchase 10 plasma screens promoting treatment and access to treatment through DIP. The screens will be situated in custody suites, courts and probation offices waiting areas. | 25.4         |
| <b>Substance Misuse Services 2006/07</b>   |              |
| A capital grant has been agreed by the National Treatment Agency to provide open access drop in facilities in Nuneaton, Rugby and Leamington. WCC is acting as the commissioning agent.  | 137.8        |
| <b>Safer and Stronger Communities Fund (SSCF)</b>  |              |
| A capital grant has been agreed by the Department of Communities & Local Government. The grant will be used to support crime reduction and anti-social behaviour interventions. WCC is coordinating the allocation of this grant to the District and Borough Councils.   | 173.4        |
| <b>RESOURCES - ICT</b>   |              |
| <b>Replacement of cleaning vehicle</b>   |              |
| The purchase of a replacement vehicle is to be funded from revenue.  | 30.0         |
| <b>GRAND TOTAL</b>   | <b>366.6</b> |

*Note 1*

*Cabinet agreed in February 2005 that existing de minimis limits could be varied where the Government supports expenditure below these thresholds as capital expenditure. This is likely to be required for this project.*

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project   | Directorate   | Officer                    | Funding<br>(Key<br>below) | Notes etc.                             | Estimated Capital Payments (Nov 2005 Prices)  |                   |                   |                 |                 |                          |
|---|---|----------------------------|---------------------------|--|---|-------------------|-------------------|-----------------|-----------------|--------------------------|
|   |   |                            |                           |  | Before<br>1.4.06<br>£000                      | 2006/2007<br>£000 | 2007/2008<br>£000 | 2008/09<br>£000 | 2009/10<br>£000 | After<br>31.3.10<br>£000 |
| <b>A. NEW PROJECTS</b>  |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| <b>a) Children, Young People and Families Directorate (CYPFD)</b> |   |                            |                           |  |   |                   |                   |                 |                 |                          |
|   | CYPFD<br>Resources  | John Harmon<br>Clive Field |                           |  |   |                   |                   |                 |                 |                          |
| 1   | Wootton Wawen CE Primary - ICT Area                                   |                            | S/F                       | Cabinet 25/5/06                        |   | 175.2             | 9.2               |                 |                 | 184.4                    |
| 2   | Wellesbourne Primary - 2 Temporary classrooms and Extension           |                            | S                         | Cabinet 25/5/06                        |   | 303.7             | 507.5             | 18.8            |                 | 830.0                    |
| 3   | Ettington CE Primary - Temporary classroom and Extension              |                            | S                         | Cabinet 25/5/06                        |   | 285.0             | 69.9              | 10.1            |                 | 365.0                    |
| 4   | North Leamington Community School Arts College and Residential scheme |                            | F                         | Cabinet 12/1/06<br>(Initial fees only) |   | 425.0             |                   |                 |                 | 425.0                    |
| 5   | Exhall Grange School Reorganisation                                   |                            | S                         | Cabinet 25/5/06                        |   | 160.0             | 492.8             | 12.2            |                 | 665.0                    |
| 6   | Stratford Arden Court Offices   |                            | F                         | Cabinet 6/4/06                         |   | 101.0             | 5.0               |                 |                 | 106.0                    |
| 7   | Education Modernisation 2006/07 - Resources (Property)                |                            | F/S                       | Cabinet 25/5/06                        |   | 2,316.0           | 275.0             |                 |                 | 2,591.0                  |
|   | <b>Total CYPFD</b>  |                            |                           |  |   | <b>3,765.9</b>    | <b>1,359.4</b>    | <b>41.1</b>     |                 | <b>5,166.4</b>           |
| <b>b) Environment and Economy Directorate</b>                     |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| <u>Structural Maintenance of Roads</u>                            |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| 1   | C54 Tysoe Road  | Environ. & Econ.           | Roger Newham              | S                                      | Virement from LTP resources                   | 155.0             |                   |                 |                 | 155.0                    |
| 2   | Moreton Morrell Road, Moreton Morrell                                 | Environ. & Econ.           | Roger Newham              | S                                      | for transport                                 | 105.0             |                   |                 |                 | 105.0                    |
| 3   | Welsh Road West, Southam  | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 190.0             |                   |                 |                 | 190.0                    |
| 4   | B4632 Clifford Lane, Clifford Chambers                                | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 160.0             |                   |                 |                 | 160.0                    |
| 5   | A452 Europa Way, Warwick  | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 228.0             |                   |                 |                 | 228.0                    |
| 6   | A426 Leicester Road, Rugby  | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 115.0             |                   |                 |                 | 115.0                    |
| 7   | B4029 Bedworth Road, Bulkington                                       | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 115.0             |                   |                 |                 | 115.0                    |
| 8   | C39 Salters Lane, Bearley   | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 130.0             |                   |                 |                 | 130.0                    |
| 9   | C54 Kineton from C53 to A422  | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 75.0              |                   |                 |                 | 75.0                     |
| <u>Structural Maintenance of Bridges</u>                          |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| 10  | Henley - Retaining Wall   | Environ. & Econ.           | Roger Newham              | S                                      | Virement from LTP resources                   | 95.0              |                   |                 |                 | 95.0                     |
| 11  | Ettington - Old Quarry Bridge   | Environ. & Econ.           | Roger Newham              | S                                      | for transport                                 | 115.0             |                   |                 |                 | 115.0                    |
| 12  | B4113 Wharf Inn Canal Bridge Concrete Repairs                         | Environ. & Econ.           | Roger Newham              | S                                      | " "   | 175.0             |                   |                 |                 | 175.0                    |
| <u>Developer Schemes</u>  |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| 13  | Minor developer schemes 2006/07                                       | Environ. & Econ.           | Roger Newham              | S                                      | Cabinet 25/5/06 - proposed increase to £300k. | 300.0             |                   |                 |                 | 300.0                    |
| <u>Integrated Schemes</u>   |   |                            |                           |  |   |                   |                   |                 |                 |                          |
| 14  | LTP Monitoring 2006-07  | Environ. & Econ.           | Roger Newham              | S                                      | Virement from LTP resources                   | 40.0              |                   |                 |                 | 40.0                     |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project                                | Directorate  | Officer          | Funding<br>(Key<br>below) | Notes etc. | Estimated Capital Payments (Nov 2005 Prices)               |                   |                   |                 |                 |                          |                                 |                 |
|--|--|------------------|---------------------------|------------|--|-------------------|-------------------|-----------------|-----------------|--------------------------|---------------------------------|-----------------|
|  |  |                  |                           |            | Before<br>1.4.06<br>£000                                   | 2006/2007<br>£000 | 2007/2008<br>£000 | 2008/09<br>£000 | 2009/10<br>£000 | After<br>31.3.10<br>£000 | Total Cost<br>of Scheme<br>£000 |                 |
| 15                                     | Attleborough - George Eliot Hosp - Bermuda Park Cvc Rt | Environ. & Econ. | Roger Newham              | S          | for transport  |                   | 10.0              | 150.0           |                 |                          |                                 | 160.0           |
| 16                                     | Hartshill - Camp Hill - Nuneaton Town Centre Cvc Rt    | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 10.0              | 100.0           |                 |                          |                                 | 110.0           |
| 17                                     | Tachbrook Rd, Leamington - cvc link                    | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 10.0              | 150.0           |                 |                          |                                 | 160.0           |
| 18                                     | Hillmorton - Rugby Town Centre Cvc Rt                  | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 10.0              | 100.0           |                 |                          |                                 | 110.0           |
| 19                                     | Nuneaton, Griff Roundabout                             | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 20.0              | 400.0           | 300.0           |                          |                                 | 720.0           |
| 20                                     | Aylesford School - Woodloes Park Cycle Route Phase 2   | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 100.0           |                 |                          |                                 | 100.0           |
| 21                                     | Aylesford School - Woodloes Park Cycle Route Phase 3   | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 60.0            | 40.0            |                          |                                 | 100.0           |
| 22                                     | Bridge Inspections 2006/07                             | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 25.0              |                 |                 |                          |                                 | 25.0            |
| 23                                     | Bridge Assessments 2006/07                             | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 70.0              |                 |                 |                          |                                 | 70.0            |
| 24                                     | Road Over Rail Approach Safety Measures 2006/07        | Environ. & Econ. | Roger Newham              | S          | " "  |                   | 250.0             |                 |                 |                          |                                 | 250.0           |
| 25                                     | Minor Traffic Management 2006-07                       | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 60.0            |                 |                          |                                 | 60.0            |
| 26                                     | Village Speed Limit Reviews 2006-07                    | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 95.0            |                 |                          |                                 | 312.0           |
| 27                                     | Minor Public & Community Transport 2006-07             | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 206.0           | 100.0           |                          |                                 | 306.0           |
| 28                                     | Minor Safer Routes to School 2006-07                   | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 286.0           | 200.0           |                          |                                 | 486.0           |
| 29                                     | Dropped Kerb Programme 2006-07                         | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 100.0           |                 |                          |                                 | 100.0           |
| 30                                     | Enhancements to Existing Ped Crossings 2006-07         | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 188.7           |                 |                          |                                 | 188.7           |
| 31                                     | New/Improved Crossings 2006/07                         | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 98.1            |                 |                          |                                 | 98.1            |
| 32                                     | Minor Improvements for Walking and Cycling 2006-07     | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 47.0            | 62.0            |                          |                                 | 109.0           |
| 33                                     | Delegated Budgets to Area Committees 2006-07           | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 200.0           | 19.0            |                          |                                 | 219.0           |
| 34                                     | Minor Casualty Reduction Schemes 2006-07               | Environ. & Econ. | Roger Newham              | S          | " "  |                   |                   | 495.0           |                 |                          |                                 | 495.0           |
| <u>Waste Disposal</u>                  |  |                  |                           |            |  |                   |                   |                 |                 |                          |                                 |                 |
| 35                                     | Kerbside Collection - additional vehicle (Rugby BC)    | Environ. & Econ. | Roy Burton                | C          | Virement from resources agreed by Council in February 2006 |                   | 135.0             |                 |                 |                          |                                 | 135.0           |
| 36                                     | Nuneaton Household Waste Re-cycling Centre             | Environ. & Econ. | Roy Burton                | C          | " "  |                   | 90.0              | 1,410.0         |                 |                          |                                 | 1,500.0         |
| 37                                     | Land purchase (treatment facility)                     | Environ. & Econ. | Roy Burton                | C          | " "  |                   | 25.0              | 2,270.0         |                 |                          |                                 | 2,295.0         |
| 38                                     | maintenance  | Environ. & Econ. | Roy Burton                | C          | " "  |                   | 70.0              | 60.0            |                 |                          |                                 | 130.0           |
| <u>Economic Development</u>            |  |                  |                           |            |  |                   |                   |                 |                 |                          |                                 |                 |
| 39                                     | Southam Highway Scheme                                 | Environ. & Econ. | John Scouller             | P/F        | Virement from rural vitality budget                        |                   | 8.3               | 31.8            |                 |                          |                                 | 40.1            |
| <b>Total Environment &amp; Economy</b> |  |                  |                           |            |  |                   | <b>2,731.3</b>    | <b>6,607.6</b>  | <b>938.0</b>    |                          |                                 | <b>10,276.9</b> |
| <b>c) Other Services</b>               |  |                  |                           |            |  |                   |                   |                 |                 |                          |                                 |                 |
| <u>COMMUNITY PROTECTION</u>            |  |                  |                           |            |  |                   |                   |                 |                 |                          |                                 |                 |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project   | Directorate        | Officer                    | Funding<br>(Key<br>below) | Notes etc.  | Estimated Capital Payments (Nov 2005 Prices) |                             |                              |                          |                      |                  |                         |                              |
|---|--------------------|----------------------------|---------------------------|---|--|-----------------------------|------------------------------|--------------------------|----------------------|------------------|-------------------------|------------------------------|
|   |                    |                            |                           |   | Before<br>1.4.06                             | 2006/2007                   | 2007/2008                    | 2008/09                  | 2009/10              | After<br>31.3.10 | Total Cost<br>of Scheme |                              |
|   |                    |                            |                           |   | £000   | £000                        | £000                         | £000                     | £000                 | £000             | £000                    |                              |
| 1   | Comm. Prot.        | Helen Murphy               | C                         | Virement from block allocation                        |  | 65.0                        |                              |                          |                      |                  |                         | 65.0                         |
| 2   | Comm. Prot.        | Helen Murphy               | F                         | See main report                                       |  | 173.4                       |                              |                          |                      |                  |                         | 173.4                        |
| 3   | Comm. Prot.        | Helen Murphy               | F                         | See main report                                       |  | 25.4                        |                              |                          |                      |                  |                         | 25.4                         |
| 4   | Comm. Prot.        | Helen Murphy               | F                         | See main report                                       |  | 137.8                       |                              |                          |                      |                  |                         | 137.8                        |
| <u>RESOURCES</u>  |                    |                            |                           |   |  |                             |                              |                          |                      |                  |                         |                              |
| 5   | Resources          | Clive Field                | C                         | ) * Virements   |  | 320.0                       | 28.0                         |                          |                      |                  |                         | 348.0                        |
| 6   | Resources          | Clive Field                | C                         | ) * Virements   |  | 35.4                        | 9.0                          |                          |                      |                  |                         | 44.4                         |
| 7   | Resources          | Clive Field                | C                         | ) * Virements   |  | 33.2                        | 16.5                         |                          |                      |                  |                         | 49.7                         |
| 8   | Resources          | Clive Field                | C                         | ) * Virements   |  | 235.0                       | 12.5                         |                          |                      |                  |                         | 247.5                        |
| 9   | Resources          | Clive Field                | C                         | ) * Virements   |  | 41.0                        | 36.0                         |                          |                      |                  |                         | 77.0                         |
| 10  | Resources          | Clive Field                | C                         | ) * Virements   |  | 99.0                        | 5.0                          |                          |                      |                  |                         | 104.0                        |
| 11  | Resources          | Colin Coombes              | R                         | See main report                                       |  | 30.0                        |                              |                          |                      |                  |                         | 30.0                         |
| * These items are virements from the £1m Building repair budget for 2006/07 |                    |                            |                           |   |  |                             |                              |                          |                      |                  |                         |                              |
| <u>CHILDREN / ADULT PROJECTS</u>  |                    |                            |                           |   |  |                             |                              |                          |                      |                  |                         |                              |
| 12  | AHCS               | Paul Walsh                 | C                         | Part of bigger education project<br>Cabinet 26/8/2004 |  | 105.0                       |                              |                          |                      |                  |                         | 105.0                        |
| <b>Total Other</b>  |                    |                            |                           |   |  | <b>1,300.2</b>              | <b>107.0</b>                 |                          |                      |                  |                         | <b>1,407.2</b>               |
| <b>(d) TOTAL</b>  |                    |                            |                           |   |  | <b>7,797.4</b>              | <b>8,074.0</b>               | <b>979.1</b>             |                      |                  |                         | <b>16,850.5</b>              |
| <b>B. PROJECTS WITH CHANGES OVER £100,000</b>                               |                    |                            |                           |   |  |                             |                              |                          |                      |                  |                         |                              |
| <b>(a) Children, Young People and Families Directorate (CYPFD)</b>          |                    |                            |                           |   |  |                             |                              |                          |                      |                  |                         |                              |
| 1   | CYPFD<br>Resources | John Harmon<br>Steve Smith | P/F/R                     | Latest Estimate<br>Previous Estimate<br>Difference    |  | 3,237.0<br>3,336.3<br>-99.3 | 16.7<br>373.3<br>-356.6      | 455.9                    |                      |                  |                         | 3,709.6<br>3,709.6<br>0.0    |
| 2   | CYPFD<br>Resources | John Harmon<br>Steve Smith | P/S/F/<br>R               | Latest Estimate<br>Previous Estimate<br>Difference    |  | 2,063.1<br>1,377.2<br>685.9 | 673.7<br>1,349.2<br>-675.5   | 63.0<br>61.5<br>1.5      |                      |                  |                         | 2,799.8<br>2,787.9<br>11.9   |
| 3   | CYPFD<br>Resources | John Harmon<br>Steve Smith | P/F                       | Latest Estimate<br>Previous Estimate<br>Difference    |  | 937.2<br>446.8<br>490.4     | 1,610.9<br>2,041.8<br>-430.9 | 323.1<br>481.5<br>-158.4 | 48.7<br>54.7<br>-6.0 |                  |                         | 2,919.9<br>3,024.8<br>-104.9 |



## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project  | Directorate        | Officer                    | Funding<br>(Key<br>below) | Notes etc.        | Estimated Capital Payments (Nov 2005 Prices) |           |           |         |         |                  |                         |
|--|--------------------|----------------------------|---------------------------|-------------------|--|-----------|-----------|---------|---------|------------------|-------------------------|
|  |                    |                            |                           |                   | Before<br>1.4.06                             | 2006/2007 | 2007/2008 | 2008/09 | 2009/10 | After<br>31.3.10 | Total Cost<br>of Scheme |
|  |                    |                            |                           |                   | £000   | £000      | £000      | £000    | £000    | £000             | £000                    |
| 4 Newbold on Avon - Avon Valley School rebuild                         | CYPFD<br>Resources | John Harmon<br>Steve Smith | F/P/S                     | Latest Estimate   | 1,386.1                                      | 13,300.3  | 2,733.6   | 261.5   |         |                  | 17,681.5                |
|  |                    |                            |                           | Previous Estimate | 2,485.2                                      | 14,409.2  | 526.9     | 260.2   |         | 17,681.5         |                         |
|  |                    |                            |                           | Difference        | -1,099.1                                     | -1,108.9  | 2,206.7   | 1.3     |         | 0.0              |                         |
| 5 Kingsbury Comprehensive School - new block                           | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/F/R                     | Latest Estimate   | 16.5   | 150.0     | 1,451.5   | 40.5    |         |                  | 1,658.5                 |
|  |                    |                            |                           | Previous Estimate | 13.6   | 609.3     | 797.9     | 37.7    |         | 1,458.5          |                         |
|  |                    |                            |                           | Difference        | 2.9  | -459.3    | 653.6     | 2.8     |         | 200.0            |                         |
| 6 Rugby Harris High Sports Hall  | CYPFD<br>Resources | John Harmon<br>Steve Smith | C                         | Latest Estimate   | 35.7   | 1,100.4   | 1,127.0   | 66.9    |         |                  | 2,330.0                 |
|  |                    |                            |                           | Previous Estimate | 200.0  | 2,130.0   |           |         |         | 2,330.0          |                         |
|  |                    |                            |                           | Difference        |  | -1,029.6  | 1,127.0   | 66.9    |         | 164.3            |                         |
| 7 Kingsbury Water Park Learning Facility                               | CYPFD<br>Resources | John Harmon<br>Steve Smith | F/P                       | Latest Estimate   | 2.7  | 30.8      | 450.0     |         |         |                  | 483.5                   |
|  |                    |                            |                           | Previous Estimate |  | 423.3     | 60.2      |         |         | 483.5            |                         |
|  |                    |                            |                           | Difference        |  | -392.5    | 389.8     |         |         | -2.7             |                         |
| 8 Wolston St Margarets CE Primary School                               | CYPFD<br>Resources | John Harmon<br>Steve Smith | F                         | Latest Estimate   |  | 119.3     | 3.7       |         |         |                  | 123.0                   |
|  |                    |                            |                           | Previous Estimate | 119.3  | 3.7       |           |         |         | 123.0            |                         |
|  |                    |                            |                           | Difference        | -119.3                                       | 115.6     | 3.7       |         |         |                  |                         |
| 9 New Special School Nuneaton & Bedworth<br>(Oakwood SEN Construction) | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/P/F/<br>R               | Latest Estimate   | 7,407.2                                      | 80.0      |           |         |         |                  | 7,487.2                 |
|  |                    |                            |                           | Previous Estimate | 7,367.8                                      | 200.0     |           |         |         | 7,567.8          |                         |
|  |                    |                            |                           | Difference        | 39.4   | -120.0    |           |         |         | -80.6            |                         |
| 10 Central Area Secondary/Special Needs School -<br>Ridgeway site      | CYPFD<br>Resources | John Harmon<br>Steve Smith | P/S/F                     | Latest Estimate   | 137.0  | 300.0     | 2,123.0   | 1,100.0 | 79.8    |                  | 3,739.8                 |
|  |                    |                            |                           | Previous Estimate | 184.4  | 729.1     | 2,829.8   |         |         | 3,743.3          |                         |
|  |                    |                            |                           | Difference        | -47.4  | -429.1    | -706.8    | 1,100.0 | 79.8    | -3.5             |                         |
| 11 Central Area Secondary/Special Needs School -<br>Dormer site        | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/P/F                     | Latest Estimate   | 843.7  | 4,746.4   | 1,000.0   |         |         |                  | 6,590.1                 |
|  |                    |                            |                           | Previous Estimate | 1,002.0                                      | 5,411.3   | 140.0     |         |         | 6,553.3          |                         |
|  |                    |                            |                           | CYPFD             | -158.3                                       | -664.9    | 860.0     |         |         | 36.8             |                         |
|  |                    |                            |                           | CYPFD             |  |           |           |         |         |                  |                         |
| 12 Northern Area Special Educational Needs                             | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/P/F<br>R                | Latest Estimate   | 1,521.5                                      | 2,738.5   | 100.0     |         |         |                  | 4,360.0                 |
|  |                    |                            |                           | Previous Estimate | 1,700.9                                      | 2,526.9   | 94.5      |         |         | 4,322.3          |                         |
|  |                    |                            |                           | Difference        | -179.4                                       | 211.6     | 5.5       |         |         | 37.7             |                         |
| 13 Sure Start Grant 2006/07  | CYPFD<br>Resources | John Harmon<br>Steve Smith | F                         | Latest Estimate   |  | 2,542.8   | 1,433.8   |         |         |                  | 3,976.6                 |
|  |                    |                            |                           | Previous Estimate |  | 3,976.6   |           |         |         | 3,976.6          |                         |
|  |                    |                            |                           | Difference        |  | -1,433.8  | 1,433.8   |         |         | 0.0              |                         |
| 14 Children's Centre Newbold Riverside                                 | CYPFD<br>Resources | John Harmon<br>Steve Smith | F                         | Latest Estimate   | 113.2  | 204.8     |           |         |         |                  | 318.0                   |
|  |                    |                            |                           | Previous Estimate | 219.5  | 71.9      | 7.5       |         |         | 298.9            |                         |
|  |                    |                            |                           | Difference        | -106.3                                       | 132.9     | -7.5      |         |         | 19.1             |                         |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project                                      | Directorate  | Officer            | Funding<br>(Key<br>below)  | Notes etc.  | Estimated Capital Payments (Nov 2005 Prices)       |                             |                            |                          |                        |                  |                         |                              |
|--|--|--------------------|----------------------------|-------------|--|-----------------------------|----------------------------|--------------------------|------------------------|------------------|-------------------------|------------------------------|
|  |  |                    |                            |             | Before<br>1.4.06                                   | 2006/2007                   | 2007/2008                  | 2008/09                  | 2009/10                | After<br>31.3.10 | Total Cost<br>of Scheme |                              |
|  |  |                    |                            |             | £000   | £000                        | £000                       | £000                     | £000                   | £000             | £000                    |                              |
| 15   | Bulkington Road Nursery                                | CYPFD<br>Resources | John Harmon<br>Steve Smith | F/S         | Latest Estimate<br>Previous Estimate<br>Difference |                             |                            |                          | 144.8                  | 5.2              |                         | 150.0<br>150.0               |
| 16   | Schools Access Initiative 2006/07                      | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference | 0.3                         | 678.0<br>780.0<br>-102.0   | 230.0<br>72.3<br>157.7   |                        |                  |                         | 908.3<br>852.3<br>55.7       |
| 17   | Schools Access Initiative 2007/08                      | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference |                             |                            | 622.0<br>767.1<br>-145.1 | 230.3<br>85.2<br>145.1 |                  |                         | 852.3<br>852.3               |
| <u>Basic Need Schemes</u>                    |  |                    |                            |             |  |                             |                            |                          |                        |                  |                         |                              |
| 18   | Stratford High - Post 16 Basic Need                    | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference | 3.6                         | 103.3<br>642.4<br>-539.1   | 835.5                    |                        |                  |                         | 942.4<br>642.4<br>300.0      |
| 19   | Polesworth School - International Block                | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/F         | Latest Estimate<br>Previous Estimate<br>Difference | 33.5<br>92.1<br>-58.6       | 773.0<br>932.9<br>-159.9   | 212.7<br>25.0<br>187.7   | 30.8<br>30.8           |                  |                         | 1,050.0<br>1,050.0<br>0.0    |
| 20   | Basic Needs schemes 2004/2005                          | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference |                             |                            | 355.6<br>-355.6          |                        |                  |                         | 355.6<br>-355.6              |
| 21   | Basic Need Schemes 2005/06                             | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference |                             |                            | 711.0<br>-711.0          |                        |                  |                         | 711.0<br>-711.0              |
| 22   | Basic Need Schemes 2006/07                             | CYPFD<br>Resources | John Harmon<br>Steve Smith | S           | Latest Estimate<br>Previous Estimate<br>Difference |                             | 622.2<br>1,387.3<br>-765.1 | 500.0<br>154.0<br>346.0  |                        |                  |                         | 1,122.2<br>1,541.3<br>-419.1 |
| <u>Modernisation &amp; Condition Schemes</u> |  |                    |                            |             |  |                             |                            |                          |                        |                  |                         |                              |
| 23   | Studley Community Infants School - Modernisation       | CYPFD<br>Resources | John Harmon<br>Steve Smith | S/F/P/<br>R | Latest Estimate<br>Previous Estimate<br>Difference | 1,363.8<br>1,459.0<br>-95.2 | 295.2<br>25.3<br>269.9     | 29.0<br>29.0             |                        |                  |                         | 1,688.0<br>1,484.3<br>203.7  |
| 24   | Rugby Ashlawn - Replacement of 13 Temporary Classrooms | CYPFD<br>Resources | John Harmon<br>Steve Smith | F/S/P/<br>R | Latest Estimate<br>Previous Estimate<br>Difference | 1,881.8<br>1,675.7<br>206.1 | -162.5<br>-162.5           |                          |                        |                  |                         | 1,719.3<br>1,675.7<br>43.6   |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project   | Directorate                         | Officer      | Funding<br>(Key<br>below) | Notes etc.        | Estimated Capital Payments (Nov 2005 Prices) |           |           |         |         |                  |                         |
|---|-------------------------------------|--------------|---------------------------|-------------------|--|-----------|-----------|---------|---------|------------------|-------------------------|
|   |                                     |              |                           |                   | Before<br>1.4.06                             | 2006/2007 | 2007/2008 | 2008/09 | 2009/10 | After<br>31.3.10 | Total Cost<br>of Scheme |
|   |                                     |              |                           |                   | £000   | £000      | £000      | £000    | £000    | £000             | £000                    |
| 25 Stockingford Infant School - Replacement of Temporary Classrooms | CYPFD                               | John Harmon  | F/R/S                     | Latest Estimate   | 37.1   | 950.0     | 122.4     | 29.4    |         |                  | 1,138.9                 |
|   | Resources                           | Steve Smith  |                           | Previous Estimate | 56.5   | 625.5     | 18.0      |         |         |                  | 700.0                   |
|   |                                     |              |                           | Difference        | -19.4  | 324.5     | 104.4     | 29.4    |         |                  | 438.9                   |
| 26 Kingsbury Primary School Amalgamation                            | CYPFD                               | John Harmon  | F/R/S                     | Latest Estimate   | 263.2  | 146.2     | 9.3       |         |         |                  | 418.7                   |
|   | Resources                           | Steve Smith  |                           | Previous Estimate | 340.4  | 41.7      | 9.7       |         |         |                  | 391.8                   |
|   |                                     |              |                           | Difference        | -77.2  | 104.5     | -0.4      |         |         |                  | 26.9                    |
| 27 Education Condition Funding 2003/04                              | CYPFD                               | John Harmon  | F                         | Latest Estimate   | 4,707.7                                      | 119.5     |           |         |         |                  | 4,827.2                 |
|   | Resources                           | Steve Smith  |                           | Previous Estimate | 5,129.7                                      |           |           |         |         |                  | 5,129.7                 |
|   |                                     |              |                           | Difference        | -422.0                                       | 119.5     |           |         |         |                  | -302.5                  |
| 28 Education Modernisation - Primary 2006/07                        | CYPFD                               | John Harmon  | F/S                       | Latest Estimate   |  | 19.3      |           |         |         |                  | 19.3                    |
|   | Resources                           | Steve Smith  |                           | Previous Estimate |  | 2,117.8   | 313.0     |         |         |                  | 2,430.8                 |
|   |                                     |              |                           | Difference        |  | -2,098.5  | -313.0    |         |         |                  | -2,411.5                |
| 29 Education Modernisation - Secondary 2006/07                      | CYPFD                               | John Harmon  | F/S                       | Latest Estimate   |  | 300.0     | 152.7     |         |         |                  | 452.7                   |
|   | Resources                           | Steve Smith  |                           | Previous Estimate |  | 2,397.1   | 317.7     |         |         |                  | 2,714.8                 |
|   |                                     |              |                           | Difference        |  | -2,097.1  | -165.0    |         |         |                  | -2,262.1                |
| <u>Devolved and School Level Schemes</u>                            |                                     |              |                           |                   |  |           |           |         |         |                  |                         |
| 30 Devolved/School Level Budget 2005/06                             | CYPFD                               | John Harmon  | F/R                       | Latest Estimate   | 2,088.0                                      | 4,827.7   |           |         |         |                  | 6,915.7                 |
|   | Resources                           | Steve Smith  |                           | Previous Estimate | 3,900.8                                      | 3,689.5   |           |         |         |                  | 7,590.3                 |
|   |                                     |              |                           | Difference        | -1,812.8                                     | 1,138.2   |           |         |         |                  | -674.6                  |
| 31 Devolved/School Level Budget 2006/07                             | CYPFD                               | John Harmon  | F/R                       | Latest Estimate   |  | 4,094.8   | 4,847.8   |         |         |                  | 8,942.6                 |
|   | Resources                           | Steve Smith  |                           | Previous Estimate |  | 5,939.9   | 4,519.4   |         |         |                  | 10,459.3                |
|   |                                     |              |                           | Difference        |  | -1,845.1  | 328.4     |         |         |                  | -1,516.7                |
| 32 Devolved/School Level Budget 2007/08                             | CYPFD                               | John Harmon  | F/R                       | Latest Estimate   |  |           | 5,589.4   | 5,116.0 |         |                  | 10,705.4                |
|   | Resources                           | Steve Smith  |                           | Previous Estimate |  |           | 6,336.4   | 4,769.0 |         |                  | 11,105.4                |
|   |                                     |              |                           | Difference        |  |           | -747.0    | 347.0   |         |                  | -400.0                  |
| <b>(b) Environment and Economy Directorate</b>                      |                                     |              |                           |                   |  |           |           |         |         |                  |                         |
| <u>Major Transport Schemes</u>                                      |                                     |              |                           |                   |  |           |           |         |         |                  |                         |
| 1 Nuneaton Major Project  | Environ. & Econ.<br>Major Transport | Roger Newham | F/R/S                     | Latest Estimate   | 5,062.7                                      | 100.0     | 1,141.0   |         |         |                  | 6,303.7                 |
|   |                                     |              |                           | Previous Estimate | 5,137.7                                      | 1,326.0   | 50.0      |         |         |                  | 6,513.7                 |
|   |                                     |              |                           | Difference        | -75.0  | -1,226.0  | 1,091.0   |         |         |                  | -210.0                  |
| 2 Rugby Western Relief Road   | Environ. & Econ.<br>Major Transport | Roger Newham | F/S                       | Latest Estimate   | 2,966.0                                      | 5,962.3   | 13,525.3  | 7,757.4 | 317.0   |                  | 30,528.0                |
|   |                                     |              |                           | Previous Estimate | 2,892.1                                      | 16,154.3  | 7,497.9   | 280.0   |         |                  | 26,824.3                |
|   |                                     |              |                           | Difference        | 73.9   | -10,192.0 | 6,027.4   | 7,477.4 | 317.0   |                  | 3,703.7                 |
| 3 A429 Barford Bypass   | Environ. & Econ.<br>Major Transport | Roger Newham | F/S/P                     | Latest Estimate   | 2,099.0                                      | 6,222.0   | 1,812.0   | 248.0   |         |                  | 10,381.0                |
|   |                                     |              |                           | Previous Estimate | 1,906.7                                      | 7,285.3   | 940.0     | 248.0   |         |                  | 10,380.0                |
|   |                                     |              |                           | Difference        | 192.3  | -1,063.3  | 872.0     |         |         |                  | 1.0                     |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project | Directorate   | Officer                                | Funding<br>(Key<br>below) | Notes etc. | Estimated Capital Payments (Nov 2005 Prices) |           |           |          |         |                  |                         |  |          |
|---------|---|--|---------------------------|------------|--|-----------|-----------|----------|---------|------------------|-------------------------|--|----------|
|         |   |  |                           |            | Before<br>1.4.06                             | 2006/2007 | 2007/2008 | 2008/09  | 2009/10 | After<br>31.3.10 | Total Cost<br>of Scheme |  |          |
|         |   |  |                           |            | £000   | £000      | £000      | £000     | £000    | £000             | £000                    |  |          |
| 4       | Coleshill Multimodal Interchange  | Environ. & Econ.<br>Major Transport    | Roger Newham              | F/S/P      | Latest Estimate                              | 1,918.0   | 5,095.0   |          |         |                  |                         |  | 7,013.0  |
|         |   |  |                           |            | Previous Estimate                            | 1,993.7   | 4,575.8   | 160.0    |         |                  |                         |  | 6,729.5  |
|         |   |  |                           |            | Difference                                   | -75.7     | 519.2     | -160.0   |         |                  |                         |  | 283.5    |
|         | <u>Structural Maintenance of Roads</u>  |  |                           |            |  |           |           |          |         |                  |                         |  |          |
| 5       | Structural Maintenance of roads 2006/07<br>(Provision vired to new projects - See Section A<br>above) | Environ. & Econ.<br>Struct maint roads | Roger Newham              | S          | Latest Estimate                              | 4.0       | 4,128.5   |          |         |                  |                         |  | 4,132.5  |
|         |   |  |                           |            | Previous Estimate                            |           | 5,749.0   |          |         |                  |                         |  | 5,749.0  |
|         |   |  |                           |            | Difference                                   | 4.0       | -1,620.5  |          |         |                  |                         |  | -1,616.5 |
| 6       | A429 Wellesbourne Road, Ettington   | Environ. & Econ.<br>Struct maint roads | Roger Newham              | S          | Latest Estimate                              |           | 100.0     |          |         |                  |                         |  | 100.0    |
|         |   |  |                           |            | Previous Estimate                            | 100.0     |           |          |         |                  |                         |  | 100.0    |
|         |   |  |                           |            | Difference                                   | -100.0    | 100.0     |          |         |                  |                         |  |          |
|         | <u>Structural Maintenance of Bridges</u>  |  |                           |            |  |           |           |          |         |                  |                         |  |          |
| 7       | Bedworth, Queen Street Rail Bridge edge   | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              | 25.1      | 15.0      | 150.0    |         |                  |                         |  | 190.1    |
|         |   |  |                           |            | Previous Estimate                            | 157.1     |           |          |         |                  |                         |  | 157.1    |
|         |   |  |                           |            | Difference                                   | -132.0    | 15.0      | 150.0    |         |                  |                         |  | 33.0     |
| 8       | Luscome Farm Culvert replacement  | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              | 25.2      | 115.0     |          |         |                  |                         |  | 140.2    |
|         |   |  |                           |            | Previous Estimate                            | 108.8     |           |          |         |                  |                         |  | 108.8    |
|         |   |  |                           |            | Difference                                   | -83.6     | 115.0     |          |         |                  |                         |  | 31.4     |
| 9       | Harbury Station   | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              | 39.0      | 180.0     |          |         |                  |                         |  | 219.0    |
|         |   |  |                           |            | Previous Estimate                            | 199.5     |           |          |         |                  |                         |  | 199.5    |
|         |   |  |                           |            | Difference                                   | -160.5    | 180.0     |          |         |                  |                         |  | 19.5     |
| 10      | Portobello Bridge   | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              | 117.0     | 25.0      | 50.0     | 2,070.0 |                  |                         |  | 2,262.0  |
|         |   |  |                           |            | Previous Estimate                            | 146.7     | 50.0      | 2,070.0  |         |                  |                         |  | 2,266.7  |
|         |   |  |                           |            | Difference                                   | -29.7     | -25.0     | -2,020.0 | 2,070.0 |                  |                         |  | -4.7     |
| 11      | Spernal Bridge  | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              | 0.7       | 50.0      | 500.0    |         |                  |                         |  | 550.7    |
|         |   |  |                           |            | Previous Estimate                            | 1.7       | 494.0     |          |         |                  |                         |  | 495.7    |
|         |   |  |                           |            | Difference                                   | -1.0      | -444.0    | 500.0    |         |                  |                         |  | 55.0     |
| 12      | Structural Maintenance of Bridges 2006/07   | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              |           | 311.3     |          |         |                  |                         |  | 311.3    |
|         |   |  |                           |            | Previous Estimate                            |           | 1,212.0   |          |         |                  |                         |  | 1,212.0  |
|         |   |  |                           |            | Difference                                   |           | -900.7    |          |         |                  |                         |  | -900.7   |
| 13      | Structural Maintenance of Bridges 2007/08   | Environ. & Econ.<br>Bridges            | Roger Newham              | S          | Latest Estimate                              |           |           | 2,938.0  |         |                  |                         |  | 2,938.0  |
|         |   |  |                           |            | Previous Estimate                            |           |           | 1,568.0  |         |                  |                         |  | 1,568.0  |
|         |   |  |                           |            | Difference                                   |           |           | 1,370.0  |         |                  |                         |  | 1,370.0  |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project | Directorate                                    | Officer                        | Funding<br>(Key<br>below) | Notes etc. | Estimated Capital Payments (Nov 2005 Prices) |                   |                   |                 |                 |                          |                                 |          |
|---------|--|--------------------------------|---------------------------|------------|--|-------------------|-------------------|-----------------|-----------------|--------------------------|---------------------------------|----------|
|         |  |                                |                           |            | Before<br>1.4.06<br>£000                     | 2006/2007<br>£000 | 2007/2008<br>£000 | 2008/09<br>£000 | 2009/10<br>£000 | After<br>31.3.10<br>£000 | Total Cost<br>of Scheme<br>£000 |          |
| 14      | Structural Maintenance of Bridges 2008/09      | Environ. & Econ.<br>Bridges    | Roger Newham              | S          | Latest Estimate                              |                   |                   |                 | 963.0           |                          |                                 | 963.0    |
|         |  |                                |                           |            | Previous Estimate                            |                   |                   |                 | 3,033.0         |                          |                                 | 3,033.0  |
|         |  |                                |                           |            | Difference                                   |                   |                   |                 | -2,070.0        |                          |                                 | -2,070.0 |
|         | <u>Developer Funded Road Schemes</u>           |                                |                           |            |  |                   |                   |                 |                 |                          |                                 |          |
| 15      | Whitnash, Heathcote junction improvements      | Environ. & Econ.<br>Developer  | Roger Newham              | F          | Latest Estimate                              | 1,626.3           | 414.3             | 421.6           |                 |                          |                                 | 2,462.2  |
|         |  |                                |                           |            | Previous Estimate                            | 1,603.8           | 858.4             |                 |                 |                          |                                 | 2,462.2  |
|         |  |                                |                           |            | Difference                                   | 22.5              | -444.1            | 421.6           |                 |                          |                                 |          |
| 16      | A4071 Bilton Road, Rugby                       | Environ. & Econ.<br>Developer  | Roger Newham              | F          | Latest Estimate                              |                   | 1,485.0           |                 |                 |                          |                                 | 1,485.0  |
|         |  |                                |                           |            | Previous Estimate                            | 400.0             | 1,085.0           |                 |                 |                          |                                 | 1,485.0  |
|         |  |                                |                           |            | Difference                                   | -400.0            | 400.0             |                 |                 |                          |                                 |          |
| 17      | Minor Developer Schemes under £100K            | Environ. & Econ.<br>Developer  | Roger Newham              | F          | Latest Estimate                              | 50.9              | 349.1             |                 |                 |                          |                                 | 400.0    |
|         |  |                                |                           |            | Previous Estimate                            | 300.0             | 100.0             |                 |                 |                          |                                 | 400.0    |
|         |  |                                |                           |            | Difference                                   | -249.1            | 249.1             |                 |                 |                          |                                 |          |
|         | <u>Integrated Transport</u>                    |                                |                           |            |  |                   |                   |                 |                 |                          |                                 |          |
| 18      | Quality Bus Initiative in North Warwickshire   | Environ. & Econ.<br>Integrated | Roger Newham              | S          | Latest Estimate                              |                   | 936.0             |                 |                 |                          |                                 | 936.0    |
|         |  |                                |                           |            | Previous Estimate                            | 2.0               | 1,200.0           |                 |                 |                          |                                 | 1,202.0  |
|         |  |                                |                           |            | Difference                                   | -2.0              | -264.0            |                 |                 |                          |                                 | -266.0   |
| 19      | Leamington Urban Mixed Priority (LUMP) Project | Environ. & Econ.<br>Integrated | Roger Newham              | F/S/P      | Latest Estimate                              | 2,849.3           | 595.4             |                 |                 |                          |                                 | 3,444.7  |
|         |  |                                |                           |            | Previous Estimate                            | 2,739.7           | 705.0             |                 |                 |                          |                                 | 3,444.7  |
|         |  |                                |                           |            | Difference                                   | 109.6             | -109.6            |                 |                 |                          |                                 | 0.0      |
| 20      | B4098 Casualty Reduction Route Treatment -     | Environ. & Econ.<br>Integrated | Roger Newham              | S          | Latest Estimate                              |                   | 168.0             |                 |                 |                          |                                 | 168.0    |
|         |  |                                |                           |            | Previous Estimate                            | 100.0             |                   |                 |                 |                          |                                 | 100.0    |
|         |  |                                |                           |            | Difference                                   | -100.0            | 168.0             |                 |                 |                          |                                 | 68.0     |
| 21      | Stratford, Bishopton Lane - Park & Ride        | Environ. & Econ.<br>Integrated | Roger Newham              | S/F/P      | Latest Estimate                              | 4,215.5           | 304.0             |                 |                 |                          |                                 | 4,519.5  |
|         |  |                                |                           |            | Previous Estimate                            | 4,299.8           | 25.2              |                 |                 |                          |                                 | 4,325.0  |
|         |  |                                |                           |            | Difference                                   | -84.3             | 278.8             |                 |                 |                          |                                 | 194.5    |
| 22      | Project Diamond                                | Environ. & Econ.<br>Integrated | Roger Newham              | S          | Latest Estimate                              |                   |                   | 10.0            | 170.0           |                          |                                 | 180.0    |
|         |  |                                |                           |            | Previous Estimate                            |                   | 5.0               | 175.0           |                 |                          |                                 | 180.0    |
|         |  |                                |                           |            | Difference                                   |                   | -5.0              | -165.0          | 170.0           |                          |                                 |          |
| 23      | New/Improved Crossings 2005/2006               | Environ. & Econ.<br>Integrated | Roger Newham              | S          | Latest Estimate                              |                   | 144.9             | 36.9            |                 |                          |                                 | 181.8    |
|         |  |                                |                           |            | Previous Estimate                            |                   | 109.0             | 161.0           |                 |                          |                                 | 270.0    |
|         |  |                                |                           |            | Difference                                   |                   | 35.9              | -124.1          |                 |                          |                                 | -88.2    |
| 24      | Minor Casualty Reduction Schemes 2005/06       | Environ. & Econ.<br>Integrated | Roger Newham              | S          | Latest Estimate                              | 249.6             | 112.0             |                 |                 |                          |                                 | 361.6    |
|         |  |                                |                           |            | Previous Estimate                            | 361.0             |                   |                 |                 |                          |                                 | 361.0    |
|         |  |                                |                           |            | Difference                                   | -111.4            | 112.0             |                 |                 |                          |                                 | 0.6      |
| 25      | Integrated Transport 2006/07                   | Environ. & Econ.               | Roger Newham              | S          | Latest Estimate                              |                   |                   |                 |                 |                          |                                 |          |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project  | Directorate                    | Officer         | Funding<br>(Key<br>below) | Notes etc.        | Estimated Capital Payments (Nov 2005 Prices) |                   |                   |                 |                 |                          |                                 |  |
|--|--------------------------------|-----------------|---------------------------|-------------------|--|-------------------|-------------------|-----------------|-----------------|--------------------------|---------------------------------|--|
|  |                                |                 |                           |                   | Before<br>1.4.06<br>£000                     | 2006/2007<br>£000 | 2007/2008<br>£000 | 2008/09<br>£000 | 2009/10<br>£000 | After<br>31.3.10<br>£000 | Total Cost<br>of Scheme<br>£000 |  |
|  | Integrated                     |                 |                           | Previous Estimate |  |                   | 1,684.8           |                 |                 |                          | 1,684.8                         |  |
|  |                                |                 |                           | Difference        |  |                   | -1,684.8          |                 |                 |                          | -1,684.8                        |  |
| 26 Integrated Transport 2007/08                            | Environ. & Econ.<br>Integrated | Roger Newham    | S                         | Latest Estimate   |  |                   | 2,237.6           |                 |                 |                          | 2,237.6                         |  |
|  |                                |                 |                           | Previous Estimate |  |                   | 3,181.2           |                 |                 |                          | 3,181.2                         |  |
|  |                                |                 |                           | Difference        |  |                   | -943.6            |                 |                 |                          | -943.6                          |  |
| 27 Integrated Transport 2008/09                            | Environ. & Econ.<br>Integrated | Roger Newham    | S                         | Latest Estimate   |  |                   |                   | 4,894.0         |                 |                          | 4,894.0                         |  |
|  |                                |                 |                           | Previous Estimate |  |                   |                   | 5,194.0         |                 |                          | 5,194.0                         |  |
|  |                                |                 |                           | Difference        |  |                   |                   | -300.0          |                 |                          | -300.0                          |  |
| <u>Environment and Economy Other</u>                       |                                |                 |                           |                   |  |                   |                   |                 |                 |                          |                                 |  |
| 28 Development of Furniture Re-use Scheme                  | Environ. & Econ.<br>Other      | Glenn Fleet     | F/P                       | Latest Estimate   | 21.2   | 565.8             |                   |                 |                 |                          | 587.0                           |  |
|  |                                |                 |                           | Previous Estimate | 252.2  | 334.8             |                   |                 |                 |                          | 587.0                           |  |
|  |                                |                 |                           | Difference        | -231.0                                       | 231.0             |                   |                 |                 |                          |                                 |  |
| 29 Hunters Lane Recycling/Transfer Station - refurbishment | Environ. & Econ.<br>Other      | Roy Burton      | C                         | Latest Estimate   | 9.7  | 48.1              | 1,146.8           |                 |                 |                          | 1,204.6                         |  |
|  |                                |                 |                           | Previous Estimate | 13.0   | 541.6             |                   |                 |                 |                          | 554.6                           |  |
|  |                                |                 |                           | Difference        | -3.3   | -493.5            | 1,146.8           |                 |                 |                          | 650.0                           |  |
| 30 Grendon Household Waste Recycling Centre redevelopment  | Environ. & Econ.<br>Other      | Roy Burton      | C                         | Latest Estimate   | 27.0   | 488.3             | 780.0             |                 |                 |                          | 1,295.3                         |  |
|  |                                |                 |                           | Previous Estimate | 30.6   | 174.7             |                   |                 |                 |                          | 205.3                           |  |
|  |                                |                 |                           | Difference        | -3.6   | 313.6             | 780.0             |                 |                 |                          | 1,090.0                         |  |
| 31 Purchase of vehicles (revenue funded)                   | Environ. & Econ.<br>Other      | Richard Bedding | R                         | Latest Estimate   | 1,632.1                                      | 200.0             |                   |                 |                 |                          | 1,832.1                         |  |
|  |                                |                 |                           | Previous Estimate | 1,646.5                                      |                   |                   |                 |                 |                          | 1,646.5                         |  |
|  |                                |                 |                           | Difference        | -14.4  | 200.0             |                   |                 |                 |                          | 185.6                           |  |
| 32 Warwickshire Casualty Reduction Partnership             | Environ. & Econ.<br>Other      | Louise Lyle     | F                         | Latest Estimate   | 2,416.7                                      | 415.5             |                   |                 |                 |                          | 2,832.2                         |  |
|  |                                |                 |                           | Previous Estimate | 2,048.2                                      |                   |                   |                 |                 |                          | 2,048.2                         |  |
|  |                                |                 |                           | Difference        | 368.5  | 415.5             |                   |                 |                 |                          | 784.0                           |  |
| 33 Building Sustainable Neighbourhoods                     | Environ. & Econ.<br>Other      | John Scouller   | F                         | Latest Estimate   | 1,187.2                                      | 558.3             | 10.0              |                 |                 |                          | 1,755.5                         |  |
|  |                                |                 |                           | Previous Estimate | 1,106.1                                      | 440.0             |                   |                 |                 |                          | 1,546.1                         |  |
|  |                                |                 |                           | Difference        | 81.1   | 118.3             | 10.0              |                 |                 |                          | 209.4                           |  |
| 34 Grendon, Household Waste Re-cycling Centre (Land)       | Environ. & Econ.<br>Economy    | John Scouller   | C                         | Latest Estimate   |  | 325.0             |                   |                 |                 |                          | 325.0                           |  |
|  |                                |                 |                           | Previous Estimate | 325.0  |                   |                   |                 |                 |                          | 325.0                           |  |
|  |                                |                 |                           | Difference        | -325.0                                       | 325.0             |                   |                 |                 |                          |                                 |  |
| 35 Waste Strategy Implementation                           | Environ. & Econ.<br>Economy    | John Scouller   | C                         | Latest Estimate   |  |                   | 500.0             | 500.0           |                 |                          | 1,000.0                         |  |
|  |                                |                 |                           | Previous Estimate | -30.6  | 1,930.6           | 4,000.0           | 800.0           |                 |                          | 6,700.0                         |  |
|  |                                |                 |                           | Difference        | 30.6   | -1,930.6          | -3,500.0          | -300.0          |                 |                          | -5,700.0                        |  |
| 36 Nuneaton, Midland Quarry Phase 2 - Hilary Road          | Environ. & Econ.               | John Scouller   | F                         | Latest Estimate   | 1,082.9                                      | 100.0             |                   |                 |                 |                          | 1,182.9                         |  |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project  | Directorate                           | Officer              | Funding<br>(Key<br>below) | Notes etc.        | Estimated Capital Payments (Nov 2005 Prices) |                   |                   |                 |                 |                          |                                 |         |
|--|---------------------------------------|----------------------|---------------------------|-------------------|--|-------------------|-------------------|-----------------|-----------------|--------------------------|---------------------------------|---------|
|  |                                       |                      |                           |                   | Before<br>1.4.06<br>£000                     | 2006/2007<br>£000 | 2007/2008<br>£000 | 2008/09<br>£000 | 2009/10<br>£000 | After<br>31.3.10<br>£000 | Total Cost<br>of Scheme<br>£000 |         |
|  | Economy                               |                      |                           | Previous Estimate | 1,371.7                                      |                   |                   |                 |                 |                          |                                 | 1,371.7 |
|  |                                       |                      |                           | Difference        | -288.8                                       | 100.0             |                   |                 |                 |                          |                                 | -188.8  |
| 37 Innovative Transport Initiative                   | Environ. & Econ.<br>Economy           | John Scouller        | C                         | Latest Estimate   |  | 200.0             |                   |                 |                 |                          |                                 | 200.0   |
|  |                                       |                      |                           | Previous Estimate | 200.0  |                   |                   |                 |                 |                          |                                 | 200.0   |
|  |                                       |                      |                           | Difference        | -200.0                                       | 200.0             |                   |                 |                 |                          |                                 |         |
| <b>(c) Other Directorates</b>                        |                                       |                      |                           |                   |  |                   |                   |                 |                 |                          |                                 |         |
| 1 Removal & Treatment of Asbestos 05/06              | Resources<br>Property                 | Clive Field          | C                         | Latest Estimate   | 272.6  | 0.3               |                   |                 |                 |                          |                                 | 272.9   |
|  |                                       |                      |                           | Previous Estimate | 122.6  | 11.0              |                   |                 |                 |                          |                                 | 133.6   |
|  |                                       |                      |                           | Difference        | 150.0  | -10.7             |                   |                 |                 |                          |                                 | 139.3   |
| 2 Major Building Repairs 05/06                       | Resources<br>Property                 | Clive Field          | C                         | Latest Estimate   | 971.1  | -23.8             |                   |                 |                 |                          |                                 | 947.3   |
|  |                                       |                      |                           | Previous Estimate | 495.0  | 250.0             |                   |                 |                 |                          |                                 | 745.0   |
|  |                                       |                      |                           | Difference        | 476.1  | -273.8            |                   |                 |                 |                          |                                 | 202.3   |
| 3 Warwick, Saltisford Phase 1 offices                | Resources<br>Property                 | Clive Field          | C                         | Latest Estimate   | 139.1  | 8,393.8           | 114.0             |                 |                 |                          |                                 | 8,646.9 |
|  |                                       |                      |                           | Previous Estimate | 7,213.8                                      | 736.2             |                   |                 |                 |                          |                                 | 7,950.0 |
|  |                                       |                      |                           | Difference        | -7,074.7                                     | 7,657.6           | 114.0             |                 |                 |                          |                                 | 696.9   |
| 4 Radio Communications Project                       | Community Prot                        | Helen Murphy         | R                         | Latest Estimate   | 323.9  | 10.0              | 10.0              | 537.8           | 537.9           |                          |                                 | 1,419.6 |
|  |                                       |                      |                           | Previous Estimate | 365.2  | 1,054.4           |                   |                 |                 |                          |                                 | 1,419.6 |
|  |                                       |                      |                           | Difference        | -41.3  | -1,044.4          | 10.0              | 537.8           | 537.9           |                          |                                 | 0.0     |
| 5 Document management / Intranet                     | Resources<br>ICT                      | Colin Coombes        | R                         | Latest Estimate   |  |                   | 100.0             | 100.0           | 100.0           |                          |                                 | 300.0   |
|  |                                       |                      |                           | Previous Estimate |  |                   | 300.0             |                 |                 |                          |                                 | 300.0   |
|  |                                       |                      |                           | Difference        |  |                   | -200.0            | 100.0           | 100.0           |                          |                                 |         |
| 6 Internal Software Developments for Departments 07  | Resources<br>ICT                      | Colin Coombes        | R                         | Latest Estimate   |  |                   | 200.0             | 200.0           | 200.0           |                          |                                 | 600.0   |
|  |                                       |                      |                           | Previous Estimate |  |                   | 200.0             |                 |                 |                          |                                 | 200.0   |
|  |                                       |                      |                           | Difference        |  |                   |                   | 200.0           | 200.0           |                          |                                 | 400.0   |
| 7 External Purchase of Software for Departments 07/C | Resources<br>ICT                      | Colin Coombes        | R                         | Latest Estimate   |  |                   | 100.0             | 250.0           |                 |                          |                                 | 350.0   |
|  |                                       |                      |                           | Previous Estimate |  |                   | 50.0              |                 |                 |                          |                                 | 50.0    |
|  |                                       |                      |                           | Difference        |  |                   | 50.0              | 250.0           |                 |                          |                                 | 300.0   |
| 8 Infrastructure e-government 07/08                  | Resources<br>ICT                      | Colin Coombes        | R                         | Latest Estimate   |  |                   | 200.0             | 200.0           | 200.0           |                          |                                 | 600.0   |
|  |                                       |                      |                           | Previous Estimate |  |                   | 300.0             |                 |                 |                          |                                 | 300.0   |
|  |                                       |                      |                           | Difference        |  |                   | -100.0            | 200.0           | 200.0           |                          |                                 | 300.0   |
| 9 Organisational Development                         | Performance & Deve                    | Nicola Cumberledge   | P/R                       | Latest Estimate   | 28.3   | 171.7             |                   |                 |                 |                          |                                 | 200.0   |
|  |                                       |                      |                           | Previous Estimate | 25.0   | 75.0              |                   |                 |                 |                          |                                 | 100.0   |
|  |                                       |                      |                           | Difference        | 3.3  | 96.7              |                   |                 |                 |                          |                                 | 100.0   |
| 10 Vehicle Replacement Programme 05/06               | Adult, Health &<br>Community Services | Philip Lumley-Holmes | P/R                       | Latest Estimate   | 924.7  |                   |                   |                 |                 |                          |                                 | 924.7   |
|  |                                       |                      |                           | Previous Estimate | 600.0  | 112.0             |                   |                 |                 |                          |                                 | 712.0   |
|  |                                       |                      |                           | Difference        | 324.7  | -112.0            |                   |                 |                 |                          |                                 | 212.7   |

## NEW PROJECTS/MAJOR VARIATIONS IN INDIVIDUAL PROJECTS OVER £100,000

| Project | Directorate                                | Officer                            | Funding<br>(Key<br>below) | Notes etc. | Estimated Capital Payments (Nov 2005 Prices) |           |           |         |         |                  |                         |  |         |
|---------|--|------------------------------------|---------------------------|------------|--|-----------|-----------|---------|---------|------------------|-------------------------|--|---------|
|         |  |                                    |                           |            | Before<br>1.4.06                             | 2006/2007 | 2007/2008 | 2008/09 | 2009/10 | After<br>31.3.10 | Total Cost<br>of Scheme |  |         |
|         |  |                                    |                           |            | £000   | £000      | £000      | £000    | £000    | £000             | £000                    |  |         |
| 11      | Mental Health Provision 05/06              | Adult, Health & Community Services | Philip Lumley-Holmes      | S          | Latest Estimate                              | 26.3      | 165.8     |         |         |                  |                         |  | 192.1   |
|         |  |                                    |                           |            | Previous Estimate                            | 192.1     |           |         |         |                  |                         |  | 192.1   |
|         |  |                                    |                           |            | Difference                                   | -165.8    | 165.8     |         |         |                  |                         |  | 0.0     |
| 12      | Social Services Accommodation Plan 05/06   | Adult, Health & Community Services | Philip Lumley-Holmes      | C          | Latest Estimate                              |           | 10.0      | 150.0   |         |                  |                         |  | 160.0   |
|         |  |                                    |                           |            | Previous Estimate                            |           | 160.0     |         |         |                  |                         |  | 160.0   |
|         |  |                                    |                           |            | Difference                                   |           | -150.0    | 150.0   |         |                  |                         |  |         |
| 13      | Social Services Modernisation 06/07        | Adult, Health & Community Services | Philip Lumley-Holmes      | C          | Latest Estimate                              |           |           | 150.0   | 20.0    |                  |                         |  | 170.0   |
|         |  |                                    |                           |            | Previous Estimate                            |           | 150.0     | 20.0    |         |                  |                         |  | 170.0   |
|         |  |                                    |                           |            | Difference                                   |           | -150.0    | 130.0   | 20.0    |                  |                         |  |         |
| 14      | Nuneaton & Bedworth Office Rationalisation | Adult, Health & Community Services | Philip Lumley-Holmes      | C          | Latest Estimate                              | 8.2       | 118.8     | 117.6   |         |                  |                         |  | 244.6   |
|         |  |                                    |                           |            | Previous Estimate                            | 5.0       | 239.6     |         |         |                  |                         |  | 244.6   |
|         |  |                                    |                           |            | Difference                                   | 3.2       | -120.8    | 117.6   |         |                  |                         |  |         |
| 15      | Mental Health Provision 06/07              | Adult, Health & Community Services | Philip Lumley-Holmes      | S          | Latest Estimate                              |           | 25.0      | 154.0   |         |                  |                         |  | 179.0   |
|         |  |                                    |                           |            | Previous Estimate                            |           | 179.0     |         |         |                  |                         |  | 179.0   |
|         |  |                                    |                           |            | Difference                                   |           | -154.0    | 154.0   |         |                  |                         |  |         |
| 16      | Major Structural works Shire Hall complex  | Resources Property                 | Clive Field               | C          | Latest Estimate                              | 659.6     | 150.6     | 1,765.9 | 1,475.0 |                  |                         |  | 4,051.1 |
|         |  |                                    |                           |            | Previous Estimate                            | 681.0     | 250.0     | 1,645.1 | 1,475.0 |                  |                         |  | 4,051.1 |
|         |  |                                    |                           |            | Difference                                   | -21.4     | -99.4     | 120.8   |         |                  |                         |  | 0.0     |

C = Funded from unsupported borrowing/capital receipts/corporate  
P = Partly funded from unsupported borrowing/capital receipts  
S = Funded from supported borrowing/self financing  
F = Funded from specific grants and contributions  
R = Funded from revenue



