



Audit & Standards Committee

November 2024

Quarter 2 Risk Reporting

Appendix 2



Strategic risks

Key messages

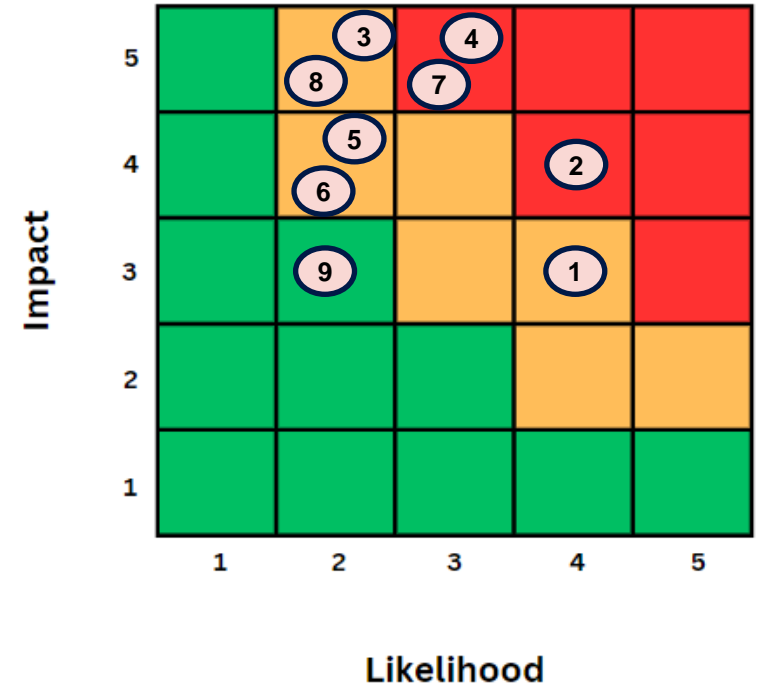
- 4 out of 9 risks met target score with mitigations
- Vulnerable children & adults (#3), Sus futures (#4), Workforce (#6), Cyber attack (#7) are trending above target
- Deep dives for all risk will be undertaken by Directorate Leadership Teams in December 2024

Red risks:

- Mismatch between demand and resources (#2)
- Lack of movement towards Sustainable Futures ((#4)
- Cyber attack (#7)

New risks this Quarter - N/a

Closed risks this Quarter – N/a



Risk owners: Rob Powell, Mark Ryder, Becky Hale, Nigel Minns

Control action owners: Purnima Kandula, Bal Jacob, Dave Ayton- Hill, Craig Cusack, Becky Hale, Ben Brook, Steve Smith

Residual risk - Analysis	
Number of risks	9
Risk is on target	4
Risk level has exceeded the target for 3 quarters in a row	5
Risk level has exceeded target for 3 quarters in a row and is currently more than 3 points above target	4

Appetite	
Averse	
Minimalist	2
Cautious	2
Open	5
Hungry	

Strategic risks

	Risk	Owner	Residual Score (Q2)	Target score	Appetite
1	Slow economic growth & increase in inequalities across communities. - Challenging economic conditions slow growth in Warwickshire and increasing inequalities across communities.	Mark Ryder	12	12	Open
2	Mismatch between demand and resources - Increases in demand and / or reductions in resources creating a mismatch, which leads to being unable to meet the needs of the people and communities of Warwickshire.	Corporate Board	16	12	Open
3	Being unable to keep vulnerable children & adults safe - Being unable to keep the vulnerable children and adults of Warwickshire safe.	Becky Hale/ Nigel Minns	10	5	Minimalist
4	Lack of movement towards Sustainable Futures - Lack of tangible movement towards reducing carbon emissions and our sustainable futures commitments.	Mark Ryder	15	10	Open
5	Failure to operate - Lack of resilience and effective continuity arrangements leads to operational failures	Rob Powell	8	6	Cautious
6	Insufficient skilled & experienced workforce - Sustained labour market challenges lead to WCC being unable to recruit and retain people resulting in reduced capacity and capability and increasing workloads of our people	Rob Powell	8	8	Open
7	Cyber attack – A cyber attack leads to compromised systems and / or data either directly or within supply chains.	Rob Powell	15	8	Open
8	A safe environment may not be sustained - Provision of a safe environment and infrastructure for our workforce may not be sustained.	Rob Powell	10	10	Minimalist
9	Governance arrangements - Given the operating environment, there may be challenges to the Council's governance arrangements	Rob Powell	6	6	Cautious



Headline messages & analysis – Directorate Summary

Headline messages:

- New format to enhance sharing and analysis for DLTs and risk owners is in place for Q2
- Directorate risks including risk appetite and targets are subject to further deep dive review in readiness for Q3

	Red	Amber	Green	Total
Directorate risks:				
Children & Young People	1	6	3	10
Social Care & Health	2	7	5	14
Communities	3	12	8	23
Resources	2	7	11	20
Total	8	32	27	67