

Appendix 4 Cabinet Management of Risk

Strategic Risk Register Net Risk Scores (after mitigating actions)

4a At Quarter 3, the following 6 of our 18 strategic risks have a red status after allowing for mitigating actions

| | | Likelihood | | | | |
|--------|---------------|--|--|--|--|-------------|
| | | Highly Unlikely | Unlikely | Possible | Probable | Very Likely |
| Impact | Catastrophic | 6. Failure to protect vulnerable children and communities 7. Failure to protect vulnerable adults and communities | | | | |
| | Major | 11. Staff health and wellbeing | 4. Continued covid transmission impacts 8. Disruption to care markets 9. 2030 council climate change targets not met 12. Negative commercial and investment results | 5. Widening inequalities post pandemic 13. SEND resources insufficient to meet demand 14. Uncertainty of external influences e.g. government policy 18. 2050 county climate targets not met | | |
| | Moderate | 16. Reputational harm | 15. Legal, regulatory, or informaiton non compliance | 2. Area based regeneration priorities not delivered 3. Education and skills gap widening | 1. Economic growth slows or stalls 17. Inflation and cost of living | |
| | Minor | | 10. Failure to modernise, innovate, and use technology | | | |
| | Insignificant | | | | | |

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Appendix 4b – Key Service Risks Summary

Key risks are highlighted where they are red risks (high risk) and where a risk level has been higher than the risk target for 3 quarters or more and is currently still 3 points or more over target. At Quarter 3, 17 risks out of 86 are classified as net red risks after mitigating actions. The relevant service area is shown in brackets.

| Key Service Risks | Net risk is currently green or amber | Net risk is currently red |
|---|---|--|
| <p>Risk level has not exceeded the target for 3 quarters in a row</p> | <ul style="list-style-type: none"> 62 other risks | <ul style="list-style-type: none"> (Adult Social Care) Demand for services and current market forces (Education) SEND and mainstream transport pressures (Finance) Inflation creates an unbalanced budget. (Fire and Rescue Services) Cyber Attacks (Fire and Rescue Services) Emergency services network (Airwave) (Fire and Rescue Services) Industrial action (Fire and Rescue Services) National power outages |
| <p>Risk level has exceeded target for 3 quarters in a row and is currently more than 3 points above target</p> | <ul style="list-style-type: none"> (Fire and Rescue Services) Control Room Systems Critical Failure of ICT system (Fire and Rescue Services) Training Project - Financial Risk (Adult Social Care) Inability to deliver in house services due to increase in demand (Children and Young People) Children and Young people and vulnerable adults suffer avoidable injury or death (Enabling Services) Your HR Stabilization isn't achieved to a level that optimizes benefits for all users/organizations (Governance and Policy) Increase in serious data breaches and/or failure to address organisational backlog of Subject Access Requests (Communities) Insufficient resources to deliver the council plan | <ul style="list-style-type: none"> (Education) Being unable to deliver Local Area SEND Inspection Written Statement of Action within required timescales (Education) Impact of Covid on learning outcomes (Education) Loss of grant income for Adult and Community Learning (Fire and Rescue Services) On Call Availability (Fire and Rescue Services) Protection Capacity (Fire and Rescue Services) Water Rescue Training Inability to effectively maintain Firefighter competence using external water rescue training facilities. (Adult Social Care) Market Failure and lack of sustainability of the care market (Public Health) If ongoing Covid related response and recovery priorities for Public Health continue to absorb team resources then other statutory and priority services can't be consistently fulfilled (Business and Customer Services) Interruptions to the Customer Service Centre due to the transition to a new telephone supplier (Communities) Transport and highways scheme delays leading to loss of time limited funding |