## INCREASES IN CAPITAL PROJECT COSTS TO BE REPORTED TO CABINET

|          | Project  | Previous<br>Estimated<br>Cost<br>£000 | Latest<br>Estimated<br>Cost<br>£000 | Increase<br>% | Reason for Increase  |
|----------|--|---------------------------------------|-------------------------------------|---------------|--|
| <b>A</b> | <b>Children, Young People and Families Directorate</b>           |                                       |                                     |               |  |
| 1        | Mappleborough Green C.E. Primary School - Hall                   | 285.9                                 | 312.0                               | 9.1%          | The original contractor on this project went into liquidation. Protracted negotiations with the liquidator over a number of years, including the agreement of remedial work costs, outstanding claims and the deduction/refunding of damages has resulted in a final payment which has included interest on the sum due. |
| 2        | Stratford Bridgetown School Extension                            | 705.5                                 | 733.3                               | 3.9%          | Additional works were required to meet safety concerns.  |
| 3        | Studley Community Infants School - Modernisation                 | 1484.3                                | 1688.0                              | 13.7%         | Due to project delays and additional works the contractor has put forward a claim for an additional payment. The settlement of this claim is estimated to be £200K.  |
| 4        | Rugby Ashlawn School, Replacement of 13 Temporary Classrooms     | 1675.7                                | 1719.3                              | 2.6%          | Additional landscaping works requested by the School has led to an increase in overall project costs. The increase has been funded by the School.  |
| 5        | Stratford High School, Post 16 Basic Need                        | 642.4                                 | 942.4                               | 46.7%         | An additional allocation of £300K funded from Government supported borrowing was approved at Cabinet on 25 May 2006.   |
| 6        | Kenilworth Nursery Phase 3                                       | 194.8                                 | 216.0                               | 10.9%         | The project has been subject to additional works requested by the School. These additional costs whilst not currently funded will ultimately be met from Nursery funds.  |
| 7        | Central Area Secondary Special Education Needs - Dormer Site     | 6553.3                                | 6590.1                              | 0.6%          | There has been an increase in overall project costs resulting from the completion of the project brief and tender sum under the framework agreement.   |
| 8        | Northern Area Special Educational Needs                          | 4322.3                                | 4360.0                              | 0.9%          | Extensive asbestos works in the existing building have increased the overall project costs. It is currently assumed these will be met from the project contingency.  |
| 9        | Stockingford Infant School - Replacement of Temporary Classrooms | 700.0                                 | 1138.9                              | 62.7%         | The project brief and tender sum under the framework agreement have now been finalised leading to a more accurate forecast of overall costs. This has required an increase in the original project budget.   |
| 10       | Kingsbury Comprehensive school - New Block                       | 1458.5                                | 1658.5                              | 13.7%         | An additional allocation of £200K funded from Government grant was approved at Cabinet on 25 May 2006.   |
| 11       | Schools Access Initiative 2006/07                                | 852.3                                 | 908.3                               | 6.6%          | The estimate for schools access expenditure has been updated to take account of extra spending funded from devolved capital grant.   |
| 12       | Warwick, Myton School Four Court Sports Hall                     | 2105.0                                | 2134.6                              | 1.4%          | The forecast cost for this project has been updated from latest information based on progress to date in resolving the contractors final account.  |
| 13       | Adaptation to Foster Carer's Property 2006/07                    | 100.0                                 | 130.0                               | 30.0%         | There has been an increase in the number of applications for adaptations under consideration. Additional revenue resources have been set aside to fund successful applications.  |

## INCREASES IN CAPITAL PROJECT COSTS TO BE REPORTED TO CABINET

| Project  | Previous Estimated Cost £000 | Latest Estimated Cost £000 | Increase % | Reason for Increase   |
|--|------------------------------|----------------------------|------------|---|
| 14   | 127.2                        | 190.6                      | 49.8%      | The increase reflects additional builders work and fixtures and fittings added to this scheme following commencement on site. In addition landscaping works were required prior to contract completion.   |
| 15   | 391.8                        | 418.7                      | 6.9%       | The additional cost has arisen because of the requirement for a Hygiene Change facility not included in the original project cost. The extra cost is to be funded from Education Schools Access Initiative supported borrowing.                       |
| 16   | 2030.5                       | 2656.2                     | 30.8%      | The budget for Modernisation was increased to include unused resources from the Condition Funding programme.  |
| <b>B Environment &amp; Economy Directorate</b> |                              |                            |            |   |
| <u>Major</u>                                   |                              |                            |            |   |
| 1  | 26824.3                      | 30524.0                    | 13.8%      | The increase in the estimated project cost to £30,524k was reported to Cabinet 25 May 2006.   |
| 2  | 6729.5                       | 7013.0                     | 4.2%       | The increase in the estimated project cost to £7,013k was reported to Cabinet on 3 February 2006.   |
| <u>Structural Maintenance of Roads *</u>       |                              |                            |            |   |
| 3  | 1598.2                       | 1757.3                     | 10.0%      | Budget underspends on various projects within the structural maintenance programme have been used to carry out more carriageway surface dressing and footway slurry sealing works.  |
| 4  | 291.6                        | 369.4                      | 26.7%      | " " "   |
| <u>Structural Maintenance of Bridges</u>       |                              |                            |            |   |
| 5  | 495.7                        | 550.7                      | 11.1%      | The original project estimate was based on a scheme designed 6 years ago which has since had to be reviewed and modified.   |
| 6  | 222.7                        | 248.4                      | 11.5%      | The original indicative project estimate has been updated based on the latest feasibility work which has taken place.   |
| 7  | 110                          | 130.0                      | 18.2%      | The previous estimate has been updated based on the latest information available. The budget may need further revision once detailed design is complete.  |
| 8  | 150                          | 188.0                      | 25.3%      | The latest project estimate is based on the tender accepted with the addition of estimated costs for rail possessions and supervision.  |
| 9  | 157.1                        | 190.1                      | 21.0%      | A budget of £175k was reported to Cabinet on 25/05/06. The latest estimate now includes the cost of works to implement an alternative proposal for a weight limit as well as the original scheme  |
| 10   | 108.8                        | 140.2                      | 28.9%      | A budget of £130k was reported to Cabinet on 25 May 2006. Some further changes are necessary based on latest information. Detailed design for the project is almost complete.   |
| 11   | 80                           | 175.0                      | 118.8%     | A budget of £131k was reported to Cabinet on 25 May 2006. The previous indicative estimate has been increased as works required are more extensive than previously anticipated. The project now includes a new retaining wall and parapet protection. |
| 12   | 97.0                         | 107.3                      | 10.6%      | The increase is due to additional works to walls adjacent to bridge and costs arising from traffic management issues concerning the road closure. The scheme is now complete.   |
| 13   | 139.0                        | 172.0                      | 23.7%      | A budget of £169k reported to Cabinet 25 May 2006. A further increase to £172k is proposed following more consultation on decorative finishes.  |

## INCREASES IN CAPITAL PROJECT COSTS TO BE REPORTED TO CABINET

|    | Project   | Previous Estimated Cost £000 | Latest Estimated Cost £000 | Increase % | Reason for Increase   |
|----|---|------------------------------|----------------------------|------------|---|
| 14 | Structural Maintenance of Bridges 2007/08               | 1568.0                       | 2938.0                     | 87.4%      | The balance of the 2007/08 Indicative LTP settlement not allocated to individual schemes has increased.   |
|    | <u>Developer</u>  |                              |                            |            |   |
| 15 | Coventry Colliery M6 Junction 3                         | 3045.8                       | 3105.8                     | 2.0%       | The final account on this S.278 developer funded scheme has now been settled.   |
| 16 | Bedworth, Coalpits Field Development                    | 42.0                         | 47.8                       | 13.8%      | The coding of additional staff time in previous years has been corrected.   |
| 17 | Alcester, High Street - Enhancement Works               | 40.0                         | 47.5                       | 18.8%      | Part of the total project cost of £72k is being funded from a structural maintenance budget. The estimated balance met from other sources has increased to £47k but there is no change in the overall project cost.                       |
| 18 | Minor developer schemes 2006/07                         | 200.0                        | 300.0                      | 50.0%      | Cabinet on 25th May 2006 agreed an allocation of £200k. It is proposed that this be increased to £300k. The cost is fully funded from developer contributions.  |
|    | <u>Integrated</u>                                       |                              |                            |            |   |
| 19 | Bishopston Lane, Stratford - Park & Ride                | 4325.0                       | 4519.5                     | 4.5%       | The increase is due to additional expenditure during the construction period on the pumping station and the provision of additional signs   |
| 20 | LTP Monitoring 2005/06                                  | 40.0                         | 45.6                       | 14.0%      | The cost of setting up the new LTP contract has increased the estimate.   |
| 21 | B4098 Casualty Reduction Route Treatment - North Wa     | 100.0                        | 168.0                      | 68.0%      | The latest estimate of £168k was reported to Cabinet on 25 May 2006.  |
| 22 | Enhancement of existing pedestrian crossings 2005/06    | 55.0                         | 116.6                      | 112.0%     | Three walking, cycling and crossing projects have been managed together. Overall there is a small increase within tolerances which is being met from within the LTP integrated transport allocation.                                      |
| 23 | Minor Imps for Walking and Cycling 04-05                | 358.3                        | 404.4                      | 12.9%      | " " "   |
| 24 | New/Improved Crossings 2005/06                          | 270.0                        | 181.8                      | -32.7%     | " " "   |
|    | <u>Economic Development</u>                             |                              |                            |            |   |
| 25 | Regeneration Zone Opportunities Centre                  | 316.2                        | 360.0                      | 13.9%      | An extension to the building has increased the cost. Additional funding from Advantage West Midlands will fund the increase.  |
| 26 | Nuneaton, EPIC  | 7182.2                       | 7281.7                     | 1.4%       | The increase is due to unexpected additional road construction costs, additional property services fees and additional building construction costs.   |
| 27 | Building Sustainable Neighbourhoods                     | 1546.1                       | 1755.5                     | 13.5%      | Additional spending was approved by Cabinet on 8th December 2005.   |
|    | <u>Other</u>  |                              |                            |            |   |
| 28 | Hunters Lane Recycling/Transfer Station - refurbishment | 554.6                        | 1204.6                     | 117.2%     | The estimated cost of the project exceeded the resources previously allocated. It is proposed that the increased costs be met from virement of resources approved in February 2006 for the implementation of the waste disposal strategy. |
| 29 | Countryside minor works                                 | 176.7                        | 209.9                      | 18.8%      | Additional external funding has been used to increase minor works spending.   |
| 30 | Countryside -DDA works to public rights of way          | 30.2                         | 37.6                       | 24.5%      | The increase, based on latest information, has been funded from the property services DDA budget.   |
| 31 | Grendon Household Waste Recycling Centre redevelopment  | 205.3                        | 1295.3                     | 530.9%     | The estimated cost of the project exceeded the resources previously allocated. It is proposed that the increased costs be met from virement of resources approved in February 2006 for the implementation of the waste disposal strategy. |
| 32 | Purchase of vehicles                                    | 1646.5                       | 1832.1                     | 11.3%      | Vehicles costing £200k are to be purchased in 2006/07 funded from the revenue budget.   |
| 33 | Warwickshire Casualty Reduction Partnership             | 2048.2                       | 2832.1                     | 38.3%      | The estimate has been enhanced to include 2006/07 capital spending on casualty reduction.   |
| 34 | Minor works 2004/05                                     | 272.6                        | 338.1                      | 24.0%      | Additional minor works to business centres are being funded from resources vired from other capital budgets.  |

## INCREASES IN CAPITAL PROJECT COSTS TO BE REPORTED TO CABINET

| INCREASES IN CAPITAL PROJECT COSTS TO BE REPORTED TO CABINET |   |                            |            |                     |   |
|--|---|----------------------------|------------|---------------------|---|
| Project  | Previous Estimated Cost £000                        | Latest Estimated Cost £000 | Increase % | Reason for Increase |   |
| <b>C. Resources Directorate</b>                              |   |                            |            |                     |   |
| <u>ICT</u>   |   |                            |            |                     |   |
| 1  | Internal Software Developments for Depts 07/08      | 200.0                      | 600.0      | 200.0%              | All Information and Communication Technology (ICT) capital budgets have been re-examined as part of the latest capital programme review. The pattern of previous years spending has been used to improve the accuracy and detail of capital estimates and extend estimates into the next two years. Whilst the capital/revenue mix has changed there is no overall increase in spending - all ICT capital spending is funded from revenue anyway. |
| 2  | External Purchase of Software for Depts 07/08       | 50.0                       | 350.0      | 600.0%              | " " "   |
| 3  | Infrastructure e-government 07/08                   | 300.0                      | 600.0      | 100.0%              | " " "   |
| <u>Property</u>  |   |                            |            |                     |   |
| 4  | Disability Discrimination Act 03/04                 | 256.9                      | 315.0      | 22.6%               | There has been some virement of budget provision between DDA programmes within the overall level of resources available.  |
| 5  | DDA works to property services properties           | 700.0                      | 772.1      | 10.3%               | " " "   |
| 6  | DDA improved access 05/06                           | 656.5                      | 526.3      | -19.8%              | " " "   |
| 7  | Rewiring of Premises 05/06                          | 150.1                      | 246.3      | 64.1%               | Additional spending on the 2005/06 rewiring block allocation has been financed from the 2006/07 rewiring budget.  |
| 8  | Removal & Treatment of Asbestos 05/06               | 133.6                      | 272.9      | 104.3%              | Additional spending on the 2005/06 asbestos block allocation has been financed from the 2006/07 rewiring budget.  |
| 9  | Major Building Repairs 05/06                        | 745.0                      | 947.3      | 27.2%               | Additional spending on the 2005/06 major building repairs block allocation was financed from revenue in 2005/06.  |
| 10   | Warwick, Saltisford Phase 1 offices                 | 7950.0                     | 8646.9     | 8.8%                | The increase in cost was reported to Cabinet on 25th May 2006   |
| <b>D. Adult, Health and Community Services Directorate</b>   |   |                            |            |                     |   |
| 1  | Social Services Modernisation Programme 05/06       | 180.0                      | 127.7      | -29.1%              | There has been some virement of budget provision between modernisation programmes within the overall level of resources available.  |
| 2  | Modernising Day Services - People with Disabilities | 150.0                      | 202.4      | 34.9%               | " " "   |
| 3  | Social Services Replacement Vehicles 06/07          | 300.0                      | 350.0      | 16.7%               | As a result of a complete review of vehicles, additional spending is proposed funded from revenue.  |
| 4  | Kitchen & Laundry Equipment 05/06                   | 30.0                       | 53.2       | 77.3%               | Additional spending is proposed met from a transfer of budget provision from another Kitchen & Laundry Equipment budget in 2005/06.   |
| <b>E. Performance and Development Directorate</b>            |   |                            |            |                     |   |
| 1  | Organisational Development                          | 100.0                      | 200.0      | 100.0%              | The original intention was that the prudential borrowing allocation of £100k would be matched by contributions from Directorates who have bid for money. The increased spending from matched funding is now reflected in this review.   |

**AGENDA MANAGEMENT SHEET**

**Name of Committee**

**The Cabinet**

**Date of Committee**

**7<sup>th</sup> September 2006**

**Report Title**

**Review of the Local Schools Funding Formula**

**Summary**

The report outlines a suggested process for reviewing the Authority's local schools funding formula. It seeks Cabinet's approval to the process and asks for suggestions of any other areas of the formula that Cabinet may want to be reviewed.

**For further information please contact:**

John Betts  
Head of Service – Resources  
Tel: 01926 742076  
johnbetts@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision]**

No

**Background papers**

Schools Forum papers 11.5.06

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees

.....

Local Member(s)

.....

Other Elected Members

Cllr John Whitehouse – "noted"  
CYP&F O&S Spokespersons for information:  
Cllr Helen McCarthy  
Cllr Richard Grant  
Cllr Jill Dill-Russell

Cabinet Member

Cllr John Burton

- Other Cabinet Members consulted  Cllr Izzi Seccombe  
Cllr Alan Cockburn – *“approved for consideration”*
- Chief Executive  .....
- Legal  Victoria Gould – comments incorporated in the report
- Finance  Virginia Rennie on behalf of David Clarke,  
Strategic Director of Resources – comments incorporated in the report
- Other Strategic Directors  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  Schools Forum 11.5.06

**FINAL DECISION**

**NO**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  Children, Young People and Families Overview and Scrutiny Committee
- To an Area Committee  .....
- Further Consultation  Schools Forum

## The Cabinet – 7<sup>th</sup> September 2006

### Review of the Local Schools Funding Formula

#### Report of the Strategic Director for Children, Young People and Families

##### **Recommendation:**

That Cabinet:

- approve the suggested process for analysing and reviewing the Authority's local school formula
- comment on the suggested areas for analysis and suggest any further areas for review
- remit the monitoring of progress on the review to the Children, Young People and Families Overview and Scrutiny Committee.

## **1. Background**

- 1.1 When the Department for Education and Skills (DfES) changed the funding system for schools it proposed that the local schools formula should only be changed in exceptional circumstances outside the Comprehensive Spending Review funding cycle. In essence, this means that changes to the local schools funding formula can only be made every third year.
- 1.2 The next review of the local funding formula in Warwickshire would need to be completed by and be effective from April 2008. The local schools formula is important in directing scarce resources to those areas (whether they are geographic, sector specific, policy driven, etc.) considered to be a priority.
- 1.3 To focus the review, some key areas have been identified and discussed by the Schools Forum. The Schools Forum is a statutory consultative body (under the Education Act 2002) consisting of headteachers, governors, officers of the Directorate for Children, Young People and Families, County Councillors, representatives of teacher unions and other personnel involved in education provision in Warwickshire. However, Cabinet is ultimately responsible for approving changes to the local schools formula.
- 1.4 The rest of this report outlines:

- the proposed methodology for reviewing the formula
- the areas for review that have been identified already.

## 2. Methodology for reviewing the formula

- 2.1 It is proposed that a series of research papers are produced over the next 12 months that identify the key issues and make recommendations for changes to the formula. Proposed areas for review are considered in more detail below. Cabinet may want to comment, prioritise and add to this list.
- 2.2 This objective of this review is to address concerns regarding the current distribution of scarce resources between schools. This report focuses on the methodology, priority areas and expected outcomes from such a review. However, the overall discussion with schools about resource allocation will also need to cover ways of delivering the broader *Every Child Matters* agenda, including funding issues around joint working, school-cluster shared services and funding protocols. These will be the subject of a separate report.
- 2.3 Initially these research papers will be produced by staff from within Children's Services Finance and also external agents will need to be commissioned for the larger projects, particularly around the school costs of deprivation. These papers will be produced in conjunction with key stakeholders (primarily headteachers and governors) and will be scrutinised by the Schools Forum, acting as a critical friend. Recent legislation suggests that the Authority does not have to consult on these changes with each of its schools (only with the representatives on the Schools Forum). However, it is the view of officers and of Forum members that this would be a retrograde step and that the Authority should also consult all of its schools on any formula changes.
- 2.4 Elected Members would then be able to take an informed view of all proposals. It is recommended that it would be helpful if the Children, Young People and Families Overview and Scrutiny Committee first reviewed any recommendations. Then Cabinet would consider a summary of all proposed changes in autumn 2007.
- 2.5 School formulae must follow a basic set of criteria laid down by the DfES. The majority of the budget must be distributed by reference to pupil numbers. However, Authorities do have some scope to distribute resources by reference to indicators that are not directly pupil related (for example, to meet the cost of business rates). Authorities can choose the type of indicators to use to distribute resources and the amount to be distributed by these indicators. The main areas identified by the Schools Forum as areas for review are:
- Minimum Funding Guarantee
  - Small Schools
  - Class Size
  - Funding between Sectors
  - Sixth Form Funding Deduction
  - Deprivation
  - Special Measures.



2.6 Each of these areas is considered in more detail below.

### **3. Potential areas for review**

#### *Minimum Funding Guarantee (MFG)*

- 3.1 The Minimum Funding Guarantee (MFG) provides every school with a per pupil increase on their previous year's budget, which is designed to maintain budget stability by giving schools a predictable annual budget increase. However, in the view of officers, it also results in some perverse distribution patterns when schools with increasing pupil numbers (and hence increased budgets) can also receive additional protection. The anomalies that the funding review attempts to eradicate may be perpetuated by the MFG.
- 3.2 There is general consensus from the Schools Forum that, as long as a budget protection factor is incorporated into the formula, the implementation of MFG is an unnecessary complication to the system. Therefore, it has been requested that officers of the Local Authority explore the possibility of suspending use of the MFG in Warwickshire in order to simplify the formula. However, as some schools are supportive of the MFG we need to model the effect this would have on school budgets to ensure decision making is fully informed.

#### *Small schools / Class size*

- 3.3 There is a need for an analysis of the cost drivers in small rural schools, with an appraisal of whether the current funding methodology and in particular the use of a base allocation meets the minimum funding requirements for a small school.
- 3.4 Small schools are also inherently more expensive to run, in terms of costs per pupil, than larger schools. Their important function within small communities needs to be weighed with their high costs.
- 3.5 There is also a need for a critical analysis of the current local methodology for funding infant class sizes. When infant numbers exceed 30 a new class is required (usually necessitating the employment of an additional teacher). The review needs to include a critical comparison with approaches adopted by other authorities with a view to recommending a way forward.

#### *Funding between sectors*

- 3.6 National initiatives have differential cost impacts on school sectors (e.g. the introduction of Planning, Preparation and Assessment time and the expansion of vocational training have differential impacts in different sectors). Therefore, there is a case for reviewing the current funding proportions between the nursery, primary, secondary and special sectors within Warwickshire.
- 3.7 An analysis of the funding split between school sectors in Warwickshire could be compared with national trends. This could include an analysis of the

comparative numbers of schools heading for financial difficulties; the split of funding used by other local authorities; the cost of staffing both sectors; and the flexibility of schools to use this funding.

#### *Sixth form funding deduction*

- 3.8 The reason for this change in the formula is that the DfES have stated that the current situation used in the Warwickshire formula for sixth forms cannot continue. Currently, the Authority “claws back” elements of funding from 11 to 18 schools to avoid unnecessarily double funding certain costs through both the local formula and Learning & Skills Council funding. Therefore, the Authority needs to design an alternate methodology to meet the new DfES requirements, whilst simultaneously lobbying DfES to reverse this perverse policy decision.

#### *Deprivation*

- 3.9 The Authority is required to include a deprivation factor within its local schools formula. The DfES and HM Treasury are also looking closely at this issue. They expect Authorities to review the extent to which their local funding arrangements deliver resources to schools to cover the costs of deprivation in a way that best supports schools to close the gap in pupil outcomes.
- 3.10 This is usually a contentious area, but the key is to identify those primary cost drivers that schools in areas of high deprivation face. Within limited resources there is always a tension between the need for additional resources for those schools in deprived areas and the need for all schools to receive adequate funding to deliver a basic curriculum. Important areas raised by the Schools Forum for further analysis include the following:
- Should we be concentrating funding on just those schools in areas of greatest deprivation?
  - Should the overall level of deprivation funding be increased or reduced?
  - Is it possible to identify the additional school costs of educating pupils in deprived areas?
  - Is there a “critical mass” of deprived pupil numbers beyond which schools’ costs rise exponentially?
  - Can we relate inputs to improved outputs or outcomes for schools in deprived areas?
  - What is an equitable balance of funding between schools in deprived areas and those in other areas?

#### *Special measures*

- 3.11 The issue here is whether the Authority should have something in its local schools formula that reflects the additional costs associated with any school which goes into special measures. Current arrangements provide for specialist support for the school from the Authority, generally provided free of charge to

the school, but beyond that no additional funds are distributed via the formula to assist the school. The Schools Forum is of the view that current arrangements for providing funds for schools in special measures should continue and that it should not be incorporated into the formula.

#### **4. Conclusion**

The DfES decision to limit the scope by which Authorities may review their local schools formula places greater emphasis on the process for reviewing and improving the formula. Cabinet is asked to:

- approve the suggested process in Section 2 of this report, so that the Authority's local school formula can be systematically analysed and reviewed and any recommendation for change can be considered by Cabinet in time for implementation in 2008/09.
- comment on the suggested areas for analysis outlined in Section 3 and suggest any further areas that they may want officers, in conjunction with the Schools Forum, to review.
- remit the monitoring of progress on the review to the Children, Young People and Families Overview and Scrutiny Committee.

MARION DAVIS  
Strategic Director for Children,  
Young People and Families

Saltisford Office Park  
Ansell Way  
Warwick

23<sup>rd</sup> August 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee**

**Cabinet**

**Date of Committee**

**07 September 2006**

**Report Title**

**Community Protection Directorate  
Efficiency Saving Option**

**Summary**

The Community Protection Overview and Scrutiny Committee, at its meeting on 25 July 2006 considered the report of the Strategic Director of Community Protection and County Fire Officer outlining the Efficiency Saving Option prepared by the Fire and Rescue Service. The Cabinet is asked to note that the report identifies the necessary level of budget reduction of 2.5% for the Community Protection Directorate as part of the County Council's annual efficiency statement. The Overview and Scrutiny Committee endorsed the Strategic Directors recommendations contained in the report and recommends that the Committee form a Working Party to identify savings for future years.

**For further information please contact:**

Jean Hardwick  
Principal Committee Administrator  
Tel: 001926 412476  
jeanhardwick@warwickshire.gov.uk

Glen Ranger  
Assistant County Fire Officer  
Tel: 01926 423231  
glenranger@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?**

No.

**Background papers**

None

**CONSULTATION ALREADY UNDERTAKEN: -**

Details to be specified

Other Committees  .....

Local Member(s)

Other Elected Members  .....

Cabinet Member  Councillor Richard Hobbs

Chief Executive  .....

- Legal  Jane Pollard
- Finance  Oliver Winters
- Other Chief Officers  Jim Graham
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee
- To Council
- To Cabinet
- To an O & S Committee
- To an Area Committee
- Further Consultation

## Agenda No

**Cabinet - 07 September 2006.**

### **Community Protection Directorate Efficiency Saving Option**

#### **Report of the Chair, Community Protection Overview and Scrutiny Committee**

##### **Recommendation**

The Community Protection Overview and Scrutiny Committee recommends that the recommendations of the Strategic Director of Community Protection and County Fire Officer are endorsed for the coming year, (outlined in the report attached as Appendix 1) and that the Committee form a Working Party to identify savings for the years ahead.

#### **1. Introduction**

- 1.1 The Community Protection Overview and Scrutiny Committee, at its meeting on 25 July 2006 considered the report of the Strategic Director of Community Protection and County Fire Officer outlining the Efficiency Saving Option prepared by the Fire and Rescue Service (attached as **Appendix A**).
- 1.2 To remind Members this issue was referred from Cabinet on the 6th April 2006 to the Overview and Scrutiny Committee for consideration.

#### **2. Consideration by the Community Protection Overview and Scrutiny Committee on 25 July 2006.**

- 2.1 The Overview and Scrutiny Committee considered the Efficiency Saving Option prepared by the Strategic Director of Community Protection and County Fire Officer for the Fire and Rescue Service. This proposal presented an option, which enabled the Directorate to continue to provide an efficient, cost effective service and yet meet the required corporate efficiency saving of 2.5% (circa £500k for the Fire and Rescue Service).
- 2.2 Attached is an extract of the minute of that meeting relating to this item. (**Appendix B**).

### **3. Recommendation by the Overview and Scrutiny Committee.**

3.1 The Community Protection Overview and Scrutiny Committee recommends that the recommendations of the Strategic Director of Community Protection and County Fire Officer are endorsed for the coming year, (outlined in the report attached at Appendix 1) and that the Committee form a Working Party to identify savings for the years ahead.

COUNCILLOR RICHARD CHATTAWAY  
Chair,  
Community Protection Overview and Scrutiny Committee  
Shire Hall  
Warwick

## Appendix 1 Agenda No

### AGENDA MANAGEMENT SHEET

**Name of Committee**                      **Community Protection Overview and Scrutiny Committee**

**Date of Committee**                      **25<sup>th</sup> July 2006**

**Report Title**                                **Community Protection Directorate Efficiency Saving Option**

**Summary**                                      Report outlining the Efficiency Saving Option prepared by the Fire and Rescue Service

**For further information please contact:**      Glen Ranger  
Assistant County Fire Officer  
Tel: 01926 423231  
glenranger@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?**      No

**Background papers**                      None

#### **CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees                     

Local Member(s)                     

Other Elected Members               Councillor Richard Chattaway and Councillor Dave Shilton

Cabinet Member                       Councillor Richard Hobbs

Chief Executive                       Jim Graham



- |                          |                                     |                |
|--------------------------|-------------------------------------|----------------|
| Legal                    | <input checked="" type="checkbox"/> | Jane Pollard   |
| Finance                  | <input checked="" type="checkbox"/> | Oliver Winters |
| Other Chief Officers     | <input type="checkbox"/>            |                |
| District Councils        | <input type="checkbox"/>            |                |
| Health Authority         | <input type="checkbox"/>            |                |
| Police                   | <input type="checkbox"/>            |                |
| Other Bodies/Individuals | <input type="checkbox"/>            |                |

**FINAL DECISION NO**

**SUGGESTED NEXT STEPS:**

Details to be specified

- |   |                                     |
|---|-------------------------------------|
| Further consideration by this Committee | <input type="checkbox"/>            |
| To Council                              | <input type="checkbox"/>            |
| To Cabinet                              | <input checked="" type="checkbox"/> |
| To an O and S Committee                 | <input type="checkbox"/>            |
| To an Area Committee                    | <input type="checkbox"/>            |
| Further Consultation                    | <input type="checkbox"/>            |

**Agenda No.**

**Community Protection Overview and Scrutiny Committee**

**25 July 2006**

**Community Protection Directorate Efficiency Saving Option**

**Report of the Strategic Director of Community Protection and County  
Fire Officer**

**Recommendation:**

The Committee is requested to consider the efficiency option and make recommendations to Cabinet accordingly.

**1. Introduction**

- 1.1 Following the formulation of potential efficiency savings, a paper put forward to the Crime and Safety Overview and Scrutiny Committee held on 2<sup>nd</sup> May 2006 discussed a range of initiatives designed to enable the release of funds in order to meet budgetary pressures resulting from the County Council's 2.5% top slice. Members had requested the paper to facilitate discussion around the range of options available to the Fire and Rescue Authority for realising savings over the medium term.
- 1.2 Following further research into ways in which the organisation can continue to provide an efficient, cost effective service and yet meet the required efficiency savings, the Strategic Director and County Fire Officer is able to present an option that would achieve savings circa £500K.

**2. Background**

- 2.1 In line with other Directorates, Community Protection must make provision for a 2.5% top slice during 2006/07 equating to £493K. In addition, it is possible that the Directorate will be subject to this top slice saving for the following two years 2007/08 and 2008/09 equating to a total saving of £1,479K.
- 2.2 Further to this, the Fire and Rescue Service is required to realise efficiency gains prescribed by the Department for Communities and Local Government (DCLG). These gains amount to approximately £1,042K spanning the 2004/05 – 2007/08 period, however, do not equate to a budgetary reduction but are instead utilised to fund the Service's modernisation agenda.

