

Audit and Standards Committee

Date: Thursday 25 March 2021
Time: 10.00 am
Venue: Microsoft Teams

Membership

John Bridgeman (Chair)
Councillor Parminder Singh Birdi
Councillor Neil Dirveiks
Councillor Bill Gifford
Councillor John Horner
Councillor David Reilly

Items on the agenda: -

1. General

(1) Apologies

(2) Members' Disclosures of Pecuniary and Non-Pecuniary Interests

Members are required to register their disclosable pecuniary interests within 28 days of their election or appointment to the Council. A member attending a meeting where a matter arises in which s/he has a disclosable pecuniary interest must (unless s/he has a dispensation):

- Declare the interest if s/he has not already registered it
- Not participate in any discussion or vote
- Must leave the meeting room until the matter has been dealt with
- Give written notice of any unregistered interest to the Monitoring Officer within 28 days of the meeting

Non-pecuniary interests must still be declared in accordance with the Code of Conduct. These should be declared at the commencement of the meeting.

(3) Minutes of the Previous Meeting

To confirm the minutes of the meeting held on 5 November 2020.

- 2. Assessing the Audit Risk - Warwickshire County Council** 13 - 60
The report and appendix document from the External Auditor (Informing the Audit Risk Assessment for Warwickshire County Council 2020/21) are attached.
- 3. Assessing the Audit Risk - Warwickshire Pension Fund** 61 - 90
The report and appendix document from the External Auditor (Informing the Audit Risk Assessment for Warwickshire Pension Fund 2020/21) are attached.
- 4. External Auditors' Annual Audit Letter 2019/20** 91 - 108
The report and appendix document (Annual Audit Letter for Warwickshire County Council and Warwickshire Pension Fund) are attached.
- 5. External Auditors' Progress Report and Sector Update** 109 - 124
The report and appendix document (External Auditor's Progress Report and Sector Update) are attached.
- 6. Code of Corporate Governance** 125 - 144
The report and appendix are attached.
- 7. Member Code of Conduct** 145 - 174
The report and appendices are attached.
- 8. Strategic Risk Management Framework** 175 - 198
The report and appendix are attached.
- 9. Internal Audit Plan 2021-22** 199 - 236
The report and appendices are attached.
- 10. Work Programme and Future Meeting Dates** 237 - 238
To consider items for the Committee's Work Programme and the dates of future meetings to be held at Shire Hall, Warwick, or remotely on Microsoft Teams (dependent upon public health advice), at 10am as follows:
- 10 June 2021
 - 27 July 2021
 - 4 November 2021
 - 24 March 2022
- 11. Any Other Business**

12. Reports Containing Confidential or Exempt Information

To consider passing the following resolution:

‘That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972’.

13. Internal Audit Update Report

239 - 254

The report and appendix are attached.

14. Exempt Minutes of the meeting of the Audit and Standards Committee held on 5 November 2020 and matters arising

255 - 258

The minutes are attached.

Monica Fogarty
Chief Executive
Warwickshire County Council
Shire Hall, Warwick

To download papers for this meeting scan here with your camera



Disclaimers

Webcasting and permission to be filmed

Please note that this meeting will be filmed for live broadcast on the internet and can be viewed online at warwickshire.public-i.tv. Generally, the public gallery is not filmed, but by entering the meeting room and using the public seating area you are consenting to being filmed. All recording will be undertaken in accordance with the Council's Standing Orders.

Disclosures of Pecuniary and Non-Pecuniary Interests

Members are required to register their disclosable pecuniary interests within 28 days of their election of appointment to the Council. A member attending a meeting where a matter arises in which s/he has a disclosable pecuniary interest must (unless s/he has a dispensation):

- Declare the interest if s/he has not already registered it
- Not participate in any discussion or vote
- Must leave the meeting room until the matter has been dealt with
- Give written notice of any unregistered interest to the Monitoring Officer within 28 days of the meeting

Non-pecuniary interests must still be declared in accordance with the Code of Conduct.

These should be declared at the commencement of the meeting

The public reports referred to are available on the Warwickshire Web

<https://democracy.warwickshire.gov.uk/uuCoverPage.aspx?bcr=1>

Public Speaking

Any member of the public who is resident or working in Warwickshire, or who is in receipt of services from the Council, may speak at the meeting for up to three minutes on any matter within the remit of the Committee. This can be in the form of a statement or a question. If you wish to speak please notify Democratic Services in writing at least two working days before the meeting. You should give your name and address and the subject upon which you wish to speak. Full details of the public speaking scheme are set out in the Council's Standing Orders.