



Resources & Fire Risk summary – Q3

Key messages

- Deep Dive review of Directorate Risks undertaken by Risk Owners over December – reviews made to all risks. 7 risks deleted (16 including Fire).
- 2 risks are recorded on target (down from 5 last quarter).
- 14 Risks have exceeded target across 3 quarters (up from 5 in Q2). Includes, Absence levels.
- 2 amended scores - RE10 HR data now on track, and RE11 likelihood increased as absence still increasing despite mitigations.

Red risks (1):

- Insufficient resources to deliver the Authority's Council Plan and priorities given identifiable spending pressures and longer-term structural deficit position (RE10).

New risks this Quarter: N/a

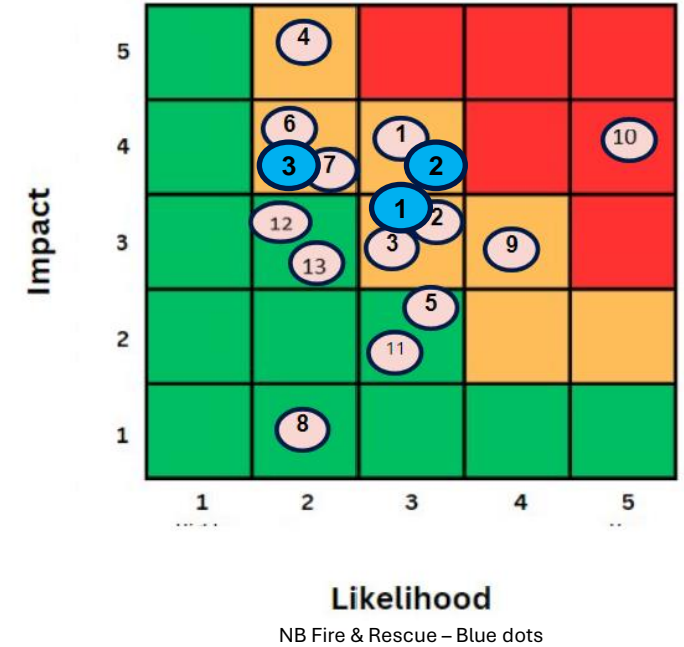
Closed risks this Quarter (7):

The following risks have been deleted because of the deep dive:

- Cyber-attack & loss of essential skills (duplicates of strategic), Inflationary Impacts (now part of wider MTFs), Customer service centre (work now complete), return from WDPG, Lack of the people and essential skills (legal services), Failing to meet MTFs targets and sustain areas of income generation to achieve break even across all commercial activity.

Risk owners: Bal Jacob, Craig Cusack, Sarah Duxbury, Ben Brook

Control action owners: WFRS Management Team, Nic Vine, Paul Williams, Peter Wren, Charles Barlow, Tejay De Kretser, Martin Lewis, Rebecca Murphy, Chris Kaye, Liz Firmstone, Andrew Harper, Stephen Robbins, Spencer Payne



Residual risk - Analysis	
Number of risks	16
Risks on target	2
Risk level has exceeded the target for 3 quarters in a row	14
Risk level has exceeded target for 3 quarters in a row and is currently more than 3 points above target	8

Appetite	
Averse	-
Minimalist	1
Cautious	11
Open	4
Hungry	-



Resources risk summary

			Inherent risk	Residual risk	Target risk	Appetite
RE 1	Increase in serious data breaches and/or failure to address organisational backlog of Subject Access Requests	Sarah Duxbury	20	12	8	Cautious
RE 2	If we are not able to effectively prioritise Council plan deliverables and/or if demand from services and new priorities outstrips the capacity within Change Programmes, and other support services across the Council, then then desired outcomes will not be delivered.	Sarah Duxbury	12	9	6	Cautious
RE 3	Detriment to outcomes for individuals and communities if we are not able to deliver our Creating Opportunities commitments in a sustainable Community Powered way	Sarah Duxbury	16	9	9	Cautious
RE 4	Social Care System Mosaic fails and is unable to be recovered due to the historical complexity of the applications design	Craig Cusack	10	10	2	Cautious
RE 5	Lack of understanding of the ethical considerations in adopting Artificial Intelligence in delivering Council services	Craig Cusack	6	6	4	Cautious
RE 6	Failure of property rationalisation	Craig Cusack	12	8	6	Open
Re 7	Significant ICT Infrastructure failure due to aging	Craig Cusack	9	6	4	Minimalist
RE 8	Lack of Clear and accurate HR data to support workforce management and planning.	Bal Jacob	9	3	3	Open
RE 9	Sustaining absence levels so that increases do not affect delivery of quality services	Bal Jacob	12	12	6	Open
RE 10	Insufficient resources to deliver the Authority's Council Plan and priorities given identifiable spending pressures and longer-term structural deficit position.	Purnima Kandula	20	16	9	Cautious
RE 11	Investment or improvement decisions are managed ineffectively which results in risk to VFM, optimum investment return or financial loss for the council	Purnima Kandula	16	6	4	Open
RE 12	Financial system control weaknesses increase the risk of errors or fraud leading to financial losses.	Purnima Kandula	9	6	4	Cautious
RE 13	Procurement and Contract Management activity not consistently in line with legislation, Contract Standing Orders and best practice	Purnima Kandula	9	6	4	Cautious



Fire & Rescue risk summary

			Inherent risk	Residual risk	Target risk	Appetite
CM 1	Critical failure of ICT systems that are essential for Fire and Rescue to mobilise to provide critical information	Ben Brook	15	9	2	Cautious
CM 2	Resourcing to Risk - Our resource provision is not effectively aligned to the risk and demand within Warwickshire.	Ben Brook	16	12	6	Cautious
CM 3	National Power Outage: Maintaining statutory duties during Local and/or National Power outage	Ben Brook	12	8	4	Cautious