### 3. Community Protection resources

#### 3.1 Current financial resources 2006/07

Table: 1

| Subjective                     | £000's        | %          |
|--------------------------------|---------------|------------|
| Direct Employees               | 13,703        | 57         |
| Indirect Employees             | 486           | 2          |
| Pensions                       | 2,560         | 11         |
| Premises                       | 804           | 3          |
| Transport                      | 1,463         | 6          |
| Supplies & Services            | 2,249         | 9          |
| Support                        | 983           | 4          |
| Capital Charges                | 2,413         | 10         |
| Income                         | (533)         | (2)        |
| <b>Net Expenditure 2006/07</b> | <b>24,128</b> | <b>100</b> |
| Less Efficiency Savings        | (493)         |            |
| <b>Net Budget 2006/07</b>      | <b>23,635</b> |            |

Table: 2

| Activity                       | £000's        | %          |
|--------------------------------|---------------|------------|
| Service Delivery               | 10,000        | 41         |
| Service Support                | 6,128         | 25         |
| Pensions                       | 2,543         | 11         |
| Community Safety               | 1,405         | 6          |
| Communications & IT            | 978           | 4          |
| Fire Control                   | 696           | 3          |
| Notional Asset Rentals         | 2,378         | 10         |
| <b>Net Expenditure 2006/07</b> | <b>24,128</b> | <b>100</b> |
| Less Efficiency Savings        | (493)         |            |
| <b>Net Budget 2006/07</b>      | <b>23,635</b> |            |

### 3.2 Current operational resources

Table: 3

|                    | Station      | W/time Staff | RDS Staff (ea. 80 hr Contract) | F/fighting Appliance Allocation | H.P.     |
|--------------------|--------------|--------------|--------------------------------|---------------------------------|----------|
| Shift Duty Stn.s   | Nuneaton     | 52           |                                | 2                               |          |
|                    | Rugby        | 52           |                                | 2                               |          |
|                    | Leamington   | 64           |                                | 2                               | 1        |
|                    | Stratford    | 28           | 16                             | 2                               |          |
| <b>Sub total</b>   |              | <b>196</b>   | <b>16</b>                      | <b>8</b>                        | <b>1</b> |
| Day Crewed Stn.s   | Bedworth     | 12           | 12                             | 2                               |          |
|                    | Coleshill    | 16           | 16                             | 2                               |          |
|                    | Atherstone   | 12           | 12                             | 2                               |          |
| <b>Sub total</b>   |              | <b>40</b>    | <b>40</b>                      | <b>6</b>                        | <b>0</b> |
| RDS Stn.s          | Polesworth   |              | 12                             | 1                               |          |
|                    | Brinklow     |              | 12                             | 1                               |          |
|                    | Kenilworth   |              | 14                             | 1                               |          |
|                    | Warwick      |              | 14                             | 1                               |          |
|                    | Southam      |              | 13                             | 1                               |          |
|                    | Fenny        |              | 12                             | 1                               |          |
|                    | Shipston     |              | 15                             | 1                               |          |
|                    | Bidford      |              | 12                             | 1                               |          |
|                    | Alcester     |              | 12                             | 1                               |          |
|                    | Studley      |              | 11                             | 1                               |          |
|                    | Henley       |              | 12                             | 1                               |          |
|                    | Wellesbourne |              | 12                             | 1                               |          |
| <b>Sub total</b>   |              |              | <b>151</b>                     | <b>12</b>                       | <b>0</b> |
| <b>Grand Total</b> |              | <b>236</b>   | <b>207</b>                     | <b>26</b>                       | <b>1</b> |

3.3 The table identifies that the Service currently utilise one hydraulic platform (HP) based at Leamington Spa providing high reach firefighting and rescue capability. In order to guarantee the operational availability of the hydraulic platform, each watch at Leamington Spa is supplemented with 3 additional firefighters equating to 12 across all four watches.

3.4 To enhance this provision, the Service has entered into an agreement with the West Midlands Fire Service (WMFS) who for an annual charge of £132K provide aerial cover to the North of the County.

## 4. Efficiency option

- 4.1 The Authority's Integrated Risk Management Plan (IRMP) commits the Service to reducing risk through prevention, protection and intervention initiatives. In meeting this commitment statutory duties contained within the Fire and Rescue Services Act 2004 and objectives defined by the Fire and Rescue National Framework have influenced the path to modernisation and the way in which the Service is now shaping up to engage new challenges.
- 4.2 The Service has recently conducted investigations into the provision and use of hybrid appliances, these are effectively a combination of a standard pumping appliance and a hydraulic platform and can be simultaneously utilised in either capacity.
- 4.3 Following detailed analysis into capability and associated specification issues, it is clear that the Service would benefit both operationally and financially from the purchase of 2 hybrid appliances. It is proposed that the aerial contract with WMFS is terminated and that the North and South of the County would each be provided with 1 hybrid appliance, therefore maintaining and improving the aerial provision within Warwickshire.
- 4.4 The hybrid appliances are crewed in the same way as a standard pumping appliance and would therefore replace one standard appliance in the North, and one standard appliance plus the hydraulic platform in the South of the County. The earliest date of delivery is expected to be September 2007 followed by approximately 4 weeks of familiarisation prior to being operationally active.

## 5. Efficiency savings

- 5.1 The termination of the contract with WMFS would realise efficiency savings in the region of £132K per annum starting with a part year saving in 2006/07.
- 5.2 The purchase of 2 hybrid appliances would see the reduction of 12 wholetime firefighter posts at Leamington Spa realising efficiency savings in the region of £372K per annum starting with a part year saving in 2007/08.
- 5.3 The total saving amounts to £504K, however, would be decreased by training costs not expected to be significant.

Table: 4

|   | 2006/7<br>£'000 | 2007/8<br>£'000 | 2008/9<br>£'000 | Assumption                                |
|---|-----------------|-----------------|-----------------|---|
| Cancellation of contract with WMFS              | 22              | 132             | 132             | Give 6 months notice in August 2006       |
| Replace Leamington HP and appliance with hybrid | 0               | 186             | 372             | Hybrid appliance in use from October 2007 |
| <b>Total Saving</b>                             | <b>22</b>       | <b>318</b>      | <b>504</b>      |   |

## **6. Comprehensive Performance Assessment (CPA)**

- 6.1 Last year the Fire and Rescue Service was assessed separately under CPA and received an overall rating of 'Good'. The Service will undergo a further review this year with particular emphasis on direction of travel, use of resources (including value for money) and operational assurance.
- 6.2 The proposal outlined above meets all of these criteria and will therefore significantly contribute to the outcome of the forthcoming assessment, and fulfil principles of operational efficiency set out within the Authority's IRMP.

## **7. County Fire Officers Professional Opinion**

- 7.1 In taking this matter forward there was great concern that any ad-hoc reduction in resources or changes to the location of resources within the County would have a detrimental effect on the overall response cover arrangements. As a result the level of risk faced by the community could be increased and firefighter safety could be compromised.
- 7.2 To mitigate against these eventualities the Service developed a new model for service delivery that was based on guaranteed availability of resources when required. Against this background a review of risk within the County was undertaken, which indicated that there are opportunities to reduce and relocate resources and continue to meet the response standards set out in the Fire and Rescue Authority's Integrated Risk Management Plan. As part of this analysis a number of innovative and imaginative options were identified that would provide Warwickshire with strategically located resources with guaranteed availability.
- 7.3 The options covered the location of resources and the crewing arrangements that could be applied. Whilst focused on the response options consideration was also given to the provision of resources to deliver the preventative agenda set out within the IRMP, the Fire and Rescue Services Act 2004 and the National Framework Document 2006/08. This work contributes to a sustainable vision for the Service upon which the next IRMP is to be constructed.
- 7.4 The implementation of the outcome of this review, however, would present members with a number of challenges that would require their detailed assessment of the initial proposals and possibly the generation of alternative solutions. The consideration of the options will take some time to complete. In the meantime this paper presents an option that satisfies the County Councils Budget Resolution dealing with Directorate top sliced efficiency gains for the year 2006/07 without significantly impacting on the location of fire stations or the provision of pumping appliances. This option is consistent with the outcome of the review process.
- 7.5 However, members are advised that the implications for the Fire and Rescue Service of future budget reductions are that there will be an impact on the number of fire stations and their location within the County within the framework of the review. Further reductions will also need to be considered within the context of the Integrated Risk Management Plan 2007/10, which is currently being prepared.

7.6 The move to replace the HP at Leamington with a hybrid does not significantly affect the provision of fire cover in Warwickshire; it does however reduce the number of wholetime personnel. Whilst members have still to make decisions on future savings targets for the Service it is felt necessary to hold the 12 posts removed from Leamington on the establishment, although unfunded at this time.

## **8. Recommendation**

8.1 The Committee is requested to consider the efficiency option and make recommendations to Cabinet accordingly.

William Brown  
Strategic Director of Community Protection and County Fire Officer

July 2006

## APPENDIX 2

### EXTRACT FROM THE MINUTES OF THE COMMUNITY PROTECTION OVERVIEW AND SCRUTINY COMMITTEE MEETING ON 25 JULY 2006.

#### 3. Community Protection Directorate Efficiency Saving Option

William Brown presented his report outlining the efficiency saving option prepared by the Fire and Rescue Service.

The following points were made during discussion –

- (1) Concern was expressed that budget cuts would result in a poorer service to the public not only for the current year but for future years and would impact on the Fire and Rescue Service's 'good' CPA rating.
- (2) That the proposed savings were a short term option and that a Member Working Party should be set up to oversee future options to ensure that the service maintained a good efficient quality of cover. Alternatively, that this work could be undertaken by the IRMP Policy Panel.
- (3) That the Strategic Director should be commended on his report, which achieved the 2.5% budget savings that were required to be made corporately.

In reply to questions William Brown and Glen Ranger explained that –

- (1) The number of times the hydraulic platform was used would need to be quantified after the meeting but it was not a frequently used piece of equipment. The option to sell this equipment was limited as it was already 15 years old.
- (2) The proposed hybrid appliances would improve current capability and would be used more frequently as they combined a pumping appliance and a hydraulic platform, which could be used simultaneously in either capacity. Additionally, the hybrid appliance incorporated a remote controlled camera that could be used in situations where it was too dangerous to send officers.
- (3) The proposed efficiency savings would not impact on public safety and would continue to meet the response standards as set out in the Fire and Rescue Authority's Integrated Risk Management Plan.
- (4) The cost of training in the use of this new equipment would be minimal because training would be carried out with experienced and capable officers whilst they were on duty.
- (5) The twelve posts that would be lost at Leamington as a result of the efficiency saving option would be lost through natural wastage. There would be no redundancies. The posts would, however, be retained on the establishment.

During discussion -



Councillor John Wells, seconded by Councillor Barry Longden, moved that –

“The Cabinet note that the report identifies the necessary level of savings for the Community Protection Directorate as agreed in the budget set by Warwickshire County Council”.

Councillor Dave Shilton, seconded by Councillor David Booth, moved an amendment as follows –

“The Cabinet notes that the report identifies the necessary level of savings for the Community Protection Directorate as agreed in the budget set by Warwickshire County Council and provides a satisfactory level of fire cover and, with 2 Members voting in favour and 8 Members voting against, the motion was not carried.

Members then voted on the substantive motion as follows -

”The Committee notes that the report identifies the necessary level of savings for the Community Protection Directorate as agreed in the budget set by Warwickshire County Council”, and with 4 Members voting in favour and 7 Members voting against the motion was not carried.

Councillor John Appleton then moved, seconded by Councillor Doody, and with 6 Members voting in favour and 4 Members voting against the motion it was -

Resolved that this Committee recommends to Cabinet that that the proposals of the Strategic Director of Community Protection and County Fire Officer are endorsed for the year and that the Committee form a Working Party to identify savings for future years.

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 7 September 2006

**Report Title** Delivering the Customer Service & Access Strategy – Progress report and Direction of Travel

**Summary** This reports sets out the progress made against the Customer Service & Access Strategy following its approval on 27<sup>th</sup> June, 2006 and outlines the direction of travel over the next six months.

**For further information please contact:** David Carter Strategic Director Performance & Development Tel 01926 412564 davidcarter@warwickshire.gov.uk Kushal Birla Head of Customer Service & Access Tel: 01926 412013 kushalbirla@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No

**Background papers** Customer Service Access Strategy – Cabinet 27 June 2006

**CONSULTATION ALREADY UNDERTAKEN:-** Details to be specified

Other Committees  Modernisation Group (Cllrs Alan Farnell, Bob Stevens, June Tandy, Tim Naylor and Jerry Roodhouse)

Local Member(s)  N/A

Other Elected Members  Cllr David Booth  
Cllr George Atkinson  
Cllr Bob Hicks  
Cllr June Tandy  
Cllr Sarah Boad  
Cllr Colin Hayfield

Cabinet Member  Cllr Peter Fowler

Chief Executive  Jim Graham

Legal  David Carter

- Finance
- Other Chief Officers  David Clarke
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  Charles Holden  
Chris Juckes

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

## Cabinet - 7 September 2006

### Delivering the Customer Service & Access Strategy – Progress report and Direction of Travel

#### Report of the Strategic Director of Performance and Development

##### Recommendations

That Cabinet:

- Endorse progress made with development of the:
  - One Stop Shop pilots
  - Business Cases for the One Stop Shop pilots
  - Business Process Re-engineering activity
  - Warwickshire County Council/Warwick District Council Joint Customer Service Centre
- Endorse the current Direction of Travel to deliver the Customer Service & Access Strategy.
- Approve the making of £27,000 capital grant in 2006/07 to fund the extension to be occupied by the Police at the planned One Stop Shop in Whitnash.
- Approve Warwickshire County Council's participation with North Warwickshire Borough Council in a joint One Stop Shop and a further report being submitted to Cabinet on the 2<sup>nd</sup> November 2006 to consider options for funding.

### 1.0 Background

On 27<sup>th</sup> June Cabinet considered and approved the Customer Service & Access Strategy.

The Strategy outlines Warwickshire County Council's vision for customer service over the next three years. It aims to put the customer at the heart of everything the Council does. It recognises the importance of customers' needs and attempts to ensure that our services are driven and shaped by all those who live, work and visit Warwickshire by embedding a passion for customer focus across the organisation.

To support the achievement of the Vision, five themes have been identified which set out the key areas which we will address with respect to the redesigning of the customer experience. These are set out overleaf, and it is through these themes that the detailed action plans, objectives and targets for the Customer Service & Access Strategy will sit.

**Putting our Customers First;  
Improving Services; and Leading our Communities**

**through:**

- 1. Efficient, effective and customer-focused processes**
- 2. Customer driven technology**
- 3. Localised services and solutions**
- 4. Empowered, committed and customer-focused staff**
- 5. Working in partnership with all public service providers in Warwickshire**

This approach capitalises on the opportunity to deliver existing and new services cost effectively, by understanding and re-engineering our back and front office processes from end to end. The Strategy recognises that the structure and organisation of the Council is not as important to our customers as their experience when accessing Council services. It is the ease of accessibility, quality and speed of response that counts and the associated projects and initiatives aim to improve significantly the quality of customer experience in Warwickshire.

## **2.0 Previous Cabinet resolution**

Following agreement of the Customer Service & Access Strategy at the meeting on 27<sup>th</sup> June, it was resolved that the Cabinet:

1. Supported the roll out of the next phase of development of the Customer Service Centre as outlined in the report.
2. Supported the development of a business case for an integrated Customer Service Centre and Joint One Stop Shop with Warwick District Council and recognised that one element of this aim can be delivered within existing capital and revenue resources through the development of a One Stop Shop at Shire Hall.
3. Recognised that the potential of the existing One Stop Shop at Kenilworth Library can be developed further.

4. Supported the development of a One Stop Shop at King's House Bedworth funded from within existing resources and the next phase of Customer Service Centre resources as per recommendation 2 above.
5. Supported a multi-site pilot of kiosks.
6. Supported the development of business cases for at least two more One Stop Shops, at least one of which will be located in an extended school.
7. Agreed that the funding for the programme be as follows:
  - Funding from the modernisation fund of £350k (£250k for Kings House and the Customer Service Centre and £100k for e-Access Points) in 2006/2007 and £250k in 2007/08 for Kings House and the Customer Service Centre
 Future year costs should be funded from either:
  - Efficiency savings delivered within Directorates achieved through re-engineering processes/back office in conjunction with the Customer Service Centre; or
  - Specific budget allocations where Members have approved the provision of enhanced services
8. Agreed that all services which are capable of delivery through the Customer Service Centre, e-access and other forms of integrated customer service access points are reviewed from end to end by business process re-engineering to ensure that the benefits to customers and efficient service delivery are maximised.

### **3.0 Objectives of this report**

In response to the above resolutions, this report seeks to:

- Provide a progress report on the development of the:
  - One Stop Shop pilots
  - Business Cases
  - Business Process Re-engineering activity
 as set out in Section 2 and previously agreed by Cabinet
- Set out the current Direction of Travel to deliver the Customer Service & Access Strategy
- Gain Cabinet approval to the making of £27,000 capital grant towards a Warwick District Council project to fund an extension to be occupied by the Police at the planned One Stop Shop in Whitnash. The cost is to be met by virement of the surplus capital resources from the Winton House, Stratfords accommodation scheme.
- Gain Cabinet approval for Warwickshire County Council's participation with North Warwickshire Borough Council in a joint One Stop Shop

## **4.0 Progress on approved Neighbourhood pilots/One Stop Shops**

The following section provides a progress report on the three neighbourhood pilots that were agreed by Cabinet on 27<sup>th</sup> June. It is intended that five pilots should be operational by March 2007 and subsequent sections within this report will address the response to the requirement for a further two pilots.

### **4.1 Kings House One Stop Shop**

4.1.1 The development of a One Stop Shop at Kings House is the most advanced of all the pilots. It is envisaged that the facility will be operational by late September/early October, when Customer Service staff will be in place to respond to public enquiries and provide the following facilities and services:

- Customer Service Staff with access to the Customer Service Centre software and signposting information
- Confidential meeting room with PC, Internet and printing facility
- Comfortable seating area
- Accessible toilet with baby changing facilities
- Refreshments
- Plasma screen with Warwickshire County Council service information and local advertising
- Reception facility for services located within Kings House
- Corporately branded look and feel
- Registration of births, deaths and marriages

4.1.2 Initially the One Stop Shop will focus on the resolution of enquiries relating to Warwickshire County Council services, whilst signposting customers where enquiries relate to other agencies within the area. However as the profile of the service is raised and through ongoing discussions with Nuneaton & Bedworth Borough Council it is envisaged that a broader range of multi-agency services will be resolved at the first point of contact, at the Kings House One Stop Shop.

4.1.3 In addition to the advice and signposting service, the County Registration Service will be moving from their current location in Bedworth to provide a service on two half-days per week from the confidential meeting room allowing customers to register births, deaths and marriages on site.

### **4.2 Shire Hall One Stop Shop**

4.2.1 The provision of a One Stop Shop facility at Shire Hall is part of a package of integrated services planned to be provided across the District in partnership with Warwick District Council.

4.2.2 An initial project meeting has been held with representatives from Warwick District Council, Resources and Performance & Development Directorates. This meeting has been used to scope out the desired service and facility requirements which will subsequently inform the feasibility study.

4.2.3 This study will inform consideration of the options by all stakeholders to ensure that a facility is provided by March 2007.

### **4.3 Progress report on Interactive Kiosks**

4.3.1 Following agreement of a pilot of interactive Kiosks by Cabinet, presentations from the provider have been received by the Warwickshire Direct Partnership Board and a meeting of District/Borough and County Council representatives, outlining the capability of the interactive kiosks. At the latter meeting the following, broad principles were agreed:

- Kiosks will need to be provided in both rural and urban locations to build up consumer knowledge and awareness
- The number of kiosks launched will be enough to raise and sustain their profile
- A marketing campaign will be needed to achieve 'reach' and cultivate demand
- Kiosk locations will be carefully selected, based upon existing and local knowledge
- Content will be flexible and compatible with existing websites and databases
- Kiosks will be delivered in the context of all other access channels
- Wireless Fidelity broadband access (a local area network that uses high frequency radio signals) will be considered as a secondary option

4.3.2 Rugby Borough Council have expressed an interest in participating in the pilot use of these kiosks and an initial scoping meeting has been held to progress this opportunity. Subject to agreement by both authorities five kiosks could be operational by early next year.

## **5.0 Progress report on approved Business Cases**

The following section provides a progress report on the three Business Cases, the development of which was agreed by Cabinet on 27<sup>th</sup> June. These relate to the provision of further two neighbourhood pilots and the ongoing partnership development with Warwick District Council in relation to the provision of a joint customer service centre and a number of One Stop Shops within the District.

### **5.1 Extended Services in Schools Business Case**

5.1.1 Following early discussions with the Extended Schools Team, it was recommended that the existing infrastructure and service provision at Stockingford Early Years Centre and Library would provide an opportunity to develop a One Stop Shop service on the same site.

5.1.2 Subsequently an initial project meeting has been held at Stockingford where it was evident that there were clear and exciting synergies between the objectives of the Every Child Matters and One Stop Shop agendas.



Discussions centred around the phased delivery of potential services to the community in and around Stockingford.

- 5.1.3 To ensure involvement of all the associated schools a meeting will be convened in early September, to engage all potential stakeholders in a detailed feasibility assessment. It is envisaged that a Business Case will be presented to the Cabinet for approval in December.

## **5.2 Whitnash One Stop Shop**

- 5.2.1 On 7 February 2006, Council approved an allocation of £154,000 capital to support the relocation of the Register Office in Stratford upon Avon. The allocation was based on an estimate provided by Property Services for an earlier plan of relocating the Register Office from 7 Rother Street to 8 Rother Street. In the event space was not available at 8 Rother Street and in May 2006, the Register Office was successfully moved to Winton House, Church Street in Stratford upon Avon. The total cost was £64,830 leaving a residue of £89,170 underspent.
- 5.2.2 It is proposed that part of the capital underspend identified above be used to fund the extension to be occupied by the Police at the planned One Stop Shop at Whitnash. The Police would pay a market rent for leasing the premises. The estimated cost of the extension is £27,000 and it would be recouped as part of the market rental over a period of 10 years.

## **5.3 Warwickshire County Council/Warwick District Council Joint Customer Service Centre**

- 5.3.1 The proposals to develop a joint Customer Service Centre to service both Warwick District and Warwickshire County Councils' enquiries is crucial to the proposed provision of a package of integrated services for the two authorities.
- 5.3.2 Work has begun on the development of business cases which outline the potential costs and benefits of this scheme alongside the development of the One Stop Shops in Kenilworth (existing), Warwick (See Section 4.2), Whitnash and Leamington Spa. The potential benefits of such integration are matched by the complexity in terms of the feasibility analysis and it is therefore anticipated that a full report and business case will be put to the Cabinet early next year.

## **6.0 Business Process Re-engineering and Service Evaluation**

- 6.1 On 27<sup>th</sup> July the Strategic Directors Management Team (SDMT) agreed the inclusion of Business Process Re-engineering (BPR) as an additional workstream within the New Ways of Working Programme. A working group has been set up to progress the development of this activity, taking an organisation-wide approach to improvement.
- 6.2 Progress on the BPR activity will be monitored through the existing framework for New Ways of Working Programme to ensure that both SDMT and Cabinet are regularly updated on performance.

## 7.0 Position Statement & Direction of Travel

7.1 The table below summarises the updates provided within this report and sets out the current position of each of the One Stop Shop and and/or Neighbourhood pilot projects currently being progressed through the Customer Service & Access Strategy:

| One Stop shop/<br>Neighbourhood<br>pilot        | Partners<br>(In addition to<br>WCC)    | Funding<br>status  | Status   |
|---|--|--|--|
| Kenilworth                                      | Warwick DC                             | N/A  | Operational  |
| Kings House,<br>Bedworth                        | TBC                                    | Agreed   | Expected operational late<br>September/early October<br>2006 |
| Interactive kiosk<br>pilot                      | Rugby BC                               | Agreed   | Expected operational by<br>early next year                   |
| Shire Hall,<br>Warwick                          | Warwick DC                             | Part of<br>WCC/WDC<br>joint customer<br>service centre<br>business<br>case/bid | Approved – expected<br>operational by March 2007             |
| Whitnash  | Warwick DC,<br>Police, Town<br>Council |  | Approved – expected<br>operational by March 2007             |
| Leamington                                      | Warwick DC                             |  | Business case to be<br>developed                             |
| Stockingford<br>Extended Services<br>in Schools | TBC                                    | TBC  | Business case to be<br>developed                             |

7.2 Through discussion and dialogue with our partner authorities and agencies the Customer Service & Access agenda within Warwickshire is gaining momentum. To date, all District and Borough Councils within Warwickshire have approached, or been approached by the County Council to establish the feasibility of delivering joint service access within the respective district/borough council.

7.3 The table below outlines three further potential One Stop Shop projects, in addition to those set out in Section 7.1. Although these are at the early stages of development their consideration should be seen as a reflection of the commitment within the County to improve access to public services:

| One Stop shop                            | Partners<br>(In addition to<br>WCC) | Funding<br>status  | Status   |
|--|-------------------------------------|--|--|
| Nuneaton Town Hall                       | Nuneaton & Bedworth BC              | TBC – Business case to be developed                        | Early discussions with Borough Council representatives |
| The Grange, Southam                      | Stratford DC                        | TBC – Business case to be developed                        | Early discussions with District representatives        |
| North Warwickshire Borough Council House | North Warwickshire BC               | Capital/ Revenue required from Warwickshire County Council | Early discussions with District representatives        |

## 8.0 One Stop Shop proposal at Atherstone

- 8.1 North Warwickshire Borough Council (NWBC) are currently considering a scheme to remodel the Council House reception area to provide a One Stop Shop. Paragon Interior Groups plc (the same Company that worked on the Kenilworth One Stop Shop) have been awarded the design and build contract
- 8.2 The Borough has asked the County Council to consider providing a joint One Stop Shop to the public. The Borough is asking for a capital contribution of £60,000 and £25,000 ongoing revenue to fund one member of staff. The total cost of the building work is £180,000 and the Borough will fund 3 members of staff. NWBC will be presenting the business case to the Executive Board for a decision regarding the funding of this project on the 25<sup>th</sup> September 2006. If the Borough Council approves the project on the 25<sup>th</sup> of September a further report will be submitted to Cabinet on the 2<sup>nd</sup> November 2006 to consider the options for funding.
- 8.3 The One Stop Shop will provide a fast track meet and greet area, advisors to deal with specific queries or requests for service, interview rooms for the public and for use by eg the CABx, computers for use by visitors to access the website and encourage self service, space for the Planning divisions microfiche reader that provides information on planning and building regulation applications.

8.4 The benefits of working jointly with the Borough on this scheme would include:-

- Pooling resources to improve services to customers
- Joined up service delivery for both authorities through a common front door, seamless and transparent.
- Opportunity to review our joint Customer Relationship Management approaches with a view to reducing transaction costs.
- Removal of customer confusion on 'which Council does what'
- Sharing of skills and knowledge in the development of One Stop Shop processes, removing service, geographical and professional barriers.

## 9.0 Recommendations

That Cabinet:

- Consider progress made with development of the:
  - One Stop Shop pilots
  - Business Cases for the One Stop Shop pilots
  - Business Process Re-engineering activity
- Consider the current Direction of Travel to deliver the Customer Service & Access Strategy.
- Approve the making of £27,000 capital grant in 2006/07 to fund the extension to be occupied by the Police at the planned One Stop Shop in Whitnash.
- Approve Warwickshire County Council's participation with North Warwickshire Borough Council in a joint One Stop Shop and a further report being submitted to Cabinet on the 2nd November 2006 to consider options for funding.

## 10.0 Further information

For further information on any of the highlighted projects or issues raised, please contact Kushal Birla, Head of Customer Service & Access on 01926 412013 or e-mail [kushalbirla@warwickshire.gov.uk](mailto:kushalbirla@warwickshire.gov.uk).

DAVID CARTER  
Strategic Director,  
Performance & Development

Shire Hall  
Warwick  
18 August 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee**

**The Cabinet**

**Date of Committee**

**7<sup>th</sup> September 2006**

**Report Title**

**Rokeby Primary Schools**

**Summary**

This report seeks formal approval for the amalgamation of Rokeby Infant School and Rokeby Junior School.

**For further information please contact:**

Mark Gore  
Head of Service –  
Education Partnerships and  
School Development  
Tel: 01926 742588  
philastle@warwickshire.gov.uk

Phil Astle  
Assistant Head of  
Service – Service  
Planning  
Tel: 01926 742166  
philastle@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision]**

No

**Background papers**

- School Organisation Framework 2005/10
- Cabinet reports 8.10.05, 23.2.06, 25.5.06 and 13.7.06
- Consultation documents, November 2005 and March 2006

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees

- Rugby Area Committee 11.1.06, 30.1.06 and 10.5.06

Local Member(s)

- Cllr John Vereker – Caldecott – *“I have no further comment to make on this report, which I support”*  
Cllr Ian Smith – Caldecott

Other Elected Members

- Cllr John Whitehouse – *“noted”*  
CYP&F O&S Spokespersons for information:  
Cllr Helen McCarthy  
Cllr Richard Grant  
Cllr Jill Dill-Russell

- Cabinet Member  Cllr John Burton
- Other Cabinet Members consulted  Cllr Izzi Seccombe  
Cllr Alan Cockburn – *“approved for consideration”*
- Chief Executive  .....
- Legal  Victoria Gould – comments incorporated in the report
- Finance  Virginia Rennie on behalf of David Clarke, Strategic Director of Resources – *“no comments to make”*
- Other Strategic Directors  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  Governing bodies, staff and parents/carers of pupils at the two schools  
Other local schools in the area, Diocesan Authorities, neighbouring Local Authorities and all other stakeholders

**FINAL DECISION** **YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  Report to Cabinet within two years concerning resolution of buildings issue
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

## The Cabinet – 7<sup>th</sup> September 2006

### Rokeby Primary Schools

#### Report of the Strategic Director for Children, Young People and Families

##### Recommendations:

- (1) That Cabinet confirm its decision to close Rokeby Infant School and Rokeby Junior School and establish a 4-11 community primary school with effect from September 2007.
- (2) That the new primary school continue to use the existing buildings of both schools to facilitate the transition to a one-form-entry school and allow for further exploration of the demand for extended school services in the Rokeby area.

#### 1. Background

Cabinet agreed on 25<sup>th</sup> May to publish proposals to amalgamate Rokeby Infant School and Rokeby Junior School. Statutory notices setting out the proposal were published between 15<sup>th</sup> June and 27<sup>th</sup> July and as no objections were received, the amalgamation can now be determined by Cabinet.

#### 2. The proposal

- 2.1 Technically the determination is to close Rokeby Infant School and Rokeby Junior School as from 31<sup>st</sup> August 2007 and establish a new 4-11 primary school from 1<sup>st</sup> September 2007.
- 2.2 The new primary school would have a capacity of 210 pupils (excluding nursery) to reflect current and forecast pupil intake numbers, rather than the existing 420 places. Notwithstanding the development of additional extended school provision, it is envisaged that the school should move to organising around one of the existing buildings.
- 2.3 The original proposal was for a self-financed scheme, funding all refurbishments and enhancements to the new school through the sale of a surplus building and site.
- 2.4 During the formal consultation stage, the issue of the siting of the new school revealed deep divisions in local opinion. Whichever of the two buildings is used:

- (a) there will be a need to employ a number of additional classrooms during a transition period while the larger year groups from earlier intake years graduate from the school, and
- (b) the new school will wish to review accommodation required to undertake its extended services provision (even though it is extremely unlikely this would necessitate the retention of a second school building).

Therefore it was agreed by Cabinet on 25<sup>th</sup> May that the amalgamation of Rokeby Infant and Rokeby Junior Schools should be taken forward without further delay but that both the infant and junior buildings will be retained for a period of two years.

- 2.5 In taking this decision it was acknowledged that there would be a cost to the new school of retaining both buildings in terms of maintenance, heating, lighting and rates, etc. It was accepted that these costs could be offset by continuing the established practice of allowing the new school to retain two base allocations for a period of two years to aid transition to a single school. This arrangement would enable the new school to fund its continued occupation of the larger site for that two year period.
- 2.6 Further proposals on the siting of the new school will be brought to Cabinet after consultation with the governing body of the new primary school with a view to establishing its long-term accommodation needs by September 2009. This will also provide an opportunity to explore the details of any capital funding required and how those costs could be met either from capital receipts from the disposal of one of the buildings if appropriate, any additional resources from the DfES for primary school renewal, or the capital resources available to the Authority overall for the development of services, including New Deal for Schools.
- 2.7 Cabinet also agreed on 13<sup>th</sup> July to convene the temporary governing body for the proposed school and this has been provisionally scheduled for 28<sup>th</sup> September. It is also noted that Cabinet asked for the temporary governing body to consider recommending a name for the proposed new school.

### **3. Summary**

This report seeks the formal approval of Cabinet to amalgamate Rokeby Infant School and Rokeby Junior School given that there have been no statutory objections to the proposals. The report also confirms that a further report will be made to Cabinet in order for arrangements to organise the new school in its long-term accommodation can be effected by September 2009.

MARION DAVIS  
Strategic Director for Children,  
Young People and Families  
Saltisford Office Park  
Ansell Way  
Warwick

23<sup>rd</sup> August 2006



**AGENDA MANAGEMENT SHEET**

**Name of Committee**

**The Cabinet**

**Date of Committee**

**7<sup>th</sup> September 2006**

**Report Title**

**Post-16 transport**

**Summary**

Report asking Members to consider the future of supported post-16 transport for students.

**For further information please contact:**

Nick Williams  
Assistant Head of Service  
– Pupil and Student  
Services  
Tel: 01926 742071  
nickwilliams@warwickshire.gov.uk

Mark Gore  
Head of Service –  
Education Partnerships  
and School Development  
Tel: 01926 742588  
markgore@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision]**

No

**Background papers**

- Cabinet minutes 24.6.04
- Learning O&S Committee reports 23.9.04, 9.11.04 and 10.3.05
- Children & Young People O&S Committee reports 7.6.05 and 15.12.05
- Cabinet reports 26.5.05 and 25.5.06

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees  .....

Local Member(s)  .....

Other Elected Members  Cllr John Whitehouse – “noted”  
C&YP O&S Spokespersons for information:  
Cllr Helen McCarthy  
Cllr Richard Grant  
Cllr Jill Dill-Russell

Cabinet Member  Cllr John Burton

- Other Cabinet Members consulted  Cllr Izzi Seccombe  
Cllr Alan Cockburn – *“approved for consideration”*
- Chief Executive  .....
- Legal  Victoria Gould – comments incorporated in the report
- Finance  Virginia Rennie on behalf of David Clarke,  
Strategic Director of Resources – comments incorporated in the report
- Other Strategic Directors  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  Senior Members’ Group 10.5.06  
Leaders’ Liaison Group 8.6.06

**FINAL DECISION** **YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

## The Cabinet – 7<sup>th</sup> September 2006

### Post-16 transport

#### Report of the Strategic Director for Children, Young People and Families

##### **Recommendation:**

It is recommended that Members consider:

- either (i) the introduction of an increased charge of £275 paid by students for post-16 transport from September 2007 in order to meet the funding gap arising from the decision taken during the Authority's 2006/07 budget process not to fund forecast cost increases,
- or (ii) the phasing out of supported transport over the next two years.

### **1. Background**

- 1.1 At their meeting on 25<sup>th</sup> May Cabinet considered the requirement to generate a further £220,000 to cover a shortfall in the Post-16 Transport budget (currently £1,015,323). This followed the decision made as part of discussions on setting the 2006/07 budget not to agree an additional £160,000 to cover a forecast increase in post-16 transport costs. This was due primarily to increased contract costs (including extra fuel costs) and increased demand. A further £60,000 was required to be found as an efficiency saving, as part of the Authority-wide 2.5% target.
- 1.2 In considering the difficult options available Members decided that this non-statutory provision was not a priority and declined to agree additional funding. The Children, Young People and Families Directorate has also placed efficiency savings targets on all those budgets without inescapable pressures (such as existing pension costs) on a pro rata basis. To fail to assign these savings targets would otherwise have resulted in staffing reductions and an unacceptable risk of under-funding statutory services. Therefore, overall a saving of £220,000 is required from the Post-16 Transport budget from the current financial year.
- 1.3 Members decided that the Task and Finish Group should not be re-convened to consider the options available.

## 2. Current position

- 2.1 Since 1994 students in Warwickshire have been able to receive transport to any school sixth form or college subject to payment of a termly or annual charge. There are currently 2,700 students travelling under this scheme, of whom c.2,400 pay the annual charge (the charge is waived for low income families). The charge for the academic year 2005/06 was £175 per annum per student. Warwickshire's policy is generous in comparison with many other authorities. Some offer no support while others have introduced higher charges.
- 2.2 The Task and Finish Group had recommended this charge (£175) and built in future increases of a minimum of the previous year's transport inflation rate plus a further 5% for a four-year period. This was agreed by the Children and Young People Overview and Scrutiny Committee in June 2005. The Group also agreed a further change to post-16 transport arrangements which became effective on a phased basis in September 2006. This change is significant as it will restrict supported provision only to those attending their 'nearest appropriate' establishment.
- 2.3 Because of the need to advise students and their parents of arrangements for September 2006, the charge was increased to £200 in line with the original recommendation of the Task and Finish Group. This will reduce the shortfall by some £35,000.
- 2.4 The remainder of the shortfall this financial year (£185,000) will need to be met from service reductions or from one-off under-spends elsewhere within the Directorate. However, a long-term solution is required from within the overall Post-16 Transport budget. Members will need to consider charges from September 2007 with a view to introducing significant increases, if they choose not to fund additional post-16 transport costs in the 2007/08 budget round.

## 3. Options

- 3.1 An increase in the current annual charge would bring in additional revenue. A table of options setting out the impact of various levels of charges is given below; it assumes the application of the increased charges to all students attending their nearest appropriate establishment:

| Cost per annum | Full-year effect |
|----------------|------------------|
| £250           | £180,000         |
| £275           | £240,000         |
| £300           | £300,000         |
| £325           | £360,000         |
| £350           | £420,000         |

- 3.2 Rather than increasing charges the Authority could decide to offer no transport support for first year students in September 2007 and phase out support altogether by September 2008. This option would be very contentious but would save in the region of £200,000 in the first year.
- 3.3 It is important that, whichever option is pursued, a decision is made before the end of the Autumn Term to give potential students notice of any change in policy prior to finalising their post-16 options.
- 3.4 Cost increases in this service are a result of demand changes as students choose different courses and providers offer different ones. Contract costs also rise, depending on routes, fuel costs and the local market. Therefore it is difficult to predict future years' cost increases accurately. Members should note that if subsequent years' cost pressures are not funded then charges to students will need to increase further in line with the table in paragraph 3.1.

#### **4. Recommendation**

It is recommended that Members consider:

- either (i) the introduction of an increased charge of £275 paid by students for post-16 transport from September 2007 in order to meet the funding gap arising from the decision taken during the Authority's 2006/07 budget process not to fund forecast cost increases,
- or (ii) the phasing out of supported transport over the next two years.

MARION DAVIS  
Strategic Director for Children,  
Young People and Families

Saltisford Office Park  
Ansell Way  
Warwick

23<sup>rd</sup> August 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee**  
**Date of Committee**

**The Cabinet**  
**7<sup>th</sup> September 2006**

**Report Title**

**Corporate Asset Management Plan  
 Performance Indicator Report**

**Summary**

The Cabinet is asked to approve the Asset Management Plan Property Performance Indicators, subject to any necessary last-minute amendments the Head of Property might deem necessary in consultation with the Resources Portfolio Holder. The Indicator Report was considered by the Resources, Performance and Development Overview and Scrutiny Committee who's views are included in the report.

**For further information  
 please contact:**

Rebecca Couch  
 Asset Management Plan  
 Co-ordinator  
 Tel: 01926 412354  
 rebeccacouch@warwickshire.gov.uk  
 No

***Would the recommended  
 decision be contrary to the  
 Budget and Policy Framework?***

***Background papers***

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees

Resources, Performance and Development Overview and Scrutiny Committee .

Local Member(s)

.....

Other Elected Members

**O&S Spokespersons:**  
 Councillor D.Booth: Observations taken into account  
 Councillor G.Atkinson: Observations noted  
 Councillor R.D.Hicks:

Cabinet Member

Councillor A.J.L.Cockburn: Approved for consideration

Chief Executive

.....

- Legal  via Sarah Duxbury: Observations incorporated
- Finance  via Charles Holden: Observations incorporated
- Other Chief Officers  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION YES: BY CABINET**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

## Cabinet 7<sup>th</sup> September 2006

### Corporate Asset Management Plan Performance Indicator Report

#### Report of the Strategic Director of Resources

##### **Recommendation**

That the Cabinet

- (1) approves the Corporate AMP Performance Indicator Report at Appendix A to this report.
- (2) agrees that in the event of any last-minute amendments being necessary, they be made by the Head of Property in consultation with the Resources Portfolio Holder.

1. The County Council was granted a "Good" category by the Government Office West Midlands (GOWM) for its 2002 Asset Management Plan (AMP). The Office of the Deputy Prime Minister (ODPM) has advised that this year the Council is required to produce only the Property Performance Indicator data.
2. This data is embodied in Appendix A, which is expanded and compared to previous years at Appendix B. The Cabinet is recommended to approve Appendix A as part of the Corporate Asset Management Plan process following guidance from ODPM in 2003.
3. In the event of any last-minute amendments being necessary, it is suggested that they be made by the Head of Property with the agreement of the Resources Portfolio Holder.
4. Corporate AMP submissions were returned in July 2001 and 2002. In 2003, 2004 and 2005 only the Property Performance Indicators were required to be submitted.
5. The documentation is held electronically for easy access, and will be reviewed and updated as changes occur.



6. The views of the Resources, Performance and Development Overview and Scrutiny Committee which considered the Report on the 25<sup>th</sup> July are attached at Appendix B.

**DAVID CLARKE**

Strategic Director of Resources  
Shire Hall  
Warwick

August 2006

## Corporate Asset Management Plan Property Performance Indicators 2006

### 1. Introduction

The first Corporate Asset Management Plans (AMP) were produced in 2001 and 2002 preceded by a “dry run” in 2000. The content of the Corporate Asset Management Plans have been very much prescribed by Office for the Deputy Prime Minister (ODPM) and related to procedures, structures, policies and information sources which support the asset management planning process. The Corporate Asset Management Plan excludes Schools which are reported separately to the DfES within the Schools Asset Management Plan. Corporate Asset Management Plan guidance for the Property Performance Indicators has recently been reviewed by Central Government in conjunction with RICS (Royal Institution of Chartered Surveyors) and COPROP (Chief Officers for Property).

In 2002 Warwickshire’s Corporate Asset Management Plan received a “good” classification from ODPM, the highest classification achievable. As a result of this classification Warwickshire has not been required to submit a Corporate Asset Management Plan to ODPM. Following on from that in 2005 the Use of Assets within the CPA was given a Level 4 rating.

As part of the review of the Corporate Asset Management Plan process, a review of the 5 key national indicators has also been undertaken by COPROP, in consultation with the Department for Communities and Local Government. The review was felt necessary because of the poor definition and guidance of the original indicators. This had led to a number of Authorities stressing that the indicators were difficult to collect and benchmark or were producing results that had little or no significant use for the Authority. The review of these indicators, has resulted in a reduced number of National (i.e. compulsory) indicators, but a greater and better defined selection of Local Property Performance indicators to encourage benchmarking between Authorities. We are now required to include Schools when reporting on condition and energy, where as in previous years this Schools were excluded from all Performance Indicator data.

This report provides:-

- A summary of Property Performance Indicators for 2006.
- Detailed analysis of Property Performance Indicators for 2006.
- Comparison of WCC’s 2005 Property Performance Indicators with other County Councils.

### 2. Summary of the Property Performance Indicators 2006

The following is a summary of this year’s Property Performance Indicators (PPI’s), which have been calculated following the COPROP guidance received in 2006.

| <b>National Property Performance Indicator 1 - 2006</b>   |                    |                    |                    |                    |
|---|--------------------|--------------------|--------------------|--------------------|
| <b><u>PPI 1 A - % Gross Internal Area (GIA) in condition categories A to D @ April 2006</u></b> |                    |                    |                    |                    |
| <b><u>Includes Schools</u></b>  |                    |                    |                    |                    |
|   | <b>Condition A</b> | <b>Condition B</b> | <b>Condition C</b> | <b>Condition D</b> |
|   | Good               | Satisfactory       | Poor               | Bad                |
| Schools (inc. Foundation)   | <b>11.6%</b>       | <b>82.0%</b>       | <b>2.7%</b>        | <b>3.7%</b>        |
| Other Land and Buildings  | <b>27.5%</b>       | <b>66.7%</b>       | <b>3.6%</b>        | <b>2.2%</b>        |
| Non Operational Properties  | <b>23.1%</b>       | <b>58.7%</b>       | <b>6.7%</b>        | <b>11.4%</b>       |
| All Properties  | <b>16%</b>         | <b>76%</b>         | <b>3%</b>          | <b>5%</b>          |

| <b>National Property Performance Indicator 1 - 2006</b>  |                    |                   |                          |                               |
|--|--------------------|-------------------|--------------------------|-------------------------------|
| <b><u>PPI 1 B – Backlog of maintenance by cost expressed as I) total value in Priority Levels 1 to 3</u></b> |                    |                   |                          |                               |
| <b><u>(including fees) @ April 2006 - Includes Schools</u></b>   |                    |                   |                          |                               |
|  | <b>Total Value</b> | <b>Priority 1</b> | <b>Priority 2</b>        | <b>Priority 3</b>             |
|  | £                  | Urgent works      | Essential within 2 years | Desirable within 3 to 5 years |
| Schools (inc. Foundation)  | <b>117,309,390</b> | <b>£3,312</b>     | <b>£76,990,239</b>       | <b>£40,315,839</b>            |
| Other Land and Buildings   | <b>24,403,595</b>  | <b>£0</b>         | <b>£13,947,613</b>       | <b>£10,455,982</b>            |
| Non Operational Properties   | <b>6,556,170</b>   | <b>£0</b>         | <b>£4,763,682</b>        | <b>£1,792,488</b>             |
| All Properties   | <b>148,269,155</b> | <b>£3,312</b>     | <b>£95,701,534</b>       | <b>£52,564,309</b>            |

| <b>National Property Performance Indicator 1 - 2006</b>                              |                  |                     |
|--|------------------|---------------------|
| <b><u>PPI 1 Biii – Overall Cost (including Priority 4's) per m2 @ April 2006</u></b> |                  |                     |
| <b><u>Includes Schools</u></b>   |                  |                     |
|  | <b>GIA</b>       | <b>Costs per m2</b> |
| Schools (inc. Foundation)  | <b>664,627</b>   | <b>£176.51</b>      |
| Other Land and Buildings   | <b>171,893</b>   | <b>£141.99</b>      |
| Non Operational Properties   | <b>203,057</b>   | <b>£32.29</b>       |
| All Properties   | <b>1,039,578</b> | <b>£142.63</b>      |

| <b>National Property Performance Indicator 2 - 2006</b>   |                        |                                 |                         |                          |
|---|------------------------|---------------------------------|-------------------------|--------------------------|
| <b><u>PPI 2 – A - Energy Costs per sq. m (GIA). B – Water costs per sq. m GIA. @ April 2006</u></b> |                        |                                 |                         |                          |
| <b><u>Includes Schools</u></b>  |                        |                                 |                         |                          |
|   | <b>Gas Cost per m2</b> | <b>Electricity Costs per m2</b> | <b>Oil Costs per m2</b> | <b>Water Cost per m2</b> |
| 2005/06 Energy Costs per m2 inc. Schools  | <b>£3.08</b>           | <b>£4.56</b>                    | <b>£0.59</b>            | <b>£1.43</b>             |

| <b>Local Property Performance Indicator 3 - 2006</b>  |                                     |                                       |
|---|-------------------------------------|---------------------------------------|
| <b><u>PMI 3 – A - % of portfolio for which a Suitability Survey has been undertaken in the last 5 years</u></b> |                                     |                                       |
| <b><u>B- Number of properties for which a Suitability Survey has been undertaken in the last 5 years</u></b>    |                                     |                                       |
| <b><u>Excludes Schools</u></b>  |                                     |                                       |
|   | <b>A - % of Properties Surveyed</b> | <b>B - No. of Properties Surveyed</b> |
| Other Land and Buildings  | <b>84%</b>                          | <b>160</b>                            |
| Non Operational Property  | <b>91%</b>                          | <b>20</b>                             |

| <b>Local Property Performance Indicator 4 - 2006</b>   |                                     |                                       |
|--|-------------------------------------|---------------------------------------|
| <b><u>PMI 4 – A - % of portfolio by GIA m2 for which an Access Audit has been undertaken</u></b> |                                     |                                       |
| <b><u>B – Number of properties for which an Access Audit has been undertaken</u></b>             |                                     |                                       |
| <b><u>Excludes Schools</u></b>   |                                     |                                       |
|  | <b>A - % of Properties Surveyed</b> | <b>B - No. of Properties Surveyed</b> |
| Other Land and Buildings   | <b>75%</b>                          | <b>136</b>                            |
| Non Operational Property   | <b>22%</b>                          | <b>4</b>                              |

3. Detailed analysis of Property Performance Indicators 2006

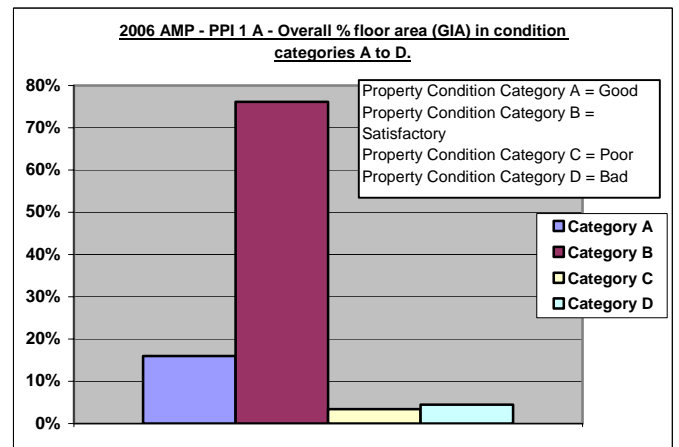
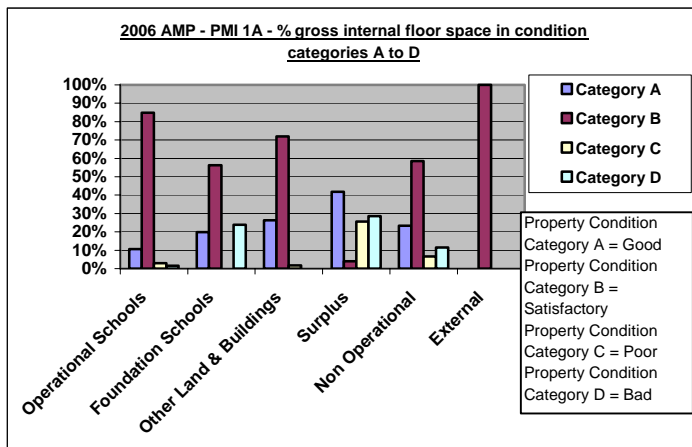
**PPI 1a - % gross internal Area (GIA) in condition categories A to D**

Condition surveys are reviewed annually. These condition surveys provide detailed analysis, including costs by property, building and element (e.g. roof, flooring). To enable a property to be graded as per the ODPM definitions i.e. Condition A – Good; B – Satisfactory; C – Poor; or D – Bad, the following formula has been applied:-

Total cost of repairs for each property/rebuild cost x 100 = %.  
 This % would then have a number of condition ranges e.g. 5% = Condition A; 5% to 35% = Condition B; 35% to 65% Condition C and greater than 65% = Condition D.

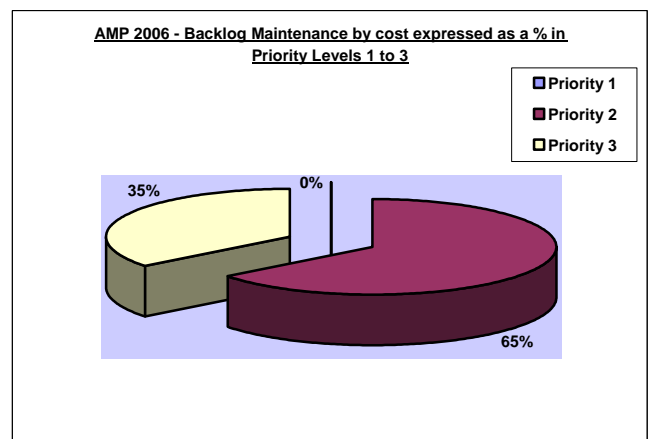
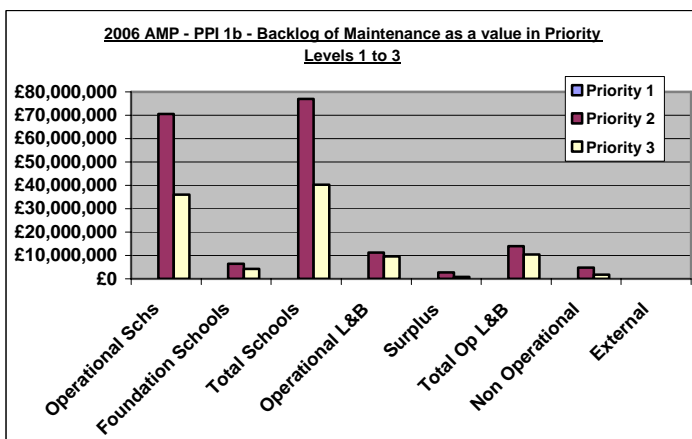
Although this formula is useful for providing an overall property grade it is worth considering that although a Property may have an overall Condition Grade of A or B this property may have elements within the property that fall in Condition Grade C or D with a priority cost level of 1 or 2.

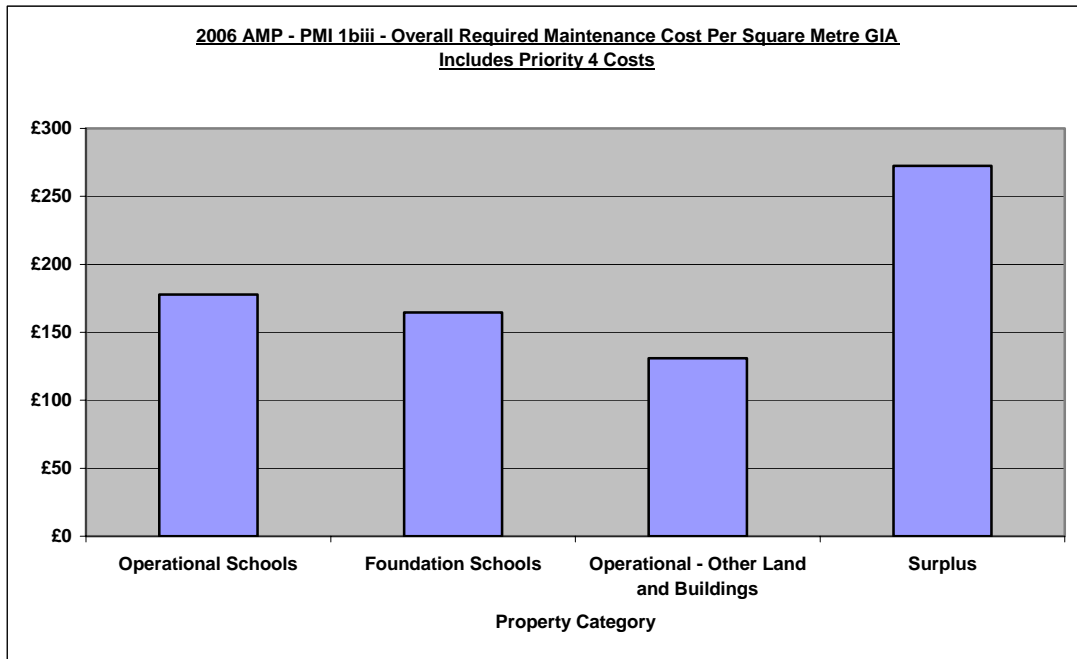
The results of this years Performance Indicator 1a indicate that the majority % floor area falls in Condition Categories A and B, whilst there is a small % of properties, mainly Surplus properties, which fall in Condition Category D. In 2003 external consultants were commissioned to carry out the condition surveys. Since 2004 these condition surveys have been carried out by in-house staff which has brought a consistency to the process.



**PPI 1b – Backlog of maintenance by cost expressed as i) total value and ii) as a % in Priority Levels 1 to 3.**

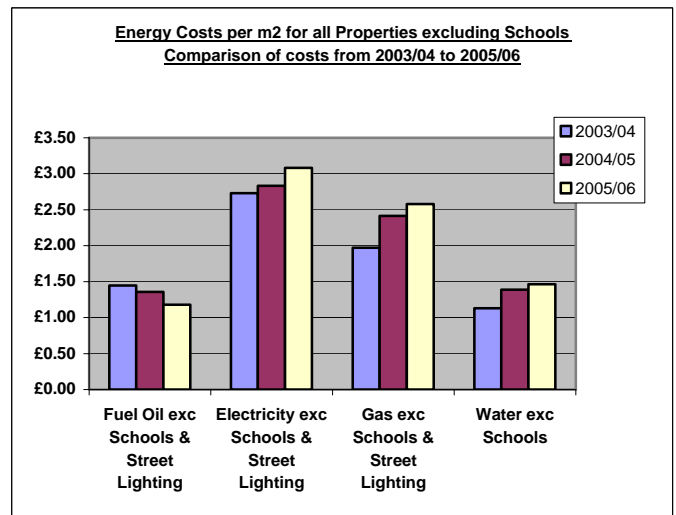
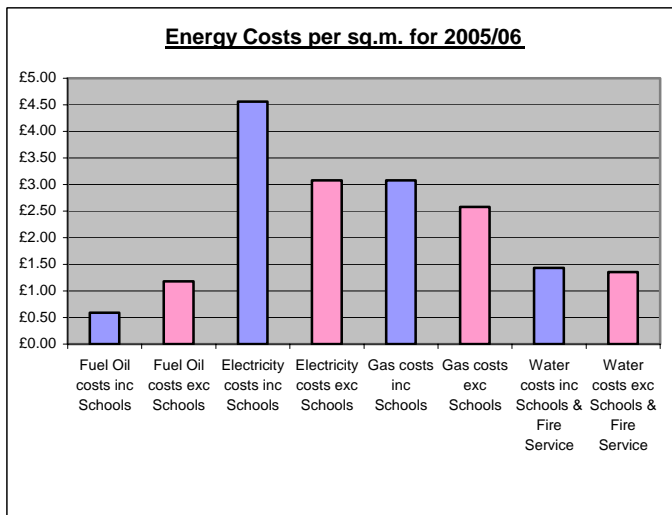
The charts below indicate that there have been minimal Priority 1 Costs (Urgent works) over the last 3 years. Although the maintenance backlog is increasing each year, the current total maintenance backlog, including schools, stands at £148,269,155. Although the trend indicates that the maintenance backlog costs are moving to Priority 3 Level, i.e. desirable work required within 3 to 5 years, the current level of investment in the maintenance programme is not reducing the maintenance backlog programme. The Priority 1 Costs at the time of writing this report stood at £3,312, these fall within our Schools portfolio and are by definition, urgent works. The analysis obtained from the Condition Surveys enables the Maintenance Programme to be developed annually, ensuring that the urgent works (Priority 1) are rectified quickly.





**PPI 2 – a Energy Costs per sq.m. (GIA); b Water Costs per sq.m. (GIA).**

Each Directorate within the Authority is responsible for monitoring it's own energy costs. At present we are working with ESPO (who manage the energy contracts) to establish consumption data for all properties, including Schools. Currently, we are reliant on the Flexi ledger to obtain energy costs. This isn't an ideal solution when managing energy as unit costs are increasing annually. The most appropriate way to manage energy is to monitor consumption. We are liaising with Environment and Economy's Sustainability Unit to establish the best way to promote energy awareness amongst Directorates and to suggest that Utility Bills are only paid on accurate and not estimated meter readings.



**PPI 2c - Co2 Emissions in tonnes of carbon dioxide per sq.m.**

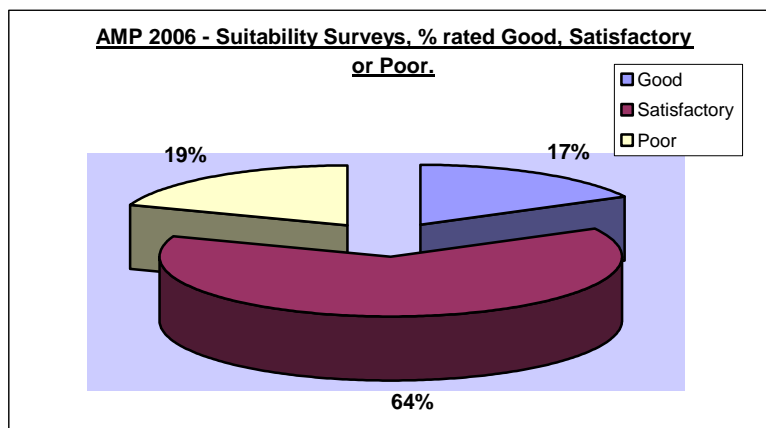
Consumption data is required to calculate this indicator and at the time of writing this report the Authority has been unable to obtain consumption data for all properties. ESPO have recently agreed to use the energy management software system 'SystemLink' to report on consumption and to enable carbon dioxide emissions data for each property to be reported in the future.

**PPI 3 – Number of properties and % of portfolio for which a Suitability Survey has been undertaken in the last 5 years.**

We undertook our first tranche of Suitability Surveys at properties in 2003 and the second tranche in 2004. We have agreed not to undertake Suitability Surveys in 2006 because of the reorganisation of the Authority. We will review the position in 2007 and a number of surveys are likely to be reissued for those properties where staff have been relocated. This survey information provides us with a Users view of the property they are operating from. This analysis excludes Schools, as the suitability of schools is reported to the DfES and has specific guidance related to the recording of such information.

| <b>Local Property Performance Indicator 3 - 2006</b>  |                                 |                                   |
|---|---------------------------------|-----------------------------------|
| <b><u>PMI 3 – A - % of portfolio for which a Suitability Survey has been undertaken in the last 5 years</u></b> |                                 |                                   |
| <b><u>B- Number of properties for which a Suitability Survey has been undertaken in the last 5 years</u></b>    |                                 |                                   |
| <b><u>Excludes Schools</u></b>  |                                 |                                   |
|   | <b>% of Properties Surveyed</b> | <b>No. of Properties Surveyed</b> |
| Other Land and Buildings  | <b>84%</b>                      | <b>160</b>                        |
| Non Operational Property  | <b>91%</b>                      | <b>20</b>                         |

The following chart indicates the % of properties that are deemed to be in good, satisfactory or poor suitability. Currently 17% of the property stock surveyed is thought to provide suitable accommodation for the current occupiers.



This suitability analysis combined with condition survey information allows us to make informed decisions when reviewing the property stock. It is important that we make best use of those properties that are in both good condition and have a high suitability rating. It's this comparison of both the Condition and Suitability of a property that will be fundamental when undertaking future property reviews.

**PPI 3 – Number of properties and % of portfolio for which an Accessibility Audit has been undertaken in the last 5 years.**

This is a local performance indicator which encourages Authorities to monitor progress on providing access to buildings for disabled people, under the terms of the Disability Discrimination Act 1995. The results below are for Operational and Non Operational properties, and exclude Schools.

| <b>Local Property Performance Indicator 4 - 2006</b>   |                                 |                                   |
|--|---------------------------------|-----------------------------------|
| <b><u>PMI 4 – A - % of portfolio by gja m2 for which an Access Audit has been undertaken</u></b> |                                 |                                   |
| <b><u>B – Number of properties for which an Access Audit has been undertaken</u></b>             |                                 |                                   |
| <b><u>Excludes Schools</u></b>   |                                 |                                   |
|  | <b>% of Properties Surveyed</b> | <b>No. of Properties Surveyed</b> |
| Other Land and Buildings   | <b>75%</b>                      | <b>136</b>                        |
| Non Operational Property   | <b>22%</b>                      | <b>4</b>                          |

**4. Comparison of WCC Property Performance Indicators from 2002 to 2005 with other County Councils.**

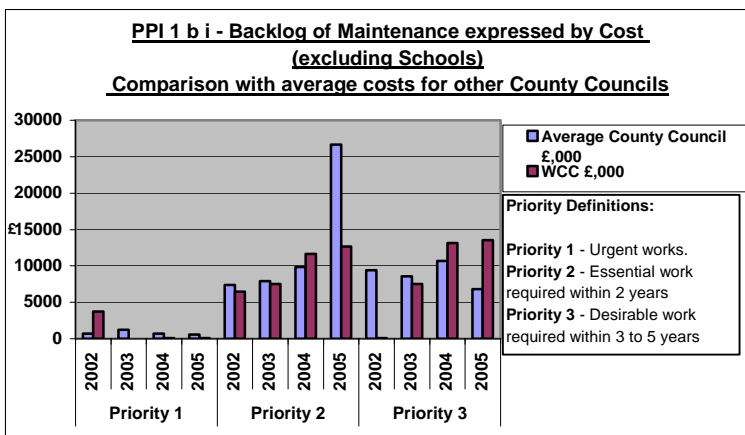
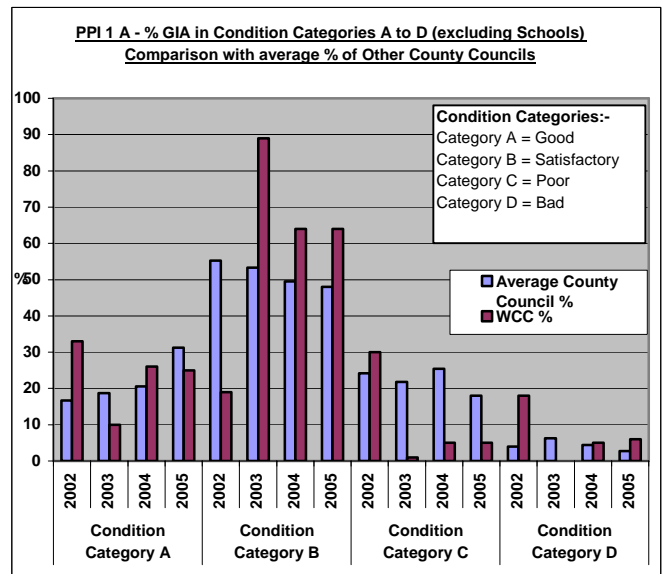
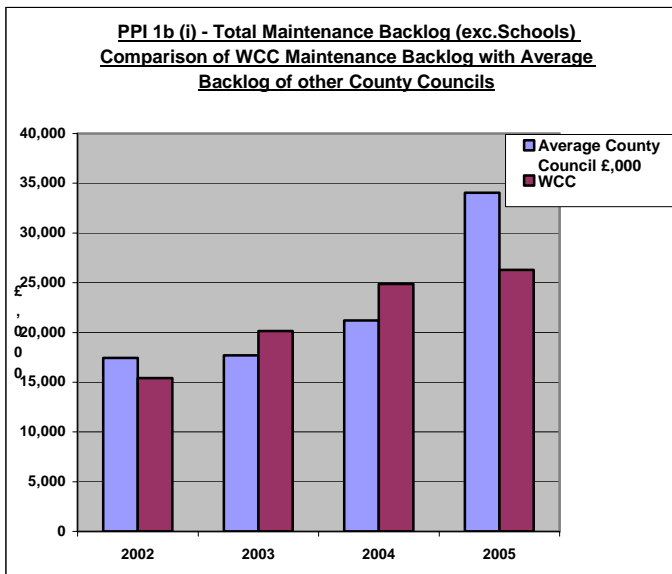
This section provides analysis of the Property Performance Indicators (PPI's) over the previous 4 years and how they compare with other County Councils nationally. Concerns have been raised nationally regarding the definitions for the PI's and the relevance of some of the 5 Key PPI's. As a result of this Central Government asked COPROP (Association of Chief Property Officers) to establish a working group to review the Performance Indicators, to establish more consistent definitions and a larger group of Local Performance Indicators.

The first tranche of revised Performance indicators come into effect from this year. As the 2006 indicators are revised indicators we are not able to provide trend information for them this year, however, we are able to report on trend information up to and including the 2005 Performance Indicators. This is detailed over the following pages.

**PPI 1 - Property Condition**

In 2002 an external consultant was commissioned to carry out a full condition survey programme. Unfortunately, it became apparent that some of these condition surveys were not completed within the agreed guidelines and we were concerned with the results of some of the surveys. Since 2003 we have completed the condition surveys in-house, which has improved the accuracy of the surveys. Due to lack of in house resources however, not all properties can be surveyed annually, we currently re-survey 20% of properties each year. The condition surveys assist with the prioritisation of the maintenance programme each year.

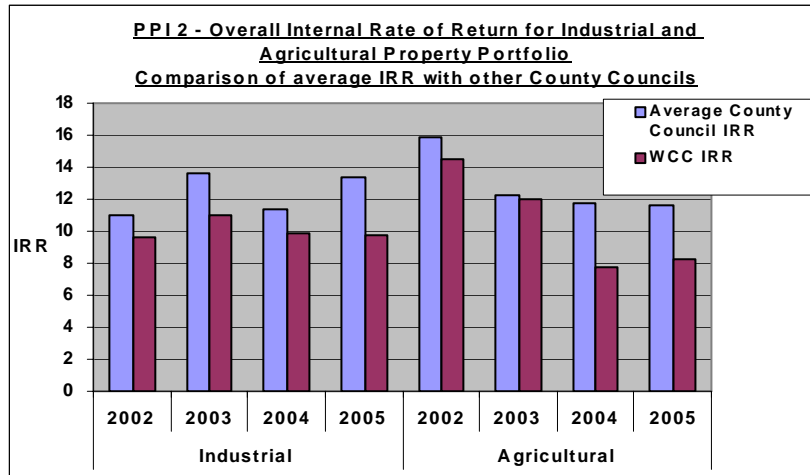
The charts below indicate that the maintenance backlog, excluding Schools, is increasing each year. The significant increase in the backlog in 2003 is due to the Smallholdings estate being surveyed for the first time. Lack of revenue funding hinders the reduction of the total maintenance backlog and in some cases accelerates the deterioration of a property.



The chart to the left indicates that the priority level of maintenance works is also improving. In 2005 the majority of maintenance costs are within Priority Level 3, which indicates that the works would need to be completed within 3 to 5 years indicating that they are not of a high priority, however lack of funding to tackle these elements will lead to a deterioration of the property stock. This is also above the national average when compared with other County Councils.

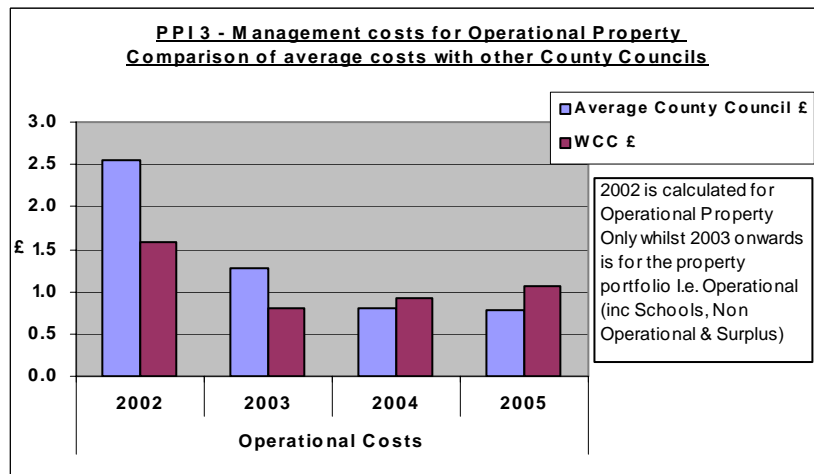
**PPI 2 – Internal Rate of Return**

The following chart shows how the Internal Rate of Return for Warwickshire Industrial and Agricultural holdings is below the national average when compared with other County Councils. This is probably due to variations in assumptions made by individual Authorities as to the rental inflation and cost inflation.



**PPI 3 – Property Management Costs**

The definition for this PI has been altered since 2002. In 2002 the management costs, which includes all costs associated with AMP work throughout the Council, were to be calculated for the property portfolio excluding Schools. Since 2002 the indicator has been calculated for the entire property portfolio including schools. In 2005 the Council's management costs appear slightly above the national average. However, there is no confidence that accurate comparisons are being made and Authorities are not now required to complete this indicator.

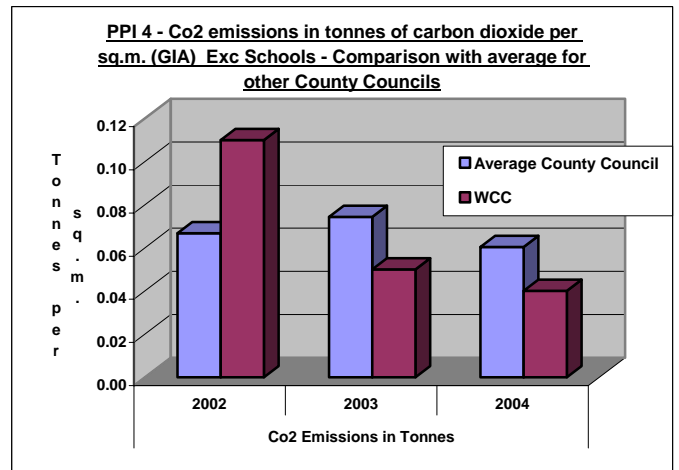
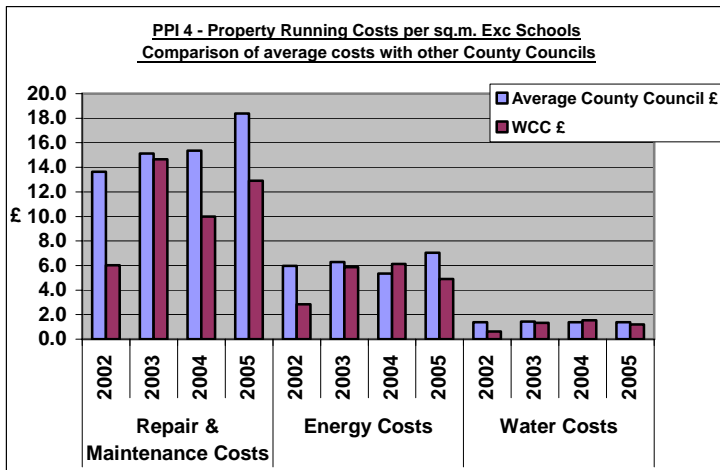


**PPI 4 – Property Running Costs, including Co2 Emissions.**

The repair and maintenance and water costs reflect favourably when compared with the national averages. Whilst the Energy Costs (electricity, gas, oil) do not reflect as favourably in 2004 when compared to the national average it is recognised that it would be more beneficial to monitor consumption rather than costs to avoid misleading results due to estimated billing and credit notes. We are currently negotiating with ESPO to enable us to provide analysis of consumption data for all of our properties.

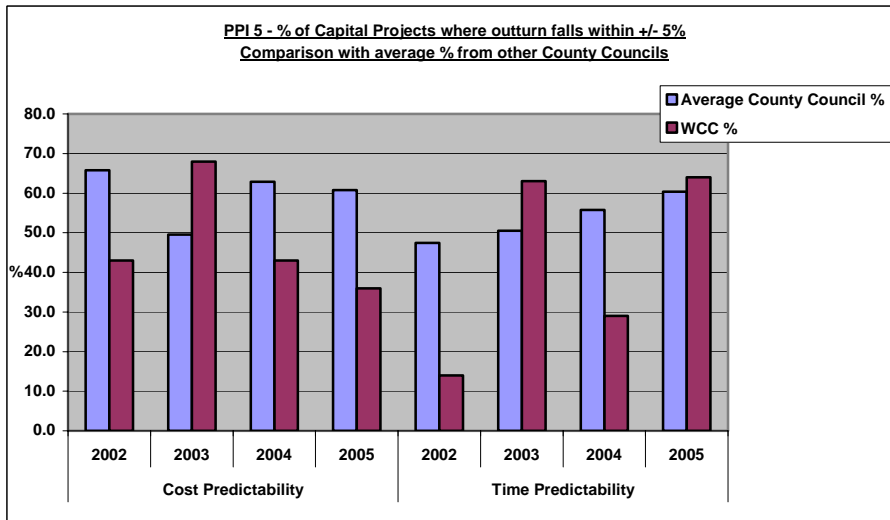
The Co2 emissions are below the national average in years 2003 and 2004. In 2002 the Performance Indicator was calculated for the first time, the definition for the PI was difficult to interpret, which resulted in varying results nationally. We have been unable to produce the Co2 emission indicator since 2004, as we have not been able to access consumption data. This performance information excludes Schools.





**PPI 5 – Time and Cost predictability**

This indicator analysis the time and cost predictability of capital projects (relating to property) over £100,000 in value. A revised version of this indicator is currently out to consultation at Authorities, with a view to reporting on the revised Indicator in 2007.



Shire Hall  
 Warwick  
 25 July 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 07 September 2006

**Report Title** Public Consultation on the 2007/08 Budget

**Summary** The report seeks Cabinet's approval to the planned public consultation process on the 2007/08 budget.

**For further information please contact:** Virginia Rennie  
Group Accountant  
Tel: 01926 412239  
vrennie@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No.

**Background papers** Report to Resources, Performance and Development Overview and Scrutiny Committee 25 July 2006

**CONSULTATION ALREADY UNDERTAKEN:-** Details to be specified

- Other Committees  .....
- Local Member(s)  .....
- Other Elected Members  Cllr Tandy, Cllr Roodhouse, Cllr Booth, Cllr Hicks - for information
- Cabinet Member  Cllr Farnell and Cllr Cockburn – “approved for consideration”
- Chief Executive  .....
- Legal  David Carter - for information
- Finance  Dave Clarke - reporting officer
- Other Chief Officers  .....
- District Councils  .....
- Health Authority  .....
- Police  .....

Other Bodies/Individuals

Resources, Performance and Development  
Overview and Scrutiny Committee 25 July 2006

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

Further consideration by  
this Committee  .....

To Council  .....

To Cabinet  .....

To an O & S Committee  .....

To an Area Committee  .....

Further Consultation  .....

## Agenda No

### Cabinet - 07 September 2006

#### Public Consultation on the 2007/08 Budget

#### Report of the Strategic Director, Resources

##### **Recommendation**

It is recommended that Cabinet:

- Agree the proposals for public consultation on the 2007/08 Budget and Council Tax outlined in Section 3 of the report; and
- Support the recommendation, from Resources, Performance and Development Overview and Scrutiny Committee, that District/Borough Councils and the Police Authority are invited to participate in the discussions and presentations at the Area Committees.

## **1 Background**

- 1.1 The budget is a statement, in financial terms, of the authority's plans for the forthcoming financial year. As such it is best practice to seek the priorities and views of all stakeholders in its preparation. This is done throughout the year as part of our corporate consultation processes. However, in recent year's we have also undertaken specific consultation exercises on the budget priorities and the level of council tax.
- 1.2 These processes have developed over time. Therefore, in considering the process for 2007/08, the report reviews the 2006/07 consultation process and proposes that a similar process is followed for 2007/08.

## **2 Review of the 2006/07 Public Consultation Process**

- 2.1 The 2006/07 consultation process consisted of two broad strands:
- A set of questions relating to the 2006/07 Budget and Council Tax were included in a wave of the Citizen's Panel surveys and in the Public Satisfaction Survey (PSS).
  - Presentations on the 2006/07 budget were made to each Area Committee at which there was an opportunity for members of the public to comment on and respond to the presentations.

The results of the consultation were then presented to Cabinet to assist in the budget setting process.

## 2.2 **Budget and Council Tax Survey**

The questions posed through the Citizens Panel and PSS generated a large quantity of information on a wide range of issues, and formed the bulk of the feedback report to Cabinet. The response rates were high, with the Citizens Panel producing a rate of 64% and the PSS 34%. Including the questions on the budget issues within the broader surveys also enabled a more balanced response between the level of council tax and service priorities to be achieved. Overall it was felt that the inclusion of budget and council tax questions within the Citizens Panel and PSS was a relatively successful way of gaining the views of a wide section of the community.

## 2.3 **Area Committee Presentations**

The Area Committee presentations on the 2006/07 Budget and Council Tax took place early in 2006. At this point the political groups were well advanced with the preparation of their budget resolutions. Overall the presentations were well received. Members of the public who attended were able to ask a number of questions and all members had the opportunity to both hear the presentation and engage in discussion of any issues raised. Whilst the Area Committees cannot be considered to give a representative view of the public, members were able to identify specific issues that could be fed back into discussions within their political groups.

# 3 **Proposals for the 2007/08 Public Consultation**

3.1 It is proposed to maintain our two-strand approach to consultation for 2007/08 – including questions about spending priorities and council tax in the corporate consultation surveys and having a more open information and discussion session at Area Committees.

3.2 In terms of corporate surveys, we will contribute to and use:

- The Best Value User Satisfaction Survey 2006/07. This is a statutory survey required by the Department for Communities and Local Government that will be posted to 4,000 randomly selected Warwickshire residents at the end of August. Most of the questions in this survey are compulsory but, where possible, we have used the opportunity to add additional questions linked to spending priorities and the council tax.
- The Citizens Panel consultation. The current wave is “Value for Money”. The results from this should be available later this year. The next wave of the Citizens Panel survey is on “Community Engagement”. Preparation work for this survey began in August. Both of these surveys will provide information on the public perceptions of spending priorities and the balance between these and council tax levels.

- 3.3 The use of Area Committees will enable face-to-face consultation with the public on spending priorities and council tax levels. It is proposed to deliver presentations on the 2007/08 budget to the last meeting of each Area Committee in 2007. The proposed dates are listed below. These meetings will provide an opportunity for members of the public to comment and respond to the presentations. The earlier timing of the meetings in the budget process will allow the outcomes to be incorporated into the report to Cabinet on the 2007/08 Budget and Council Tax consultation.

| <b>Schedule of Area Committees for 2007/08 Budget Presentation</b> |                       |
|--|-----------------------|
| <b>Area Committee</b>  | <b>Committee Date</b> |
| North Warwickshire   | 29 November 2006      |
| Nuneaton & Bedworth  | 29 November 2006      |
| Rugby  | 23 November 2006      |
| Stratford  | 22 November 2006      |
| Warwick  | 21 November 2006      |

- 3.4 It is intended that results and analysis from the 2007/08 Budget and Council Tax consultation will be presented for consideration to Resources, Performance and Development Overview and Scrutiny on 9 January 2007 and Cabinet on 11 January 2007. Whilst these dates are closer than would be ideal there is no real alternative. Reporting the results of public consultation to the subsequent Cabinet, on 1 February 2007 and only five days before the budget is agreed, would be too late. And the earlier meeting of Overview and Scrutiny Committee is before the results would be available.

## **4 Views of Resources, Performance and Development Overview and Scrutiny Committee**

- 4.1 At their meeting on 25 July to 2006 the Overview and Scrutiny Committee considered a similar report on budget consultation. The Committee endorsed the proposals for public consultation on the 2007/08 Budget and Council Tax outlined in Section 3 of this report. In addition, the Committee proposed that an invitation be extended to the District/Borough Councils and the Police Authority to participate in the presentations and discussions at the Area Committees. Cabinet are asked to support this recommendation from the Overview and Scrutiny Committee.

DAVE CLARKE  
Strategic Director, Resources

Shire Hall  
Warwick

03 August 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee**

**Cabinet**

**Date of Committee**

**7th September 2006**

**Report Title**

**A Stronger Local Voice – New Arrangements for NHS Accountability**

**Summary**

Patient and Public Involvement Forums will be replaced by Local Involvement Networks (LINKs). Local support for LINKs will be the responsibility of local authorities with social services responsibilities. Also the new commissioning guidance published at the same time has proposals for Community Action, both may have implications for WCC. This report provides a summary of the proposals being made and questions with responses for comment. Comments for LINKs should be made by the 7th Sept 2006 and the new commissioning arrangements by 6th Oct 2006.

**For further information please contact:**

Alwin McGibbon  
Health Scrutiny Officer  
Tel: 01926 412075  
alwinmcgibbon@warwickshire.gov.uk

Jane Pollard  
Overview & Scrutiny Manager  
Tel: 01926 412565  
janepollard@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?**

No.

**Background papers**

4 Papers - 'A Stronger Local Voice: A Framework for Creating a Stronger Local Voice in the Development of Health and Social Care Services'; 'Concluding Review of Patient and Public Involvement'; 'Health Reform in England: Update & Commissioning Framework'; and Health Reform in England: Annex Document

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees

.....

Local Member(s)

Other Elected Members

Cllrs Jerry Roodhouse, Anne Forwood, Marion Haywood, Frank McCarney, Richard Dodd, Josie Compton

- Cabinet Member  Cllrs Alan Farnell, Peter Fowler  
Cllr Bob Stevens has agreed this report to go to  
Cabinet on the 7<sup>th</sup> September 2006
- Chief Executive  .....
- Legal  Sarah Duxbury
- Finance  .....
- Other Chief Officers  David Carter, Graeme Betts
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION Yes**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee
- To an Area Committee  .....
- Further Consultation  .....

**Agenda No**



## Cabinet - 7th September 2006.

### A Stronger Local Voice – New Arrangements for NHS Accountability

#### Joint Report of the Strategic Directors of Performance and Development, Adult, Health and Community Services

##### Recommendation

1. Cabinet to approve the responses to the questions in the letters attached to this report.

## 1. Introduction

- 1.1 On 13 July 2006, the government published A Stronger Local Voice: 'A framework for creating a stronger local voice in the development of health and social care services', for information and comment. 'A Stronger Local Voice' fulfils a commitment made by the Health and Social Care White Paper, 'Our Health, Our Care, Our Say', published in January 2006, to review patient and public involvement arrangements.
- 1.2 These were last reformed three years ago when Patient and Public involvement (PPI) Forums replaced Community Health Councils.
- 1.3 The government sees "choice" and "voice" as the two main drivers of improvement in service provision and achieving value for money in the NHS. To increase the "choice" element, it has put into place a variety of mechanisms to create an internal NHS market, with transactions subject to detailed costing. At the same time, the government is encouraging primary care trusts (PCTs) to use commissioning as a key tool for shaping local healthcare services, encouraging new providers to work with the NHS.

## 2. Proposals

- 2.1 There are five key changes in the document:
  1. The present model of a PPI forum for every NHS organisation (including NHS foundation trusts) will be replaced by **Local Involvement Networks (LINKs)** which will be geographically based, co-terminus with local social service authorities and with most of the newly configured PCTs.
  2. Local voluntary organisations will be involved in LINKs, and LINKs will be consulted about service commissioning arrangements.

3. There will be changes to the consultation duties under section 11 of the Health and Social Care Act 2001. They will be made more explicit and a new duty to respond will be placed on commissioners. There will be closer working between LINKs and overview and scrutiny committees.
  4. Overview and scrutiny committees are expected to focus on commissioning arrangements, but no specific duty to do so is proposed.
  5. The right for local patients and public representative to make inspection visits disappears.
- 2.2 The proposed arrangements are subject to primary legislation and so may be changed during the legislative process.
  - 2.3 There will be a managed transition to the new structures to help the current patient and public involvement structures to continue to function until the new arrangements are set up and to keep the valuable skills and experience of people who are currently involved.
  - 2.4 'A Stronger Local Voice' refers to the vital role played by OSCs in scrutinising health and social care services. It suggests that the main focus for OSCs should be the commissioning process through which the local services are shaped. The document argues that commissioning is at the heart of shaping local services and that OSCs are ideally placed to scrutinise these processes. It is proposed that OSCs need to access a wider range of views than they do at present, and a strong relationship with LINKs can meet this requirement.
  - 2.5 The DHN suggests that local authorities should consider taking a community leadership role with regards to the existing PPI forums - inviting members to meetings, arranging discussions on future arrangements and possibly joint local responses to the current proposals. These and other actions will help retain local engagement and volunteers, which could lay foundations for the successful launch of LINKs in due course.
  - 2.6 Local support for LINKs will be the responsibility of local authorities with social services responsibilities. They will receive a specific allocation to support their activities. Local authorities will need to make appropriate arrangements through consultation with local groups and a tendering process, for hosting the LINKs. The 'Kings Fund' stress the importance of this being funded properly.
  - 2.7 The host organisation chosen will:
    - develop the LINK
    - recruit members
    - establish good communications
    - develop and manage the governance structure.

### 3. A Stronger Voice: Questions for comment

The document has questions for comment to be received no later than 7<sup>th</sup> September 2006. These are:

1. What arrangements can we put in place to make sure there is a smooth transition to the new system?
2. How can we build on existing activity in the voluntary and community sector?
3. What do you think should be included in a basic model contract to assist Local Authorities tendering for a host organisation to run a LINK?
4. How can we best attract members and make people aware of the opportunities to be members of LINKs?
5. What governance arrangements do you think LINK should have to make sure it is managed effectively?
6. What is the best way for commissioners to respond to the community on what they would have done differently as a result of the views heard? For example should it be part of the proposed PCT prospectus? (As referred to in the Health Reform in England: Commissioning Framework (DH, 2006c).

Suggested responses to these questions can be found in the letter addressed to Patient and Public Involvement Team (appendix A).

### 4. Health Reform in England: Update and Commissioning Framework

- 4.1 A new commissioning guidance for PCTs was published at the same time as 'A Stronger Voice'. There are several aspects of the document with questions that will need to be considered in the near future, but this report is specifically looking at the proposals relating to triggering community action (appendix E) that could influence the work programme of OSCs
- 4.2 Primary Care Trusts would be expected to respond to **Public Petitions** from members of the public in the area served by the PCT and/or users of the services commissioned by that PCT. MPs could also choose to raise petitions whilst councillors will continue to be able to raise concerns through OSCs.
- 4.3 The 'Kings Fund' thinks that there should be more consideration of how the petitions will work in practice and there is a long way to go to achieve effective local public accountability for the new commissioning roles.

## 5. Principles

- 5.1 The Department of Health consider the following principles would apply to the design of mechanisms for public petitions. Briefly the mechanisms should:
1. To encourage genuine, not trivial or vexatious petitioners
  2. Take into account the need to balance the needs of different groups and priorities
  3. Be consistent with the aims and values of the NHS and the roles of the PCTs
  4. Be fair to providers and their staff,
  5. Be transparent, credible and fair, promote accountability,
  6. Be properly resourced to ensure a thorough and rigorous approach
  7. Give PCTs a degree of discretion on how they respond
  8. Include a clear arbitration process
- 5.2 The scope of the petitions would include demand for new services, dissatisfaction with existing providers and dissatisfaction with existing provision. It will not be used to prolong debate on a proposed service reconfiguration following the outcome of a formal consultation exercise.
- 5.3 Further work is required to develop specific mechanisms and thresholds for public petitions. This will be subject to consultation later this year.

## 6. Commissioning: Questions for comment

Views are being sought by the DH on:

1. Should petitions cover only community and primary care services or the whole of PCT-commissioned activity including acute services and specialised services?
2. Who can petition?
3. How the voices of children and the vulnerable, disadvantaged and excluded members of society can be heard?
4. What level of threshold number of signatories should induce a review and a formal response from the PCT? For example should it be a response of 1% from the public served by a PCT or 10% of service users of a service?
5. What should be the process for PCTs to respond to petitions?
6. Which measures should be used to ensure a fair and robust process in all cases?
7. What are the rights of challenge to the PCTs decision?
8. Who will arbitrate if the response to the PCT is challenged?

Feedback and responses should be sent to Department of Health by 6<sup>th</sup> October 2006.

Suggested responses to these questions can be found in the letter addressed to Director General of Policy and Strategy (appendix B).

## 7. Conclusion

- 7.1 Several of the proposals being made will impact on the working arrangements of Warwickshire County Council and requires consideration on how these proposals can be taken forward, if and when they go ahead.
- 7.2 Cabinet may want to consider the following before approving the responses to the questions:
- The funding and resource arrangements for LINKs
  - LINKs closer working arrangements with OSCs
  - To consider taking a community leadership role with regards to the existing PPI forums
  - The role of Councillors with these new proposals
  - The threshold levels being proposed for petitioning
  - Possible implications for OSCs if the plans for petitioning go ahead
  - To take a further look at the proposals being made in Health Reform in England: Update and Commissioning Framework before submitting the letter to the Department of Health – deadline date 6<sup>th</sup> October.

## 8. Recommendations

1. Cabinet to approve the suggested responses to the questions outlined in the letters attached (appendices A & B)

DAVID CARTER  
Strategic Director of  
Performance and  
Development Directorate

GRAEME BETTS  
Strategic Director of Adult,  
Health and Community  
Services

Shire Hall  
Warwick

11 August 2006



Patient & Public Involvement Team  
Department of Health  
692D Skipton House  
80 London Road  
London  
SE1 6LH  
ppimailbox@dh.gsi.gov.uk

**Performance and Development**  
P.O. Box 9, Shire Hall  
Warwick, CV34 4RR  
DX 723362 Warwick 5

**David Carter, MA LLB**  
Strategic Director of Performance and Development

Tel: 01926 412564 Fax: 01926 476881  
E-mail: [davidcarter@warwickshire.gov.uk](mailto:davidcarter@warwickshire.gov.uk)  
[www.warwickshire.gov.uk](http://www.warwickshire.gov.uk)

7 September 2006

Dear Sir/Madam

These are the responses from Warwickshire County Council to the questions from '**A Stronger Local Voice: A Framework for Creating a Stronger Local Voice in the Development of Health and Social Care Services**'

1. What arrangements can we put in place to make sure there is a smooth transition to the new system?
  - (i) **To provide OSCs with a timeframe for the proposals being made with a regular update of the changes taking place.**
  - (ii) **To put mechanisms in place so that OSCs can take a community leadership role with the existing PPI Forums to help a smooth transition to the new LINKs**
2. How can we build on existing activity in the voluntary and community sector?
  - (i) **Warwickshire County Council is committed to building on existing activity with the voluntary and community sector via the Local Area Agreement and would consider that this would be a good place to start.**
3. What do you think should be included in a basic model contract to assist Local Authorities tendering for a host organisation to run a LINK?
  - (i) **At this stage not knowing what funding or resources are being made available it is difficult to judge whether Local Authorities would want to be involved in tendering for LINKs or be able to suggest what should be in a model contract. There are other factors that need to be considered such as the tendering process, whether the contract is long or short term, how performance outcomes are measured and the risk of the supplier failing to provide.**

- (ii) **Warwickshire County Council would recommend that there should be discussions with the Patient and Public Involvement Team to decide what would be useful to include in the contract.**
  - (iii) **Suggest that the Government evaluates its current contracts for supporting PPI forums so that we can build on experience and lessons learnt. Any model contract ought to be the subject of a separate consultation exercise.**
4. How can we best attract members and make people aware of the opportunities to be members of LINKs?
- (i) **As well as using the local authority and their partners to make people aware of the opportunities of LINKs the Department of Health could consider use existing networks such as Coventry and Warwickshire Infrastructure Consortium Network (cwicnet). This organisation works collectively to support the voluntary sector in Coventry and Warwickshire.**
5. What governance arrangements do you think LINK should have to make sure it is managed effectively?
- (i) **This depends on the arrangements being proposed.**
  - (ii) **Some basic requirements would be clear terms of reference for the LINKs, clarity over their powers (if any), clear processes and procedures for the appointment/removal/replacement of members, clear guidance on standards of conduct, clear protocols governing the relationship between the LINKs and the support provider and similarly the LINK and Overview and Scrutiny Committees and indeed other relevant bodies, clear lines of accountability (whatever that means in the context of the differing relationships), clarity over the terms of any contract with a support provider and an exit strategy.**
6. What is the best way for commissioners to respond to the community on what they would have done differently as a result of the views heard? For example should it be part of the proposed PCT prospectus? (As referred to in the Health Reform in England: Commissioning Framework (DH, 2006c).
- (i) **Health Reform in England: Commissioning Framework suggests that PCTs should be accountable to LINKs/OSCs and this would be an acceptable mechanism to respond to the community on what they would do differently as a result of views heard. However, the PCTs need to consider how they would respond to the local population and other interested representative organisations/groups not associated with LINKs/OSC. Warwickshire County Council would still expect the PCTs to offer information to the public on how they would do things differently via primary care providers.**



In addition, Warwickshire County Council would like the Patient and Public Involvement Team to consider the following questions:

- What will be the funding and resource arrangements for LINKs
- How will LINKs work with OSCs
- The role of councillors with these new proposals

Yours faithfully

David Carter  
Strategic Director of Performance and Development



Policy: Bill McCarthy  
Director General of Policy & Strategy  
Department of Health  
Quarry House  
Leeds  
LS2 7UE

**Performance and Development**  
P.O. Box 9, Shire Hall  
Warwick, CV34 4RR  
DX 723362 Warwick 5

**David Carter, MA LLB**  
Strategic Director of Performance and Development

Tel: 01926 412564 Fax: 01926 476881  
E-mail: [davidcarter@warwickshire.gov.uk](mailto:davidcarter@warwickshire.gov.uk)  
[www.warwickshire.gov.uk](http://www.warwickshire.gov.uk)

7 September 2006

Dear Sir/Madam

These are the responses from Warwickshire County Council to the questions from **'Health Reform in England: Update and Commissioning Framework'**

1. Should petitions cover only community and primary care services or the whole of PCT-commissioned activity including acute services (hospitals) and specialised services?  
  
**(i) Warwickshire County Council considers that it is too early to judge whether extending petitioning to include acute services or specialised services would be helpful. It would be useful if the DH evaluate the benefits or drawbacks of petitioning with community or primary care services before extending the petitioning proposal.**
2. Who can petition?  
  
**(i) Warwickshire County Council supports the DH in encouraging genuine petitioners, but would like to stress the importance of having clear guidelines in place to be able to deal with trivial or vexatious petitioners.**
3. How the voices of children and the vulnerable, disadvantaged and excluded members of society can be heard?  
  
**(i) Warwickshire County Council considers that a meaningful consultation strategy needs to be set up to ensure that the voices of children and the vulnerable, disadvantaged and excluded members of society can be heard. In addition working with the local authority and using their existing partnerships would be another way to access these groups so their voices can be heard.**
4. What level of threshold number of signatories should induce a review and a formal response from the PCT? For example should it be a response of 1% from the public served by a PCT or 10% of service users of a service?

- (i) **If the threshold is set too low this divert resources from service provision. We would recommend that this should be piloted for a trial period to assess the drawbacks or benefits of the proposal before implementation.**
5. What should be the process for PCTs to respond to petitions?
- (i) **Warwickshire County Council would suggest that the existing procedures for consultation, which involve OSCs and the proposed LINKs would be an appropriate mechanism for PCTs to respond to petitions.**
6. Which measures should be used to ensure a fair and robust process in all cases?
- (i) **Warwickshire County Council has at times had to call into question some of the consultation processes used by the NHS and whether it has been adequate. To improve the process they would suggest that the PCTs should regularly conduct an evaluation on the effectiveness of the consultation method used .**
7. What are the rights of challenge to the PCTs decision?
- (i) **Health OSCs and the proposed LINKs in the first instance should be able to challenge decisions made by the PCTs. However if this does not resolve matters being able to refer matters to the Secretary of State should still be made available.**
8. Who will arbitrate if the response to the PCT is challenged?
- (i) **The Secretary of State should remain as a means of arbitration if the response is challenged**

Warwickshire county Council would also want the Department of Health to consider the following:

- Possible implications for OSCs if the plans for petitioning go ahead

Yours faithfully

David Carter  
Strategic Director of Performance and Development

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 07 September 2006

**Report Title** Savings from the Restructuring of the County Council

**Summary** The report informs members of the progress in identifying the savings resulting from the restructuring of County Council Directorates.

**For further information please contact:** Virginia Rennie  
Group Accountant  
Tel: 01926 412239  
vrennie@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No.

**Background papers** None

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

- Other Committees  .....
- Local Member(s)  .....
- Other Elected Members  Cllr Tandy, Cllr Roodhouse, Cllr Booth, Cllr Hicks – for information
- Cabinet Member  Cllr Farnell, Cllr Cockburn – “approved for consideration”
- Chief Executive  Jim Graham - reporting officer
- Legal  David Carter – approved as part of SDMT consideration
- Finance  Dave Clarke - reporting officer
- Other Chief Officers  The report has previously been considered and agreed by SDMT
- District Councils  .....
- Health Authority  .....

Police  .....

Other Bodies/Individuals  .....

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

Further consideration by this Committee  .....

To Council  .....

To Cabinet  .....

To an O & S Committee  .....

To an Area Committee  .....

Further Consultation  .....

## Agenda No

### Cabinet - 07 September 2006

#### Savings from the Restructuring of the County Council

#### Report of the Chief Executive and Strategic Director, Resources

##### Recommendation

- To note the savings of £671,000 in 2006/07 and a further £21,000 in 2007/08, identified as a result of the restructuring of County Council Directorates.
- To approve the allocation of the savings identified to the Modernisation Fund, in the first instance, in accordance with the Council resolution.

## 1 Introduction and Background

- 1.1 At Council in November 2005 members approved the restructuring of the authority with a reduction from nine service departments to six directorates. The proposals included corporate funding of up to £3 million for the upfront costs of early retirements and redundancies resulting from the restructuring. To restructuring was expected to save around £700,000 a year through the reduction in the number of posts at Head of Service/DMT level and a number of other consequent changes. As a result, over the medium to long term, the restructuring would provide for a more efficient management structure.
- 1.2 As part of setting the 2006/07 Budget members agreed that any savings from the restructuring would, in the first instance, be added to the Modernisation Fund. All allocations of resources from the Modernisation Fund are to be approved by Cabinet after consideration of a business case by the cross-party Modernisation Board.
- 1.3 This report informs members of the progress to date.

## 2 Financial Impact of Restructuring

- 2.1 With any significant change agenda, such as the restructuring, there is a need for any consequences not only to be fair but also to be seen to be fair for the changes to be widely accepted. SDMT have considered all the issues and have agreed the budget adjustments set out in Table 1 below. This has been delivered using a clear set of principles. The principles used are:

- The corporate restructuring, for the purpose of identifying any saving, is restricted to first and second tier level and any consequent changes, for example personal assistants to chief officers.
- The exception to this is where the costs of redundancy/retirement have been corporately funded. For these the post will be deleted and savings generated accordingly.
- All heads of service posts will be funded at their assessed grade.
- Where second tier Departmental Management Team posts (old structure) are now redesignated as third tier posts the resources will remain in the relevant Directorate.

2.2 Applying the above principles, SDMT have agreed savings from the restructuring of £692,000 in a full year and £671,000 in 2006/07.

| <b>Table 1: Financial Impact of the Restructuring</b> |                              |                             |
|---|------------------------------|-----------------------------|
| Directorate   | Impact<br>In 2006/07<br>£000 | Full Year<br>Impact<br>£000 |
| Adult, Health & Community Services                    | (101)                        | (101)                       |
| Children, Young People and Families                   | (218)                        | (204)                       |
| Community Protection                                  | 0                            | 0                           |
| Environment & Economy                                 | (25)                         | (28)                        |
| Performance & Development                             | (72)                         | (72)                        |
| Resources   | (255)                        | (287)                       |
| <b>Total</b>  | <b>(671)</b>                 | <b>(692)</b>                |

2.3 Members are asked to note the level of savings identified and approve, in accordance with the Council Budget resolution that the savings are added to the Modernisation Fund.

JIM GRAHAM  
Chief Executive

DAVE CLARKE  
Strategic Director, Resources

Shire Hall  
Warwick

02 August 2006



**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 07 September 2006

**Report Title** A425 Banbury Road, Turnbolls Garden, Warwick - Right Turning Lane Priority Junction

**Summary** This report seeks Cabinet approval to add the project to the 2006/07 capital programme

**For further information please contact:** Jane Haygreen Principal Accountant Tel: 01926 412915 janehaygreen@warwickshire.gov.uk Max McDonogh Group Engineer Tel: 01926 412421 maxmcdonogh@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** No. Cabinet has delegated authority from full Council to add to the capital programme projects which cost less than £1.5 million where spending is financed from external grants, developer contributions or from revenue

**Background papers** E & E Directorate Design Services notification on file L210(A)

**CONSULTATION ALREADY UNDERTAKEN:-** Details to be specified

- Other Committees  .....
- Local Member(s)  Cllr Les Caborn, Bishops Tachbrook – ‘Support but must be fully developer funded’
- Other Elected Members  .....
- Cabinet Member  Cllr Alan Farnell - Policy and Governance. Cllr Martin Heatley - Environment
- Chief Executive  .....
- Legal  Barry Jukes
- Finance  David Clarke, Strategic Director, Resources - Reporting Officer
- Other Chief Officers  Roger Newham for Reporting Officer
- District Councils  .....

- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION YES**

**SUGGESTED NEXT STEPS:**

Details to be specified

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

## Agenda No

### Cabinet - 07 September 2006.

#### A425 Banbury Road, Turnbulls Garden, Warwick - Right Turning Lane Priority Junction

#### Report of the Strategic Director, Resources and Strategic Director for Environment & Economy

##### Recommendation

That the scheme to construct a right turn lane priority junction at Turnbulls Garden on the A425 Banbury Road, Warwick be included in the 2006/07 capital programme at an estimated cost of £340,000.

#### 1. Introduction

- 1.1 On 18<sup>th</sup> December 2001 Council agreed that in future the Cabinet can approve projects for addition to the capital programme provided that they cost less than £1.5 million and are fully funded from external grants, developer contributions or from revenue. The cost of the project to construct a right turning lane on the A425 Banbury Road, Warwick needs to be added to the 2006/07 capital programme.

#### 2. Construction of Priority Junction on the A429 Stratford Road, Warwick

- 2.1 This project involves constructing a right turn lane priority junction to provide access to a proposed caravan park in accordance with planning approval. The County Council will undertake the works at an estimated cost of £340,000 including fees to be funded by Mr. Cogger and Mr. Corbett under a Section 278 Agreement which was signed on 26 July 2005. Tenders are due to be received in September 2006 and, subject to Cabinet approval, works will commence in November 2006.

DAVID CLARKE  
Strategic Director, Resources

JOHN DEEGAN  
Strategic Director for  
Environment & Economy

Shire Hall  
Warwick  
08 August 2006

**AGENDA MANAGEMENT SHEET**

**Name of Committee** Cabinet

**Date of Committee** 7th September 2006

**Report Title** Government Consultation on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions

**Summary** The Government has issued a consultation paper about implementing the parking provisions in Part 6 of the Traffic Management Act 2004. This report recommends how the Council should respond.

**For further information please contact** Roger Bennett  
Traffic Projects Group  
Tel. 01926 412648  
rogerbennett@warwickshire.gov.uk

**Would the recommended decision be contrary to the Budget and Policy Framework?** Yes/No

**Background Papers** Government Consultation Paper and Partial Regulatory Impact Assessment

**CONSULTATION ALREADY UNDERTAKEN:-** *Details to be specified*

- Other Committees  .....
- Local Member(s)  .....  
(With brief comments, if appropriate)
- Other Elected Members  Councillor K Browne )  
Councillor Mrs E Goode ) for information  
Councillor Mrs J Lea )
- Cabinet Member  Councillor M Heatley.  
(Reports to The Cabinet, to be cleared with appropriate Cabinet Member)
- Chief Executive  .....
- Legal  I Marriott – comments incorporated.
- Finance  .....

- Other Chief Officers  .....
- District Councils  Comments from Parking Managers at North Warwickshire Borough Council, Nuneaton and Bedworth Borough Council, Rugby Borough Council, Stratford District Council and Warwick District Council incorporated.
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION**                      **YES/NO**      (*If 'No' complete Suggested Next Steps*)

**SUGGESTED NEXT STEPS :**

*Details to be specified*

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....

**Cabinet - 7th September 2006**

**Government Consultation on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions**

**Report of the Strategic Director for Environment and Economy**

**Recommendation**

That Cabinet notes the contents of the Department for Transport's consultation paper on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions and endorses a response as outlined in this report.

**1. Introduction**

- 1.1 At present all London authorities and (as at 3rd July 2006) 148 English local authorities outside London operate Decriminalised Parking Enforcement (DPE). Under DPE, parking regulations are enforced by parking attendants employed by local authorities, rather than the police service. Any revenue raised from the issue of penalty charge notices (PCNs) and the income from on-street parking charges is retained locally for funding the enforcement system. Any surpluses are required to be spent on transport related projects or environmental improvements. Appeals against the issue of PCNs are dealt with initially by local authorities and subsequently by the National Parking Adjudication Service (NPAS). In Warwickshire DPE has been in operation in Stratford District since October 2004 and is being introduced in Rugby Borough in October this year. This will be followed by Warwick District in 2007 and eventually to the whole County.
- 1.2. The Government aims to strengthen the existing system of DPE and its proposals will be given effect through regulations made under the Traffic Management Act 2004 (TMA) and associated statutory guidance. Part 6 of the TMA provides a single framework for the civil enforcement of parking, bus lanes some moving traffic offences and the London lorry ban. The Government intends to implement the provisions in Part 6 in stages, beginning with those on parking. These include new offences of "double parking" (i.e. more than 50 centimetres from the edge of the carriageway) and parking adjacent to a dropped footway. The regulations are expected to pass into Law in mid-2007. Under the TMA, DPE will become known as "Civil Parking Enforcement" (CPE). In recognition of their wider remit parking attendants will be known as Civil Enforcement Officers (CEOs).
- 1.3. The Government has issued a consultation paper on the draft statutory guidance together with a Partial Regulatory Impact Assessment (RIA) and is seeking the

County Council's views on a range of issues connected with its proposals. These have been developed with assistance from key stakeholders including local government representatives, the chief adjudicators from NPAS and motorists groups including the AA Motorists Trust and the RAC Foundation. The consultation paper and the Partial RIA are listed as background papers. A response is requested by Monday 25th September 2006. This report recommends how the Council should respond.

## 2. Draft Statutory Guidance

2.1. The main areas covered by the draft statutory guidance are:-

- (i) The need for clear policy and financial objectives stressing that CPE should contribute to the authorities transport objectives and that raising revenue should not be an objective nor should targets be set for raising revenue or the number of PCNs to be issued.
- (ii) More transparency and information to road users in the area on CPE together with regular reviews of parking policies by local authorities in consultation with stakeholders and the publication of parking management annual reports.
- (iii) The need for dedicated training for everyone involved in administering civil parking enforcement.
- (iv) Guidelines covering on-street activities in relation to the collection of evidence, wheel clamping and dealing with persistent evaders. Wheel clamping to only be used for the most persistent parking penalty evaders and persistent parking offenders to be targeted through a nationwide database
- (v) A more motorist friendly appeals process with a penalty charge discount reoffered after an informal challenge.
- (vi) More powers to be given to the independent adjudicators to intervene where procedures have not been followed properly.

2.1 The Government has requested comments on any aspect of its proposals and the associated regulations but, in particular, the consultation documents include a number of questions in relation the above guidance and other issues. These are reproduced in **Appendix A** together with the recommended responses.

## 3. Conclusion

3.1 The Government's aims for a fairer and more consistent parking system nationally should be welcomed. The operation of DPE in Warwickshire is already broadly in line with these aims. It is recommended that the Council provide responses to the specific questions raised in the consultation as detailed in **Appendix A**.

JOHN DEEGAN  
Strategic Director for Environment and Economy  
Shire Hall  
Warwick

23rd August 2006

**Cabinet – 7th September 2006**

**Government Consultation on Statutory Guidance to Local Authorities on the Civil Enforcement of Parking Contraventions**

**Consultation Questions**

**1. Regulatory Impact Assessment**

- 1.1 The RIA (in **Appendix B**) discusses the purpose and intended effect of the statutory guidance and the rationale for Government intervention. It also sets out the consultation process, the options considered and an assessment of the costs and benefits of the proposals.
- 1.2 **Does the Partial RIA represent a fair analysis of the policy? What further evidence might be added to the assessment of costs and benefits in the RIA?**

Response:

The RIA does represent a fair analysis of the proposals. However, there is no specific reference made to the additional costs of establishing a National Database for persistent offenders. There may well be significant costs involved in the implementation of such a scheme. It is unclear who will fund this initiative or how this process will be introduced.

**2. Information About Parking**

- 2.1 The draft statutory guidance makes it clear that local authorities should review their parking policies on a regular basis in consultation with local stakeholders. Once finalised, the policies should be published. Many authorities publish information about parking provision and parking restrictions in their area and the statutory guidance could go further by encouraging all authorities to do this, perhaps in the form of 'parking maps' displayed on their website. Authorities should also publish certain items of financial and statistical data. However, it is important to ensure that the information authorities are encouraged to produce would be of real value to users. Accordingly, the Government invites views on the following questions:



**2.2 To what extent and how should authorities publish information about parking provision and/or parking restrictions in their area?**

Response:

Each Local Authority should ensure that their WEB site is updated regularly with all relevant information on parking provision. This should include car park locations (with maps to aid our customers), the type of car park (long/short stay, multi-storey/surface, pay and display/pay on foot) the operating hours, the number of disabled persons parking spaces available, the pricing structure, contact numbers, payment options, the permit process, along with the necessary information to assist the motorist in undertaking an informal and formal appeal (either on-line or in writing). In addition, a leaflet available in libraries, council offices and town centre shops should display the location of all the town centre car parks and on-street charging arrangements, including the pricing and type of car park, along with an information number for all car parking enquiries.

Traffic Regulation Orders should also be available for viewing at any library, or via the councils WEB site.

**2.3 What additional information would be most useful to road users and how should it be presented?**

Response:

Information relating to financial and statistical data and major changes in parking provision (such as the introduction of DPE, car park closures, special events which reduce available parking provision or real time car park information signing) should be available on the internet, in the local press and leaflets in libraries and council offices. In addition, the use of local newsletters or periodicals should be utilised where practical.

**3. Accountability within Local Authorities**

3.1 Local councillors are accountable to their electorate for the actions of their authority but the Government has reservations about them intervening in individual cases involving parking contraventions. This can mean that road users feel that there is nobody to whom they can take their concerns about how an individual case was handled if it falls outside the remit of the parking adjudicator or the Local Government Ombudsman. Some local authorities have set up within the authority a unit independent of the parking department to handle such concerns and this seems to be working effectively.

**3.2 Should the Government encourage local authorities to set up a unit independent of the parking department to handle cases where the road users had a grievance but it falls outside the remit of the adjudicator and the Ombudsman?**

Response:

There could be a role for an independent in-house “adjudicator” to support decisions on appeals of a sensitive nature. This could help to prevent an appellant proceeding to the national adjudication process. However, there are cost implications in this and it is not considered to be essential. On balance, the view is that there is sufficient impartiality and independence within the existing system that negates the need for further referral.

#### **4. Role of the Police**

- 4.1 Under the current DPE regime, where the responsibility for parking enforcement lies with the local authority, the police may only take action against parking that is causing an obstruction or is dangerous. Regulations to enact the TMA could be drawn up in a way that would enable the police to enforce parking if they so wished even where the authority had taken on this power. Any criminal offence would take precedence over the civil one and so there would be no danger of double jeopardy.
- 4.2 **Should the regulations to implement the TMA give the police the power to enforce parking if they should wish to do so?**

Response:

Once responsibility has transferred to the Local Authority there is a view that this is where it should remain. This provides a single system for all contraventions, utilising the "civil process" rather than the "criminal process", as would be the case if the police were still involved. The changes proposed aim to simplify the processes in place and not complicate the situation. A two tiered system introduces confusion to the motorist, especially in respect of payment and the appeals process. However, there may be certain circumstances, for example in the investigation of major crimes or in the interest of national security, where it may be an advantage for the police to continue to have powers to enforce parking. In any event, the public do need to be made aware of what responsibilities the police retain.

#### **5. Procedures on the Street**

- 5.1 Under these proposals, authorities will be required to ensure specified items of information appear on Penalty Charge Notices (PCNs). This is so that vehicle owners understand how they can go about paying a penalty charge, the process for enforcing a PCN and their right to make a representation against the penalty charge to the local authority.
- 5.2 Presently local authorities administer the same level of penalty charge within a defined area whatever the contravention. This means that the penalty charge for parking where it is banned - such as on double yellow lines - is the same as for overstaying in a bay where parking is permitted. The Association of London Government is currently consulting on the idea that there should be a more severe penalty for parking where it is always banned than for breaking the

regulations where it is permitted. The Government sees some merit in this idea and invites views on its introduction throughout England.

**5.3 Would differential penalty charges based on the severity of the contravention help improve public acceptance of and compliance with parking regulations? Or would it be confusing to have two different levels of penalty charge in the same area?**

Response:

Differential charges may well be more acceptable to the public but they could lead to confusion and would be more difficult to administer.

**5.4** The Government is of the view that the exercise of discretion should, on the whole, rest with fully trained back-office staff in deciding challenges and representations against PCNs. This is to protect CEOs on the street from allegations of inconsistency, favouritism or suspicion of bribery, in addition to giving motorists greater consistency in the enforcement of traffic regulations. Authorities should publish policies setting out the circumstances in which a CEO should not issue a ticket. For example, if a driver has overstayed in a paid parking place for a short period of time and returns to the vehicle before a ticket has been issued. In such cases it may be more appropriate for the CEO to issue a verbal warning than a PCN as long as the driver agrees to bring the vehicle within the law immediately and is not a persistent evader.

**5.5 Should civil enforcement officers have the discretion to decide when to issue a PCN, using the authority's published policy?**

Response:

The CEO should have clear guidelines on when, and when not to issue a ticket. The guidelines need to be carefully developed with proportionality in mind and CEO's need training to exercise discretion based on "reasonable" common sense. The back office staff should be fully conversant with the policies and procedures of the Local Authority. They should also be trained in all aspects of the appeal process and be fully aware of the need to consider any mitigating circumstances.

**5.6** Owners have time limits within which they must make any objections to a PCN but there are no time limits for action by authorities. The Government believes that authorities should deal with informal and formal representations in a fair and a timely fashion. But it does not want to take action that would result in authorities rejecting all representations speedily.

**5.7 Should the Government suggest time limits for dealing with informal and formal representations? If so are the following fair and achievable:**

**14 day national standard for dealing with informal challenges?  
90% of formal representations decided within 21 days?**

**Or should it be left to the individual local authority to set its own criteria?**

Response:

A standard timetable for responses should be set by Government. The targets proposed (i.e. 14 days for an informal challenge and 90% of formal representations responded to within 21 days) should be achievable by most local authorities.

- 5.8 Where a PCN is sent by post the Government expects authorities to act in a timely fashion. The Government proposes that authorities should be required to send PCNs within a specified timeframe. In case there are problems getting the name and address of the owner from DVLA, the draft regulations stipulate that PCNs must be sent within 28 days of the alleged contravention taking place. In most cases DVLA supply the data very quickly and there may be benefits in the statutory guidance recommending that postal PCNs are sent within 14 days of the contravention.

5.9 **Should the statutory guidance recommend that a postal PCN is sent within 14 day of the contravention?**

Response:

A 14 day target is an acceptable level to be set.

- 5.10 To encourage prompt payment, there is currently a 50% reduction if the penalty charge is paid within 14 days of the contravention. When a PCN is issued by post the discount is still 14 days, some of which is lost before the PCN reaches the vehicle owner. Some PCNs are served by post because the CEO was prevented from serving it at the time of the contravention because the owner drove away. The 14 day discount is appropriate in these circumstances. However, when a PCN is served by post for other reasons, for instance the contravention was detected by an proved device rather than a CEO on the street, the Government believes that the 50% discount should be available for 21 days from the date of the contravention.

5.11 **Should the 50% discount be available for 21 days for certain cases where the PCN was issued by post?**

Response:

Yes.

- 5.12 The purpose of the current 14 day discount is to encourage prompt payment of the penalty charge. If an informal challenge to the PCN is made during the 14 days, and that challenge is rejected, the owner may have to pay the full amount. A number of authorities offer a further discount period if an informal challenge is rejected and it has been suggested that all authorities should do this, especially where they have not reached a decision within 5 days. The Government wishes to encourage local authorities to deal with informal representations speedily but to give them proper consideration and accept those where a good case is made. Encouraging local authorities to offer a further discount period after an informal

challenge may help to do this. But it may encourage all drivers to challenge a PCN as a matter of course because they have nothing to lose. The Government is of the view that local authorities should be encouraged in statutory guidance, as a method of best practice, to re-offer the whole discount period after refusing an informal challenge to a PCN.

**5.13 Should the statutory guidance encourage local authorities have to re-offer a discount period after rejecting an informal challenge? Or should it be at the discretion of the local authority to do this?**

Response:

A further extension (14 days) being offered at the discounted rate where an informal challenge has been rejected is supported. This approach introduces an opportunity for the motorist to challenge a PCN at no risk of facing the full penalty, along with the advantage of having received a detailed explanation of the reasons why the PCN was correctly issued. Introducing discretion unfortunately compromises consistency, and for this reason a mandatory period should always be offered following informal rejection. A possible exception should be in the case of a persistent offender abusing the system.

**5.14 The Government is of the view that the need to use clamping has been superseded by effective on-street enforcement and should only be used in circumstances where payment cannot be secured through the normal channels. Where a vehicle is parked illegally - e.g. on a double yellow line - or in an obstructive manner it should be removed rather than clamped. However, if the local authority continues to use clamping, there is a need for regulations to be changed. At the moment, a vehicle may be clamped 15 minutes after a PCN has been issued in a paid for parking place. The Government thinks this is too short a period and proposes that, with the exception of a vehicle on the persistent evader database, the period be extended to 60 minutes. This would help to reduce the number of cases where vehicles are clamped for minor breaches such as overstaying at a paid parking place.**

**5.15 How long should the period following the issue of a PCN be before a vehicle should be removed or clamped?**

Response:

Clamping should always be a last resort, the current 15 minute period is totally inadequate and the proposal to extend to 60 minutes is certainly an improvement. The costs involved in this process are extremely high, and a more common sense approach by increasing the time lag is certainly a step in the right direction.

**5.16 Where a vehicle already has 3 or more outstanding, unpaid and unchallenged PCNs the Government is of the view that the owner - a "persistent evader" - should be subject to the strongest possible means of enforcement if a further contravention takes place. When the vehicle of a persistent evader is found to be parked illegally, it should be immobilised after the appropriate period and the**

authority should seek payment of **all** outstanding penalty charges, no matter which local authority issued the previous PCNs. This will require authorities to share data on persistent evaders with other authorities and the DVLA, and develop a system to transfer payments to any other authorities' owed money by the persistent evader. It is difficult to see this system being effective without a nationally respected database of persistent evaders. Central Government is not in a position to do this, so the local authorities would need to produce and maintain it.

**5.17 Do you agree with the proposed definition of a persistent evader as an individual with 3 or more outstanding and uncontested PCNs?**

Response:

Yes.

**5.19 Would it be acceptable for the Association of London Government to expand their persistent evader database for use across England? If not, what other options might be suitable?**

Response:

The implementation of a national database would be an extremely costly exercise and it is difficult to conclude that any added value would be gained from any such process outside of London. In the main, repeat offenders are likely to be localised and should be dealt with on that basis. Unpaid PCN's can ultimately be pursued by bailiffs and this process is appropriate in the circumstances.



- Finance  .....
- Other Chief Officers  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION**                      **YES/NO**      *(If 'No' complete Suggested Next Steps)*

**SUGGESTED NEXT STEPS :**

*Details to be specified*

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....



**Cabinet - 7th September 2006**

**The Delegation of Powers for Determining Contested  
Minor Traffic Regulation Orders**

**Report of the Strategic Director for  
Environment and Economy**

**Recommendation**

That the functions set out in **Appendix A** be delegated to the Strategic Director for Environment and Economy and the Strategic Director of Performance and Development respectively.

**1. Introduction**

- 1.1 This report deals with two similar issues. Firstly, it proposes delegation to the Strategic Director for Environment and Economy of the power to determine minor Traffic Regulation Orders when objections have been received. Secondly, it proposes delegation to the Strategic Director for Environment and Economy to convert footways to shared use as footways and cycleways for minor schemes. These proposals require an amendment to the County Council's scheme of delegation to officers.

**2. Background**

- 2.1 At its meeting on the 26th May 2005 Cabinet approved the Final Report of the Best Value Review of Traffic Management and the Outline Service Improvement Plan.
- 2.2 The Review was conducted under the aegis of the Council's Environment Overview and Scrutiny Committee. The approved Service Improvement Plan included the following in Action B:-

“Draft proposals for consideration by the Committee for the development of ‘fast track’ procedures for the implementation of ‘minor’ projects, within the overall context of an annual planning process, ensuring that consideration is given . . . . .to the delegation to officers of the powers to determine contested Traffic Regulation Orders of purely local interest . . .”

- 2.3 Existing powers delegated to the Strategic Director for Environment and Economy are limited to determining Traffic Regulation Orders when no objections have been received.

- 2.4 Initial proposals for the delegation of broader powers to determine minor traffic regulation orders were reported to Environment Overview and Scrutiny Committee on 8th November 2005. Following further work on the Actions of the Service Improvement Plan a further report from the Strategic Director for Environment and Economy was presented to Environment Overview and Scrutiny Committee on 27th April 2006. This report contained clarification of the proposals for delegated powers. The Committee agreed to formally conclude the Best Value Review when the Action to delegate powers was complete.
- 2.5 Whilst the Best Value Review did not consider the issue of converting footways to shared use for footways and cycleway, officers have identified this as an additional area where there could be a “fast track” procedure for the implementation of schemes where the local Member does not object.

### **3. Proposed Delegation of Powers to Determine Contested Minor Traffic Regulation Orders**

- 3.1 The County Council’s Constitution (Part 2 Section 10) currently gives the Strategic Director for Environment and Economy the delegated power to propose the making of orders relating to road traffic, parking places and speed limits and, in the event of no objections being received, to make the orders.
- 3.2 No such delegated power currently exists when objections are received. In these circumstances the matter must be reported to the appropriate Area Committee for a decision. This procedure is considered to be entirely appropriate for substantial traffic management schemes or proposals which are of more than purely local significance. However, there can be a perception of poor service when minor proposals (e.g. double yellow lines on corner radii at junctions) are delayed by this process.
- 3.3 It is proposed to define a “minor traffic order” as one falling into one of the following categories:-
- (i) No waiting at anytime restrictions at junctions including waiting restrictions required for the safe and efficient operation of traffic signals.
  - (ii) Waiting restrictions on one or both sides of a length of road extending no greater than 50 metres on a principal road or 100 metres on a non-principal road, when measured along the centreline of the road.
  - (iii) Extension to a speed limit order on a length of road extending no greater than 50 metres on a principal road or 100 metres on a non-principal road, when measured along the centreline of the road.
- 3.4 It is proposed that the maximum lengths of roads which come within the definition of a minor traffic order apply to each separate proposal and not the total length of road covered by an order which may contain a number of proposals.

- 3.5 It is proposed that the Strategic Director of Performance and Development be empowered to vary the definition of a minor traffic order in consultation with the relevant Portfolio Holder and the Chair of the relevant Overview and Scrutiny Committee.
- 3.6 It is proposed that the definition in 3.3 above will apply to new traffic orders and to amendments to existing traffic orders, including consolidation orders.
- 3.7 It is not proposed for this to apply to:-
- (i) New speed limit orders.
  - (ii) Weight or width restriction orders.
- 3.8 Where objections are received to minor traffic orders, it is proposed that the Strategic Director for Environment and Economy be given delegated power to make the Orders subject to having considered the views of the appropriate local Member(s) and provided that the local Member does not object.
- 3.9 These proposals require an amendment to the County Council's scheme of delegation to officers.
- 3.10 Involving the local Member(s) will be crucial when developing proposals for the "fast track" process. The onus will be on the officer concerned to consult the local Member(s) when minor traffic orders are being considered and to take into account the views of the local Member(s) on the proposals. The officer will advise the local Member(s) regarding any objections received and consider and record the Member(s) view as to whether the Order should be made. This view will inform the Strategic Director's decision as to whether to approve the making of the Order.
- 3.11 These proposals will not affect the current powers of Area Committees. They will result in the power to determine minor traffic orders being enjoyed simultaneously and independently by both the Strategic Director for Environment and Economy and the Area Committees.

#### **4. Proposed Delegation of Powers to Convert Footways to Shared Use as Footways and Cycleways**

- 4.1 Section 65 of the Highways Act 1980 authorises the creation, removal or alteration of a cycle track. Section 66 authorises the creation, removal or alteration of a footway. When the Council as highway authority wishes to convert an existing footway to shared use as a cycleway and a footway, it does so using both sections. There is no delegation to the Strategic Director for Environment and Economy to determine whether to construct a cycle track for a shared footway/cycleway even for schemes where there are no objections. All such matters must be reported to the appropriate Area Committee for a decision. This procedure is considered to be entirely appropriate for substantial schemes. However, it is not considered appropriate for relatively minor schemes where for example a toucan crossing is being installed and conversion of just a small area of footway either side of the crossing is required.

- 4.2 It is proposed that the Strategic Director for Environment and Economy be given the delegated power to decide whether to convert the footway to a shared footway/cycleway subject to having considered the views of the appropriate local Member(s) and provided that the local Member does not object.
- 4.3 These proposals also require an amendment to the County Council's scheme of delegation to officers.
- 4.4 These proposals again will not affect the current powers of Area Committees. They will result in the power to make these decisions being enjoyed simultaneously and independently by both the Strategic Director for Environment and Economy and the Area Committees.

## 5. Conclusion

- 5.1 These proposals form an important part of the service improvements emerging from the Best Value Review of Traffic Management. If implemented they will help to expedite the making of minor traffic orders and conversion of footways particularly when the local Member(s) is keen for this to happen. The proposals incorporate an important safeguard giving the local Member the right to object to the order. Cabinet is therefore asked to delegate the functions set out in **Appendix A** to the Strategic Director for Environment and Economy and to the Strategic Director of Performance and Development accordingly.

JOHN DEEGAN  
Strategic Director for Environment and Economy  
Shire Hall  
Warwick

24th August 2006

**Cabinet – 7th September 2006**

**The Delegation of Powers for Determining Contested  
Minor Traffic Regulation Orders**

| Powers and Duties   | Statutory Reference                                    | Type of Function |
|---|--|------------------|
| <p>*To propose the making of orders relating to minor road traffic orders provided that the local Member does not object.</p>   | <p>Parts I and II Road Traffic Regulation Act 1984</p> | <p>Executive</p> |
| <p>A "minor traffic order" is one falling into one of the following categories:-</p> <ul style="list-style-type: none"> <li>(i) No waiting at anytime restrictions at junctions including waiting restrictions required for the safe and efficient operation of traffic signals.</li> <li>(ii) Waiting restrictions on one or both sides of a length of road extending no greater than 50 metres on a principal road or 100 metres on a non-principal road, when measured along the centreline of the road.</li> <li>(iii) Extension to a speed limit order on a length of road extending no greater than 50 metres on a principal road or 100 metres on a non-principal road, when measured along the centreline of the road.</li> <li>(iv) Any other traffic order designated by the Strategic Director of Performance and Development as such following consultation with the relevant Portfolio Holder and the Chair of the relevant Overview &amp; Scrutiny Committee</li> </ul> <p>The maximum lengths of roads which come within the definition of "minor traffic order" apply to each separate proposal and not the total length of road covered by an order which may contain a number of proposals.</p> <p>The above definition of a "minor traffic order" will apply to new traffic orders and to amendments to existing traffic orders, including consolidation orders.</p> | <p>Parts I and II Road Traffic Regulation Act 1984</p> | <p>Executive</p> |

|   |                              |           |
|---|------------------------------|-----------|
| To construct, alter or remove a cycle track provided that the local Member does not object. | Section 65 Highways Act 1980 | Executive |
|---|------------------------------|-----------|

The \* signifies that the Strategic Director of Performance and Development or his/her nominee is the authorised officer for the purposes of completing the documentation and statutory procedures required to give effect to decisions made in exercise of that function.

**AGENDA MANAGEMENT SHEET**

|   |  |
|---|--|
| <b>Name of Committee</b>  | <b>Cabinet</b>   |
| <b>Date of Committee</b>  | <b>7th September 2006</b>  |
| <b>Report Title</b>   | <b>Department for Transport Consultation on Draft Guidance on Intervention Criteria</b>  |
| <b>Summary</b>  | <p>The Traffic Management Act 2004 was introduced to augment existing powers of local authorities under which they maintain and improve their highway network. Further it imposes the Network Management Duty, which requires local traffic authorities to do all that is reasonably practical to manage the network effectively to keep traffic moving.</p> <p>The Act includes the concept of intervention by Government if local authorities fail to perform. The Intervention criteria also sets out an engagement process that encourages an authority to recover its position so as to avoid the stage where a Traffic Director is appointed by Government.</p> <p>Under Section 27 the Secretary of State is required to give guidance about the criteria proposed for the intervention process. The Department for Transport is currently now consulting on this guidance.</p> <p>This report discusses the current consultation on the draft intervention criteria and recommends how the Council should respond to the consultation.</p> |
| <b>For further information please contact</b>   | Keith Davenport<br>Transport Planning Unit<br>Tel. 01926 735673<br>keithdavenport@warwickshire.gov.uk  |
| <b>Would the recommended decision be contrary to the Budget and Policy Framework?</b> | Yes/No   |
| <b>Background Papers</b>  | The Department for Transport consultation on Draft guidance on intervention criteria dated 6th July 2006.  |

**CONSULTATION ALREADY UNDERTAKEN:-**

*Details to be specified*

- Other Committees  Cabinet 28th April 2005.
- Local Member(s)  .....  
(With brief comments, if appropriate)
- Other Elected Members  Councillor K Browne )  
Councillor Mrs E Goode ) for information  
Councillor Mrs J Lea )
- Cabinet Member  Councillor M Heatley  
(Reports to The Cabinet, to be cleared with appropriate Cabinet Member)
- Chief Executive  .....
- Legal  I Marriott
- Finance  .....
- Other Chief Officers  .....
- District Councils  .....
- Health Authority  .....
- Police  .....
- Other Bodies/Individuals  .....

**FINAL DECISION**

**YES/NO**

*(If 'No' complete Suggested Next Steps)*

**SUGGESTED NEXT STEPS :**

*Details to be specified*

- Further consideration by this Committee  .....
- To Council  .....
- To Cabinet  .....
- To an O & S Committee  .....
- To an Area Committee  .....
- Further Consultation  .....



## Cabinet - 7th September 2006

### Department for Transport Consultation on Draft Guidance on Intervention Criteria

#### Report of the Strategic Director for Environment and Economy

##### Recommendation

That a response to the consultation by the Department for Transport on the Draft Guidance on Intervention Criteria be submitted in accordance with paragraph 4.1 of this report.

## 1. Introduction

- 1.1 The Traffic Management Act 2004 (TMA) was introduced to augment existing powers of local authorities under which they maintain and improve their highway network. Further it imposes the Network Management Duty (NMD), which requires local traffic authorities to do all that is reasonably practical to manage the network effectively to keep traffic moving.

## 2. Background

- 2.1 The TMA is intended to promote better conditions for all road users through proactive management of road networks. The Act adds new duties and powers on local traffic authorities, building upon their existing range of powers and duties under which they maintain and improve the network and manage its use and the activities taking place on it.
- 2.2 The Act introduces a major new duty, the Network Management Duty (NMD), on Local Traffic Authorities (LTAs) and advice is provided by the Department for Transport (DfT) in the form of the Network Management Duty Guidance. LTAs should embed desired outcomes and appropriate policies and plans under the NMD within Local Transport Plans.
- 2.3 The new Network Management Duty was implemented on 4th January 2005. This placed upon all local traffic authorities a duty to manage their road network with a view to achieving... "the expeditious movement of traffic on the authority's road network" and, "facilitating the expeditious movement on road networks for which they are not the traffic authority."

- 2.4 The scope of the duty is wide, however it includes the following requirements:-
- (i) To consider the needs of all road users, including utilities.
  - (ii) To manage the road space for everyone.
  - (iii) To identify current and future causes of congestion and disruption, and to plan and take action accordingly.
  - (iv) To put arrangements in place to gather accurate information about planned works or events, consider how to organise them to minimise their impact, and agree (or stipulate) their timing to best effect.
  - (v) To establish contingency plans for dealing with unforeseen incidents outside the authorities' control e.g. adverse weather, security alerts, and major emergency incidents.
  - (vi) To identify trends in traffic growth on specific routes and put in place policies for managing incremental change.
  - (vii) To recognise that the implications of the actions of a local traffic authority do not stop at its borders.
- 2.5 At its meeting on 28th April 2005 Cabinet considered a report on the TMA and the DfT consultation on the proposed changes to the existing regulatory framework, within which utility companies are permitted to dig up roads, and resolved that the general principles were supported but some concerns with the detail of the consultation should be highlighted to the DfT.

### **3. Consultation**

- 3.1 If an LTA is failing to carry out its NMD effectively, the TMA also included the concept of Intervention powers whereby the Secretary of State has the ultimate sanction to appoint a Traffic Director. How far the Traffic Director would intervene in the running of the authority's duties would depend on the circumstances of each case. The activities of any such Traffic Director would be at the LTA's expense.
- 3.2 Within the TMA the Secretary of State is charged with publishing guidance about the criteria proposed for deciding whether to issue an Intervention Notice or Order. The Department for Transport is currently now consulting on this guidance
- 3.3 For poorly performing authorities, invoking intervention is seen by DfT as their means to encourage better management of the road network. The proposals provide a built in opportunity for engagement and recovery of the situation by joint working with the DfT at an early stage with the aim of making improvements. The Intervention criteria sets out an engagement process to encourage an authority to recover so as to avoid the stage where a Traffic Director is appointed.

- 3.4 It is accepted by the DfT that each LTA has other obligations and policies which it must address. This is to be taken into account when making an assessment of an authority's individual performance. It will consider the approach to the duty in the wider context of an authority's wider responsibilities and will not expect each authority's approach to be the same. They expect each authority to put in place arrangements and action for performing the duty. All authorities should report on how they are managing their networks and tackling congestion within existing reporting mechanisms. They will look for a robust reporting mechanism that does not place an unnecessary burden on the authority. We propose to report progress through the Local Transport Plan Annual Progress report.
- 3.5 The criteria sets out how the DfT will assess the evidence to determine whether failure of the NMD has occurred. They will look at existing reports as well as any supporting documentation. They will also take into account any "serious issue about traffic movement" on an authority's road network.
- 3.6 In conducting this assessment they will consider these primary questions:-
- (i) To what extent has an authority had regard for the NMD guidance in performing its network management duties?
  - (ii) To what extent has the LTA considered and where appropriate taken action to achieve more efficient use of the road network.
  - (iii) To what extent has the LTA exercised any power in support of this action?
  - (iv) To what extent have indicators and targets to reduce congestion been met?
  - (v) To what extent do mitigating circumstances account for an apparent failure of a duty?
- 3.7 If the DfT does not have sufficient information to answer these questions they will contact the authority informally. Whilst it is expected that this informal approach will be the main method of contact there are powers to direct an authority to provide the information within a specified time. The guidance does set out a progressive approach to Intervention that allows an authority to responded at the informal stage.
- 3.8 The Intervention Notice is the first formal stage of the intervention process and is not intervention itself, which may follow if recovery is not managed. The notice will contain brief particulars of the areas of concern, along with an opportunity to make representations on those concerns. This may be sufficient to prevent further action but if this is not successful, then the Intervention Order would follow.
- 3.9 We are developing, in conjunction with other West Midlands Shires, Unitary and Metropolitan Authorities, a Network Management framework for integrated working where we develop and enhance our activities through the sharing of best practice.

## 4. Recommendation

4.1 It is recommended that this Authority supports the draft guidance on intervention criteria in general but that the concerns listed below be raised. The DfT has asked some specific questions as part of the consultation and these questions and proposed responses are attached as **Appendix A**.

- (1) The NMD indicates that the DfT will provide advice on performance indicators, however, the draft guidance does not include any guidance on these performance indicators. The guidance indicates that it is expected that authorities will keep in step with national policy and where necessary determine indicators locally. The indicators that we have developed in the LTP are locally determined ones and are attainable over the plan period. We have developed some very specific performance indicators that seek to compare local highway authority performance against that of the utilities, in an effort to achieve parity. Without the guidance expected in NMD the process of assessment by the DfT is by its nature generic and therefore the use of locally determined indicators will not allow comparisons between authorities to be easily made. This raises concern as to the fairness of any performance assessment derived from this reporting.
- (2) There is no guidance on the frequency and regime for reporting, only that it should form part of any existing reporting process for the authority. Without specific guidance as the mechanism for reporting performance, there is a need for reassurance that authorities will not be disadvantaged as a result of their chosen reporting regime.

JOHN DEEGAN  
Strategic Director for Environment and Economy  
Shire Hall  
Warwick

23rd August 2006

**Cabinet - 7th September 2006**

**Department for Transport Consultation on Draft Guidance on Intervention Criteria**

1. Is the process that is set out in the guidance clear and understandable?

Yes, although this authority has concerns with the reporting requirements when demonstrating the performance of an authority in meeting its obligations under the network management duty. The process indicates that there may be different reporting mechanisms in the future, our main concerns are the need for reassurance that authorities will not be penalised as a result of a chosen reporting regime

2. Is it helpful for the Guidance to show the steps in the process which will be adopted when considering whether to give an intervention notice or make an intervention order?

Yes.

3. Do you consider that the Guidance on Intervention Criteria is suitably derived from the Traffic Management Act 2004 and can assist local authorities in improving management of the road network?

Yes. It is suitably derived but the lack of progress in implementing the other parts of the act such as the changes to the New Roads and Streetworks Act 1991 and the introduction of permit schemes will compromise the ability of local authorities to manage their road networks.

4. Do you think that the approach of identifying the types of question in the Guidance on Intervention Criteria that each local traffic authority should be asking themselves under the Act is useful?

Yes, as long as a subjective judgement is taken on the response to any particular question and is looked at in the wider context of the Network Management Duty.

5. Do you think that the criteria are correct? If not, please state reasons. Will they ensure that local traffic authorities will carry out their network management duties properly?

Yes.

6. Do you think that the Guidance on Intervention Criteria covers all the necessary significant elements from the *Network Management Duty Guidance*? If not, which other elements should be included?

No, as it is clearly stated in paragraph 46 of the Network Management Duty Guidance that in addition to producing guidance on Intervention Criteria it would produce along with it advice on the choice of indicators. This has not been included in this guidance, it is required to enable comparisons between authorities and to ensure fairness in the assessment process.

7. The Guidance is aimed directly at a single traffic authority conducting its duties. However, section 26 of the Act makes provision in relation to functions which are exercised jointly and this is reflected in paragraph 42 of the Guidance. Are there examples of when any functions are currently exercised jointly, or might be in the near future?

None.

8. Do you have any comments on the Initial Public Sector Regulatory Impact Assessment (RIA)?

The guidance talks of the possibility of developing additional reporting process in the future, these would be outside of the existing reporting process therefore would put an additional administrative burden on local authorities, thereby increasing the costs to that authority.

9. Do you have any other comments on this consultation document?

**AGENDA MANAGEMENT SHEET**

|  |   |
|--|---|
| <b>Name of Committee</b>   | <b>Cabinet</b>  |
| <b>Date of Committee</b>   | <b>7 September 2006</b>   |
| <b>Report Title</b>  | <b>Department of Health Extra Care Housing Fund Bid 2007-08</b>   |
| <b>Summary</b>   | This report seeks approval for the Adult, Health & Community Services Directorate to bid for funding from the £40 million Department of Health (DoH) Extra Care Housing Fund for 2007 –2008 in partnership with a number of key stakeholders, including South Warwickshire Primary Care Trust (PCT), in order to meet the 3 October 2006 deadline for bids efficiently and effectively.   |
| <b>For further information please contact:</b>   | John Bakker<br>Interim Head of Adult Services<br><br>Tel: 01926 412612  |
| <b>Would the recommended decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision]</b> | No  |
| <b>Background papers</b>   | Modernising Adult Services Provision – 21 <sup>st</sup> January 2003 Health and Welfare Overview and Scrutiny Committee Report and 6 <sup>th</sup> February 2003 Cabinet Report<br><br>Evaluation of Meadow View – 20 <sup>th</sup> July 2004 Social Care and Welfare Overview and Scrutiny Committee Report and 26 <sup>th</sup> August 2004 Cabinet Report<br><br>Contractual Arrangements - Warwickshire Care Services – 9 March 2006 Cabinet Report |

**CONSULTATION ALREADY UNDERTAKEN:-**

Details to be specified

Other Committees

Local Member(s)

- |                          |                                     |  |
|--------------------------|-------------------------------------|--|
| Other Elected Members    | <input checked="" type="checkbox"/> | Councillor Frank McCarney<br>Councillor Mrs Josephine Compton<br>Councillor Richard Dodd |
| Lead Cabinet Member      | <input checked="" type="checkbox"/> | Councillor Colin Hayfield  |
| Cabinet Member           | <input type="checkbox"/>            |  |
| Chief Executive          | <input type="checkbox"/>            |  |
| Legal                    | <input checked="" type="checkbox"/> | Jane Pollard   |
| Finance                  | <input type="checkbox"/>            |  |
| Other Chief Officers     | <input type="checkbox"/>            |  |
| District Councils        | <input type="checkbox"/>            |  |
| Health Authority         | <input type="checkbox"/>            |  |
| Police                   | <input type="checkbox"/>            |  |
| Other Bodies/Individuals | <input type="checkbox"/>            |  |

**FINAL DECISION**                      **Yes**

**SUGGESTED NEXT STEPS:**

Details to be specified

- |   |                          |
|---|--------------------------|
| Further consideration by this Committee | <input type="checkbox"/> |
| To Council                              | <input type="checkbox"/> |
| To Cabinet                              | <input type="checkbox"/> |
| To an O & S Committee                   | <input type="checkbox"/> |
| To an Area Committee                    | <input type="checkbox"/> |
| Further Consultation                    | <input type="checkbox"/> |



**Cabinet – 7 September 2006**

**Department of Health Extra Care Housing Fund Bid 2007-08**

**Report of the Strategic Director of Adult Health & Community Services**

**Recommendations**

- That the Cabinet approve Adult, Health & Community Services (AH&CS) bid for funding from the £40 million Department of Health (DoH) Extra Care Housing Fund for 2007 –2008 in partnership with a number of key stakeholders, including South Warwickshire Primary Care Trust (PCT), in order to meet the 3 October 2006 deadline for submission bids efficiently and effectively.
- That the Cabinet approve the Authority entering into any contractual arrangements in relation to this bid on terms and conditions approved by the Strategic Directors of Performance and Development, Resources and AH&CS.

**1. Introduction**

- 1.1 Extra Care Housing or Very Sheltered Housing and a range of other terms are often used interchangeably to describe a type of housing, with care and support. It is a form of specialised housing for older people and other adults with varying care needs.
- 1.2 The DoH has made £40 million available for 2007 –2008 to develop new extra care housing places in England in areas where need has been demonstrated (as reflected in relevant strategies, particularly local Supporting People strategies and regional housing strategies).
- 1.3 All bids must be made in line with the published DoH criteria, before midnight on 3 October 2006.
- 1.4 All bids should have the support of all key local stakeholders including housing authorities, Supporting People Commissioning Body, Primary Care Trusts and other partners as appropriate.

## **2. Background**

- 2.1 In response to the DoH notice advert of 3 August 2006, AH&CS wish to submit a bid to central government by the deadline of 3 October 2006 for funding to build and service Extra Care Housing. The decision will be notified to us in March 2007 and completion of the build is required by March 2008.
- 2.2 DoH Bidding Guidance states that “bids will need to demonstrate how they will make a significant impact on improving the health of older people or other people who have Long Term health conditions, such that social exclusion can be tackled. Bids will have to show:
- How the proposal will result in improved health outcomes for the target group, especially through supporting self care initiatives
  - The explicit benefit to people in the wider community and in local neighbourhoods
  - Hospital admissions and re-admissions or move-on to residential care can be reduced – including estimates of numbers involved”
- 2.3 DoH Bidding Guidance states that “bids will need to demonstrate how effective their partnerships are, by showing the benefits of co-operation in three areas -
- Partnerships between health (primary care, acute and mental health trusts), social care and housing
  - Partnerships with active involvement of older people and/or other beneficiaries of schemes
  - Partnerships with private and/or community and voluntary sectors”
- 2.4 DoH Bidding Guidance states that “all Bids will need to demonstrate good value for money, in three areas:
- Grant per unit or Total Cost Indicator (TCI) as per Housing Corporation
  - Numbers of people supported, including in the wider community
  - Utilisation of other funding sources”

## **3. Specific Details on WCC Bid**

- 3.1 Initial AH&CS discussions have identified two sites that subject to ongoing checks by WCC property legal advisers would suit Directorate strategic objectives and match funding bid criteria.

### 3.1.1 Site A

#### **WCC land (1 acre) or WCC and additional Primary Care Trust (PCT) land (approx. 1.4 acres)**

- Current total provision on 3 local sites = 115 beds (of which WCC contract/provide 89)
- Proposed provision = 60 rooms + 60 Extra Care dwellings)
- This option would require either an Extra Care extension to be built on current WCC site (1 acre) or a possible demolish and new build on combined site (1.4 acres)
- This option could possibly be part-financed by sale of the two smaller local sites in the area in order to generate capital receipt to fund build at an alternative site, e.g. WCC and PCT-owned site(s)
- This option would require partnership arrangements with a range of key local stakeholders, e.g. housing association, PCT, independent care provider, District Council as well as Supporting People Commissioning Body
- A number of housing associations and independent care providers are well placed to provide the build and care element of any partnership

Accommodation number increase generated = 5

### 3.1.2 Site B

#### **WCC land (1.65 acres) currently sublet to independent provider via lease to housing association**

- Current provision = 26 beds (of which WCC contract 22) for Adults with a Physical Disability (PD)
- Proposed provision = 60 rooms + 60 Extra Care dwellings
- This option may require the transfer 26 PD beds to alternative site, e.g.. extension/rebuild in the grounds of Site A, possibly through leasing arrangement if transfer is on to WCC land
- This option would require partnership arrangements with other providers, developers and constructors via a phased approach in order to significantly increase availability and range of accommodation care options, e.g. Extra Care, 60-bed residential care facility
- This option would require timescales for demolish, new build/rebuild, decant to alternative sites agreed with residents/carers and providers, including WCC Homes for Elderly People (HEPs)
- This option would require a partnership arrangement with a Registered Social Landlord (RSL) as the current care provider is not registered to provide housing
- A number of housing associations and independent care providers are well placed to provide the build and care element of any partnership

Accommodation number increase generated = 94

### 3.2 Indicative Example of New Build/Cost of Extra Care Housing Provision calculated as follows\*;

Average Unit - 50 square metres  
Typical build cost for new scheme - £1000 per sqm  
Add approximately 30% for communal areas  
Add 16% for fees and other on costs

\* Source – Housing - Learning and Improvement Network (LIN)

#### 3.2.1 Site A - New Build/Cost of Extra Care Housing Provision projected costings as follows;

|  |                     |
|--|---------------------|
| 60 (units) x 50 (average unit sqm) x 1000 (build cost (£) per sqm) | = £3m               |
| 3m x 30% communal costs  | = £900k             |
| 3m x 16% fees and on costs   | = £480k             |
| <b>Total projected cost</b>  | <b>= £4,380,000</b> |

#### 3.2.2 Site B - New Build/Cost of Extra Care Housing Provision projected costings as follows;

|  |                     |
|--|---------------------|
| 60 (units) x 50 (average unit sqm) x 1000 (build cost (£) per sqm) | = £3m               |
| 3m x 30% communal costs  | = £900k             |
| 3m x 16% fees and on costs   | = £480k             |
| <b>Total projected cost</b>  | <b>= £4,380,000</b> |

### 3.3 If sites are to be remodelled, then costs may vary enormously due to a number of issues, including;

- Location/condition of current buildings and/or sites
- Rehousing (decant) costs
- Longer timescales
- Design and specification compromises

## 4. The Proposed Way Forward

### 4.1 Under Section 3 Bidding Criteria, one of the qualifying criteria (bullet point 7) states that "...care arrangements must be agreed between partners", and another (bullet point 11) that the "...DoH will seek the views of...the Commission for Social Care Inspection (CSCI) regarding deliverability of the bid".

4.1.1 In response to a request to provide clarification on the above, the DoH have provided the following feedback via the Extra Care Housing Fund 2007/08 Question and Answer (Q&A) website;

i).**Q:** "...care arrangements must be agreed between partners" - does this mean, for example, it is sufficient to detail the intention around the model of care, i.e. an integrated/segregated model, or is the expectation that more specific detail is required around who the care/support providers may be?

**A:** The answer is yes; the detail of the intention and a timetable will be appropriate. When agreements are not made, the bid will have to indicate what processes are in place to ensure that agreements for care arrangements and registration with CSCI will be secured.

ii). **Q:** Local Authority tendering requirements will mean that the care contract will need to be tendered for and this will not take place until a scheme is in development and therefore the it will not be possible to identify a specific care provider. Would the process for contracting with timescales be sufficient here?

**A:** As Q i)., i.e. the answer is yes; the detail of the intention and a timetable will be appropriate. When agreements are not made, the bid will have to indicate what processes are in place to ensure that agreements for care arrangements and registration with CSCI will be secured.

iii). **Q:** Recognising the necessity of a care partner being registered with CSCI is important, but how would CSCI be in a position to provide a view about the deliverability of a bid unless it knew who the intended care partner was going to be?

**A:** CSCI's views on the deliverability of the bids will be sought

4.2 Subject to Cabinet approval, and in light of the above feedback, work with regard to bidding, i.e. detail of the intention and an appropriate timetable, should be commenced with all key stakeholders at the earliest opportunity, including a land law perspective.

4.2.1 In order to alert housing associations and independent providers and commence dialogue with regard to any proposed funding bid, it is suggested that this be added to the agenda of the AH&CS Independent Sector Forum at the earliest opportunity, with the forum acting as a sub-group to the WCC key stakeholder group at this stage.

## **5. Conclusion**

5.1 It is recommended that Cabinet agree to a bid for funding in partnership with key stakeholders in order to:

- Ensure the most efficient and effective funding bid is completed within the timeframe
- Further develop partnership-working between WCC and a range of key local stakeholders
- Further develop market capacity and the range of service options
- Address market shortfalls in county “hotspots”
- Make best use of land and property resources
- Support future accommodation strategies
- Secure continued stability and good quality of service for users
- Continue good performance and service user satisfaction
- Contribute to the Adult Social Care Performance Improvement Action Plan
- Maintain overall market stability

## **6. Recommendations**

6.1 That the Cabinet approve Adult, Health & Community Services (AH&CS) bid for funding from the £40 million Department of Health (DoH) Extra Care Housing Fund for 2007 –2008 in partnership with a number of key stakeholders, including including South Warwickshire Primary Care Trust (PCT), in order to meet the 3 October 2006 deadline for submission bids efficiently and effectively.

6.2 That the Cabinet approve the Authority entering into any contractual arrangements in relation to this bid on terms and conditions approved by the Strategic Directors of Performance and Development, Resources and AH&CS.

GRAEME BETTS  
Strategic Director of Adult Health &  
Community Services

Shire Hall  
Warwick

30 August 2006